

JUDGE KOELTL

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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CV

11275

SECURITIES AND EXCHANGE COMMISSION,

ECF CASE

Plaintiff,

Civil Action No.

v.

DARRYL A. GOLDSTEIN and
CHRISTOPHER L. O'DONNELL,

DEMAND FOR
JURY TRIAL

Defendants.

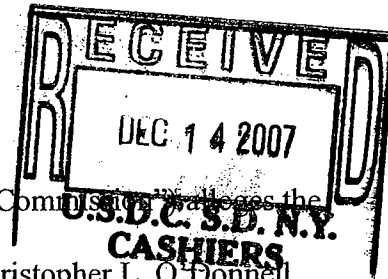
COMPLAINT

Plaintiff Securities and Exchange Commission ("Commission") alleges the following against defendants Darryl A. Goldstein and Christopher L. O'Donnell

("Defendants"):

SUMMARY

1. From on or about January 2002 until August 2003, the Defendants, each a top financial advisor ("FA") at Morgan Stanley DW, Inc. ("MSDW"), defrauded at least 50 mutual fund companies and their numerous shareholders by engaging in deceptive acts designed to circumvent the mutual funds' restrictions on market timing and to generate substantial commissions or asset-based fees for themselves. In an effort to conceal their hedge fund customers' ongoing market-timing trading, the Defendants repeatedly and systematically employed a variety of deceptive practices including, but not limited to, opening and trading in multiple brokerage accounts, trading using different FA identification numbers, and trading through numerous variable annuity contracts. In less than two years, for only two hedge fund customers, the Defendants continuously engaged



in more than 4,000 market-timing trades with total trading volume exceeding \$4.8 billion, opened approximately 122 brokerage accounts, opened approximately 64 variable annuity contracts, and used 11 different FA identification numbers. As a direct result of their fraudulent scheme, the Defendants generated almost \$1 million in net commissions or asset-based fees for themselves and in the process harmed countless unsuspecting mutual fund shareholders.

2. Market timing refers to the practice of short-term buying, selling and exchanging of mutual fund shares in order to, among other things, exploit inefficiencies in mutual fund pricing. To achieve substantial gains using this strategy, the trader must be able to quickly cycle its investments into and out of the targeted funds. While market timing can be a successful investing strategy and is not necessarily illegal, such trading can harm mutual fund shareholders in a number of ways: it can dilute the value of their shares; it can disrupt the management of the fund's investment portfolio; and it can significantly increase trading and administrative costs associated with the management of the fund. Consequently, mutual funds often impose quantitative or qualitative restrictions on excessive trading and monitor trading activity in their funds. Typically, if a mutual fund determines that a shareholder or broker has violated its trading restrictions, it will limit or refuse to enter into any future trades with that party.

3. At all relevant times, the Defendants knew or were reckless in not knowing that mutual fund companies imposed restrictions on excessive trading and monitored trading activity in their funds in order to detect and prevent market-timing activity. Early on in their associations with their customers, the Defendants also knew or were reckless in not knowing that they were engaged in trading practices that deceived

mutual funds. The Defendants' role in the scheme was more than mechanically executing their customers' orders; they also advised their customers on how to make deceptive market-timing trades. By using over one hundred accounts, over sixty variable annuity contracts, and numerous FA identification numbers, the Defendants intended to, and did, make it more difficult for the mutual funds to detect and prevent their customers' market-timing trading.

4. While participating in this fraudulent scheme, the Defendants made false and misleading statements to mutual fund companies in the account opening process, including, but not limited to, using shell companies and other misleading names to disguise their customers' identities. The Defendants also made omissions of material fact by failing to disclose to the mutual funds that the numerous accounts through which their customers traded actually belonged to the same customers and that the multiple FA identification numbers that the Defendants used to place the trades actually belonged to them. This misrepresented and omitted information was material because of the nature of the market timing in this case, the fact that market timing harms long-term mutual fund shareholders and that the fund companies were actively trying to detect and prevent market-timing trading in their funds. These continual deceptive acts, practices, and courses of business were committed by the Defendants or at their direction.

5. Due to the sheer size, volume, and frequency of their transactions, the mutual fund companies were able to identify some of the accounts and FA identification numbers that the Defendants used to engage in deceptive market-timing trades. Mutual fund companies sent MSDW numerous notices that barred or imposed trading restrictions on the Defendants or specific accounts associated with the Defendants' customers. These

notices were generally sent to representatives in MSDW's Mutual Fund Operation Department ("MF Ops"), who then forwarded the notices to MSDW compliance officers, the offending FA, and the offending FA's branch manager. In fact, from December 2001 until July 2003, MF Ops received at least 225 such notices pertaining solely to the two defendants. One mutual fund family, Marshall Funds, terminated the national broker-dealer agreement with MSDW as a result of one of the Defendant's deceptive market-timing activity.

6. In response to the mutual funds' efforts to prevent further market-timing trading, the Defendants continued to place trades for their hedge fund customers in accounts using FA identification numbers that had not been blocked by the mutual funds. In many cases, they simply transferred the funds from one blocked account to another account and continued to engage in market-timing trading. In doing so, they misled mutual fund companies into believing that the subsequent trades were for MSDW brokers or for customers whose trading had not been blocked. Ultimately, the Defendants' deceptive market-timing trading did not end until August 2003, when MSDW enforced new policies and procedures designed to prevent such practices throughout the firm.

7. By engaging in the conduct described in the Complaint, the Defendants violated Section 17(a) of the Securities Act of 1933 ("Securities Act"); and Section 10(b) of the Securities Exchange Act of 1934 ("Exchange Act") and Rule 10b-5 thereunder.

8. Accordingly, the Commission seeks: (a) entry of permanent injunctions prohibiting the Defendants from further violations of the relevant provisions of the Securities Act, the Exchange Act, and the rules promulgated thereunder; (b) the imposition of a civil penalty against each defendant due to the egregious nature of their

violations; and (c) any other relief this Court deems necessary and appropriate under the circumstances.

JURISDICTION

9. This Court has jurisdiction over this action pursuant to Sections 20(d) and 22(a) of the Securities Act [15 U.S.C. §§ 77t(d), 77v(a)] and Sections 21 and 27 of the Exchange Act [15 U.S.C. §§ 78u, 78aa].

10. The Defendants, directly or indirectly, made use of the means or instrumentalities of interstate commerce, or of the mails, or the facilities of a national securities exchange in connection with the transactions, acts, practices and courses of business alleged herein. Some of the alleged transactions, acts, practices, and courses of business occurred in the Southern District of New York.

DEFENDANTS

11. **Darryl Goldstein**, age 36, was a financial advisor at MSDW's office located at 885 Third Avenue, New York, New York, from October 2000 to November 2003. During the relevant period, he served as a financial advisor to hedge fund advisors Millennium Partners L.P. and Haidar Capital Management LLC. He is currently a registered representative at Gilford Securities Incorporated in New York, New York and resides in New York, New York.

12. **Christopher O'Donnell**, age 45, was a financial advisor at MSDW's Rye, New York, office from August 1998 to March 2004. During the relevant period, he served as a financial advisor to hedge fund advisor Millennium Partners L.P. He is currently a registered representative for Bear Stearns & Company Inc. in New York, New York and resides in Rye, New York.

RELATED PARTIES

13. **Morgan Stanley DW Inc.** was a Delaware corporation with its principal place of business in New York, New York. During the relevant time period, MSDW was a broker-dealer registered with the Commission pursuant to Section 15(b) of the Exchange Act, a registered investment adviser pursuant to Section 203(c) of the Investment Advisers Act of 1940, and a member of the National Association of Securities Dealers, the New York Stock Exchange, and the Municipal Securities Rulemaking Board. At all relevant times, MSDW provided comprehensive brokerage, investment and financial services nationwide.

14. **Millennium Partners, L.P.**, a limited partnership organized in the Cayman Islands, is a hedge fund that maintains its principal place of business in New York, New York. During the relevant period, Darryl Goldstein placed trades for its trader Errol Mustafa and Christopher O'Donnell placed trades for its trader Kovan Pillai.

15. **Haidar Capital Management, LLC**, is a limited liability company formed under the laws of the State of New York. Haidar Capital Management was the administrative or trading member for four hedge funds. During the relevant period, Darryl Goldstein placed trades for its founder Said Haidar.

STATEMENT OF FACTS

Background

16. A mutual fund is an investment company that pools money from many investors and invests those funds in stocks, bonds, short-term money market instruments, or other securities. Mutual fund investors purchase their shares directly from the fund itself or through a broker for the fund. Millions of Americans have chosen to invest in

mutual funds as their primary method for saving for education, retirement, and other financial goals. Approximately half of all U.S. households own mutual fund shares.

17. Most mutual funds are meant to be long-term investments and were primarily designed for buy-and-hold investors. Nevertheless, some investors attempt to trade in and out of mutual funds in order to exploit inefficiencies in the way the funds value their shares. This strategy can be successful because many mutual funds use stale prices to calculate the value of the securities held in their portfolios. These prices are stale because they do not necessarily reflect the fair value of such securities at the time the shares are valued.

18. As a general rule, mutual funds are valued once a day, usually at 4:00 p.m., when the New York market closes. The price of a mutual fund share, known as the Net Asset Value (“NAV”), generally reflects the local market’s closing prices of the securities that comprise a given fund’s portfolio, plus the value of any cash that the fund manager maintains for the fund. Unlike a stock, the price of a mutual fund share does not change during the course of the day. Instead, a mutual fund always fills the buy and sell orders it receives at the next available NAV. Accordingly, orders placed at any time during the trading day up to the 4:00 p.m. cutoff get that day’s NAV, but an order placed after the close of trading receives the next day’s NAV.

19. The practice of taking advantage of short-term arbitrage opportunities repeatedly in a single mutual fund is called “market timing” the fund. Market-timing traders attempt to take advantage of mutual funds’ pricing inefficiency in a number of ways.

a. One strategy is known as “time zone arbitrage.” A typical example of this approach is a U.S. mutual fund that holds Japanese shares. Because of the time zone difference, the Japanese market closes at 2:00 a.m. New York time. If the U.S. mutual fund manager uses the closing prices of the Japanese shares in his or her fund to calculate the NAV at 4:00 p.m. in New York, he or she is relying on stale prices, i.e., market information that is fourteen hours old. If there have been positive market movements in the intervening hours between the close of the Japanese market and the close of the New York market that will cause the prices on the Japanese market to rise when it later opens, the stale Japanese prices will not reflect them, and the U.S. mutual fund’s NAV will be artificially low. On such a day, a trader who buys the Japanese fund at the stale price is very likely to see a profit that can be realized the next day by selling.

b. Another such strategy is known as “liquidity arbitrage.” An example of this approach is a mutual fund that contains illiquid securities such as high-yield bonds or small capitalization stocks. Here, the fact that some of the fund’s securities may not have traded for hours before the New York closing time can render the fund’s NAV stale, and thus make it vulnerable to market-timing activity.

20. Market timing has a negative effect on long-term mutual fund shareholders. Effective market timing captures an arbitrage profit that comes dollar-for-dollar out of the pockets of long-term mutual fund shareholders. Essentially, market timing allows the trader to step in at the last moment and take part of the buy-and-hold investors’ upside when the market goes up, so the next day’s NAV is reduced for those shareholders who remain in the fund. If the trader sells on down-market days, the arbitrage has the effect of making the next day’s NAV lower than it would otherwise

have been, thus magnifying the losses that buy-and-hold investors experience in a declining market. By trading in and out of a mutual fund, market timers repeatedly take part of long-term investors' profits or increase long-term investors' losses.

21. Beyond the inequitable transfer of wealth associated with market timing, market timing also harms mutual fund shareholders in a number of other ways. As a preliminary matter, most market-timing purchases are followed by redemptions the next business day or shortly thereafter, in order to realize the gain from pricing inefficiencies. Accordingly, market timers impose additional transaction costs, such as trading commissions and administrative costs, on the long-term investors. Moreover, trades necessitated by such short-term sales can lead to the fund realizing taxable capital gains or losses at an undesirable time, increasing the tax bill for long-term investors. Market-timing transactions may also force mutual fund managers to buy stock as it is going up in price or sell it into a falling market. In short, while market timers may profit from engaging in frequent short-term trading of mutual fund shares, the costs associated with such trading are borne by unsuspecting long-term investors.

22. Due to the negative impact market timers have on long-term shareholders, mutual fund companies often impose restrictions on short-term or excessive trading. In this case, mutual fund companies like Goldman Sachs Trust, expressly prohibited such trading in their fund family by stating in its prospectus "the Trust discourages frequent purchases and redemptions of Fund shares and does not permit market-timing or other excessive trading practices." Some fund companies, like AIM Mutual Funds, imposed quantitative restrictions on trading such as a limit on the number of transactions that an account holder could effect within a certain time period. For example, AIM's Equity

Fund prospectus states “You are limited to a maximum of 10 exchanges per calendar year, because excessive short-term trading or market-timing activity can hurt fund performance.” Other fund companies, like Gabelli Funds or Franklin Funds, formally reserved the right to reject “excessive” or “abusive” trading without providing a specific definition of those terms. The relevant language concerning the restrictions on excessive trading could be found in the mutual funds’ prospectuses.

23. In order to enforce these restrictions on excessive trading, mutual funds often attempt to monitor the size and frequency of trading by account number. Since the multi-trillion dollar mutual fund industry processes countless buy, sell, and exchange transactions every day on behalf of institutions and individuals, it is virtually impossible for mutual funds to identify every market-timing trade. Notwithstanding this reality, large short-term movements in and out of a mutual fund account can trigger surveillance by compliance personnel. When excessive trading has been identified, mutual funds have a number of tools available to them including, but not limited to, rejecting questionable trades, stopping all future trading in a particular account by placing a “stop purchase” code on the account number, and prohibiting a particular broker from executing trades with the fund by blocking the broker’s FA identification number.

24. Like every other FA at MSDW, each Defendant was assigned a primary FA identification number and this number was used to open customer accounts, execute securities transactions, and track commissions. Joint FA identification numbers were also sometimes legitimately assigned to FAs by MSDW to facilitate the allocation of commissions among brokers for a shared customer.

25. During the relevant time period, virtually all of the market-timing transactions executed by the Defendants were entered into directly with the mutual fund companies. The Defendants used the MSDW Mutual Fund Trading System to enter their customers' market-timing trades. When they executed a mutual fund trade, each FA had to enter the name of the fund, the type of transaction, the transaction amount, and the account number for which the trade was being made. A default FA identification number was assigned to the transaction, but that number could be changed by the FA. For traditional accounts, a twelve-digit number allowed a mutual fund to identify the fund, amount of transaction, and account for which the transaction was being executed. The first three digits identified the MSDW branch office, the next six digits represented the account number, and the final three digits were the FA identification number. For trades executed through MSDW Choice accounts, a type of asset-based fee account, the FA identification number was replaced with a unique identifier. Depending upon the account, select information regarding the transaction was wired to the mutual funds' transfer agents via the National Securities Clearing Corporation's Fund/Serv system. In exchange for executing market-timing transactions on behalf of their customers, the Defendants were paid quarterly asset-based fees—ranging between .30% and 2.25% of the amount held in the account, depending on how much was in the account and what the money was invested in. Generally, if the amount was invested in mutual funds, customers paid a quarterly fee of 1.00% of the accounts' assets.

26. The Defendants also opened over sixty variable annuity contracts for their hedge fund customers when they knew, or were reckless in not knowing, that these accounts would be used to engage in deceptive market-timing activity. Variable

annuities are hybrid securities, offered by insurance companies as long-term savings vehicles and used for retirement and estate planning purposes, which combine the elements of four different financial products: mutual funds, tax-deferred investments like Individual Retirement Accounts, life insurance policies, and traditional annuities. Upon purchasing a variable annuity, a consumer can direct that his or her money be invested in one or more mutual fund “subaccounts” offered by the insurance company. These subaccounts, which mirror the mutual funds available to retail investors, are usually run by the retail fund manager. Gains in variable annuity subaccounts compound tax-free until withdrawal. In addition, variable annuities offer investors the chance to convert their investment, after a certain number of years, into a guaranteed stream of annuity payments for a period of years or for life. The typical variable annuity also has a death benefit guaranteeing the return of the investor’s principal to a designated heir if death precedes the start of annuity payouts. Typically, investors in these complex products pay both the managerial fees associated with the mutual fund subaccounts and the various fees required by the insurance company.

27. Variable annuities are purchased by a contract owner. At the time of purchase, the purchaser identifies the following: the contract owner, who has the ability to exercise the rights detailed in the contract; the annuitant, who is the person upon whose life the annuity benefit payments are made; and the beneficiary, who is to receive any death benefit paid if the annuitant dies before the annuity commencement period. The annuitant has to be a natural person, while the owner can be a corporate entity. In this case, the Defendants’ hedge fund customers put forward an employee to serve as the annuitant.

28. A critical characteristic of a variable annuity contract is that the contract owner does not purchase or sell shares of mutual funds directly; instead the insurance company aggregates contract owners' orders for each mutual fund and submits a single net buy or sell order each day. Accordingly, by purchasing mutual fund shares in this manner, brokers and traders engaged in deceptive market timing cannot be associated with their trading activity as easily as if they executed their purchases of shares directly from a mutual fund.

29. In cases where traders are engaged in market timing through variable annuity contracts, the real losers are the countless unsuspecting insurance customers who actually bought variable annuities for retirement, estate planning or other long-term goals. These innocent customers paid higher fees and were harmed by having the value of their underlying mutual fund investments diluted by deceptive market-timing activity.

Darryl Goldstein

30. Defendant Darryl Goldstein was a financial advisor in MSDW's Third Avenue, New York, New York, office from October 2000 to November 2003. From as early as January 2002 until July 2003, Goldstein placed market-timing trades for Haidar Capital Management LLC ("Haidar") and its founder Said Haidar. Goldstein also placed market-timing trades for Millennium Partners, L.P. ("Millennium") and its trader Errol Mustafa from at least January 2002 until March 2003. During this short period, Goldstein and his partner, Marc H. Plotkin, placed approximately 2,747 market-timing trades for these two customers—without even considering the market-timing trades they executed for these two hedge funds through variable annuity contracts. Using numerous MSDW accounts, Goldstein with the knowing and substantial assistance of Plotkin

executed deceptive market-timing trades in at least 52 mutual fund complexes for Haidar with a total trading volume of \$2,837,756,312 and 49 complexes for Millennium with a total trading volume of \$443,632,966. As a result of these deceptive trading practices, Goldstein was ranked as the ninth highest producer in his office in 2002 and the tenth highest producer in 2003.

31. Goldstein knew, or was reckless in not knowing, that he was engaged in deceptive market-timing trading and understood that such trading violated mutual funds' trading restrictions. As evidence of this, early in the Haidar and Millennium relationships, the hedge funds told Goldstein that they anticipated placing numerous market-timing trades and that eventually the mutual funds would begin to block their trading. Nevertheless, he chose to actively engage in the fraudulent scheme.

32. By opening numerous accounts for each of his market-timing customers, Goldstein was able to invest a larger amount of his customers' money in a target mutual fund without triggering the fund's surveillance threshold and when his customer's market-timing trades were detected by the mutual fund he could continue to execute additional market-timing trades in the fund by shifting money to his customers' other accounts, despite the mutual fund's attempts to block his customers' trading.

33. In support of the deceptive market-timing scheme, Goldstein and Plotkin opened at least 59 MSDW accounts for Haidar and 13 MSDW accounts for Millennium, as follows:

Haidar

	ACCOUNT NAME	DATE OPENED	ACCOUNT NUMER
1.	Haidar Jupiter Fund LLC	10/30/01	622-025966-074
2.	Haidar Jupiter Fund LLC	9/27/01	622-024578-74
3.	Haidar Jupiter Fund LLC	11/01/01	622-025970-074
4.	Haidar Jupiter Fund LLC	9/27/01	622-024577-074
5.	Haidar Jupiter Fund LLC	11/14/01	622-025969-114
6.	Haidar Jupiter Fund LLC	11/26/01	622-026786-036
7.	Haidar Jupiter Fund LLC	11/26/01	622-026787-036
8.	Haidar Jupiter Fund LLC	11/26/01	622-026788-036
9.	Haidar Jupiter Fund LLC	11/26/01	622-026789-036
10.	Haidar Jupiter Fund LLC	12/17/01	622-027004-074
11.	Haidar Jupiter Fund LLC	12/17/01	622-027002-114
12.	Haidar Jupiter Fund LLC	12/17/01	622-027003-036
13.	Devon Capital LLC	5/8/02	622-029263-074
14.	Devon Capital LLC	10/18/02	622-034271-032
15.	Wessex Capital LLC	10/18/02	622-034270-032
16.	Wessex Capital LLC	5/8/02	622-029264-074
17.	Wessex Capital LLC	5/8/02	622-029264-074
18.	Haidar Jupiter Short Equity Fund LLC	11/15/01	622-026109-036
19.	Haidar Jupiter Short Equity Fund LLC	11/15/01	622-026110-036

20.	Haidar Jupiter Short Equity Fund LLC	11/15/01	622-026111-036
21.	Haidar Jupiter Short Equity Fund LLC	11/15/01	622-026113-114
22.	Haidar Jupiter Short Equity Fund LLC	11/15/01	622-026114-114
23.	Haidar Jupiter Short Equity Fund LLC	11/15/01	622-026112-114
24.	Haidar Jupiter Short Equity Fund LLC	11/1/01	622-025966-074
25.	Haidar Jupiter Short Equity Fund LLC	11/1/01	622-025968-074
26.	Haidar Jupiter Short Equity Fund LLC	11/1/01	622-025970-074
27.	Haidar Jupiter Short Equity Fund LLC	11/1/01	622-025969-114
28.	Haidar Jupiter Short Equity Fund LLC	11/1/01	622-025971-074
29.	Haidar Jupiter Short Equity Fund LLC	11/1/01	622-025975-036
30.	FCK Partners LLC	1/2/02	622-027212-036
31.	FCK Partners LLC	1/2/02	622-027214-074
32.	FCK Partners LLC	1/2/02	622-027210-036
33.	FCK Partners LLC	4/3/02	622-028515-074
34.	Hudson Investor LLC	1/2/02	622-027211-036
35.	Hudson Investor LLC	1/2/02	622-027213-114
36.	Hudson Investor LLC	1/2/02	622-027215-074
37.	Haidar Jupiter International LLC	1/8/02	622-027292-114
38.	Cayman Partners LLC	1/9/02	622-027290-074
39.	Mariner LDC	Unknown	622-026142-074
40.	Mariner LDC	Unknown	622-026141-114
41.	Mariner LDC	Unknown	622-026143-036

42.	Riverview Group LLC	7/23/01	622-024176-074
43.	Riverview Group LLC	7/23/01	622-024177-074
44.	Riverview Group LLC	7/23/01	622-024184-036
45.	Riverview Group LLC	7/23/01	622-024185-114
46.	Riverview Group LLC	7/23/01	622-024179-074
47.	Riverview Group LLC	7/23/01	622-024180-114
48.	Riverview Group LLC	7/23/01	622-024183-036
49.	Riverview Group LLC	7/23/01	622-024178-074
50.	Riverview Group LLC	7/23/01	622-024181-114
51.	Riverview Group LLC	7/23/01	622-024182-036
52.	Riverview Group LLC	11/18/02	622-034592-032
53.	Riverview Group LLC	11/18/02	622-034593-032
54.	Canadian Imperial Holdings Inc.	10/25/02	622-034182-114
55.	Canadian Imperial Holdings Inc.	10/25/02	622-034183-114
56.	Canadian Imperial Holdings Inc.	2/5/03	622-035160-114
57.	Jarko Investment Fund LLC	11/9/01	622-026047-074
58.	Jarko Investment Fund LLC	11/9/01	622-026048-074
59.	Jarko Investment Fund LLC	11/9/01	622-026049-074

Millennium

	ACCOUNT NAME	DATE OPENED	ACCOUNT NUMBER
1.	Carroll Hinrichs & Co LLC	1/7/02	622-027278-074
2.	Umber Partners LLC	1/28/02	622-027523-114
3.	Chiswick Park LLC	3/1/02	622-027852-074
4.	Barnabus & Co. LLC	10/18/02	622-034266-032
5.	Barnabus & Co. LLC	10/18/02	622-034267-032
6.	Barnabus & Co. LLC	11/18/02	622-034490-032
7.	Barnabus & Co. LLC	11/18/02	622-03449-032
8.	Barnabus & Co. LLC	11/18/02	622-034492-032
9.	Barnabus & Co. LLC	6/20/02	539-041105-067
10.	Barnabus & Co. LLC	6/20/02	539-041114-077
11.	Barnabus & Co. LLC	6/20/02	539-041106-067
12.	Barnabus & Co. LLC	6/21/02	539-041098-078
13.	Ravenscourt LLC	6/20/02	622-029797-074

34. Goldstein did not open these accounts for Haidar and Millennium to pursue different investment strategies. On the contrary, he regularly used two or more of their accounts to purchase shares of the same mutual fund at or about the same time. He also routinely sold or exchanged fund shares held in several of their accounts at or about the same time. Significantly, these purchases, sales and exchanges often reflected the

same investment decision (i.e., a transfer from a money market fund to an equity fund in a particular sector, or vice versa).

35. Similarly, Goldstein did not open these accounts for Haidar and Millennium to segregate assets. Instead, Goldstein co-mingled the funds in the Haidar and Millennium accounts through frequent and large journal transfers of money. For example, from November 20, 2001 to November 21, 2003, Goldstein transferred funds between Haidar accounts on 29 occasions. Six of those transfers were for over a million dollars and 14 transfers were in the hundreds of thousands of dollars. From October 30, 2002 to January 8, 2003, Goldstein transferred funds between Millennium accounts on 11 occasions. One of those transfers was for over a million dollars and two of the transfers were in the hundreds of thousands of dollars.

36. Another deceptive practice Goldstein used to circumvent mutual funds' excessive trading restrictions was to open accounts using other FAs' identification numbers and execute market-timing trades using those numbers. FA identification numbers were used at MSDW to open customer accounts, execute securities transactions, and track commissions. Each FA received a primary FA identification number. In addition, two or more brokers could obtain an additional joint FA identification number to handle transactions and allocate commissions for a shared customer. While joint FA identification numbers were sometimes used legitimately to share commissions at MSDW, Goldstein did not use joint FA identification numbers for that purpose when executing market-timing trades for Haidar and Millennium. As evidence of this, he used many more identification numbers than would have ever been necessary to allocate

commissions for a shared customer. Together, Goldstein and Plotkin used at least eight different FA identification numbers to place trades for Haidar and Millennium.

37. By placing trades using different FA identification numbers, Goldstein made it harder for mutual funds to detect and prevent him from executing his customers' market-timing trades. To illustrate this point, for market-timing trades executed through a MSDW Choice account, mutual funds were required to contact MF Ops at MSDW and ask for information regarding the identity of the FA involved in the market-timing trading. In response to these requests, MSDW personnel provided the mutual funds with the FA's identification number or other identifying information. The mutual funds would then use this information to attempt to block further deceptive market-timing trading by the offending FA. Accordingly, the more FA identification numbers available to Goldstein and the more FAs purportedly involved the market-timing trading, the longer it potentially would take for mutual fund companies to completely bar him from trading on behalf of his clients in their funds.

38. The following is a summary of the fund complexes Goldstein and Plotkin made purchases in on behalf of Haidar and Millennium, the amounts purchased, the number of accounts used, and the number of FA identification numbers used to trade in each fund complex.

Haidar

FUND COMPLEX	AMOUNT TRADED	NUMBER OF ACCOUNTS	NUMBER OF FA IDENTIFICATION NUMBERS
AIM	\$268,265,726	37	5
Alger	\$376,338	1	1

Alliance	\$38,834,435	18	3
American Century	\$217,212,348	18	4
American	\$10,628,096	2	1
Black Rock	\$5,838,220	3	3
Bond Fund of America	\$1,373,441	1	1
Columbia	\$7,003,946	4	3
Credit Suisse	\$9,200,513	6	3
Davis	\$4,141,506	3	5
Delaware	\$665,000	2	2
Dreyfus	\$1,936,944	3	4
Eaton Vance	\$25,901,367	24	4
EuroPacific	\$1,525,166	2	1
Evergreen	\$145,418,896	25	4
Excelsior	\$1,675,415	2	2
Federated	\$65,129,933	25	4
Fidelity	\$1,453,452	4	2
Franklin	\$89,361,426	13	5
Fremont	\$10,218,184	8	3
Gabelli	\$3,427,767	1	2
Goldman Sachs	\$22,156,214	13	5
ING	\$127,251,765	24	5
Janus	\$38,540,740	27	5
John Hancock	\$8,399,836	17	4
Lord Abbett	\$23,248,115	16	4
Managers	\$3,681,000	10	4
Marshall Funds	\$56,201,320	17	3

Massachusetts Investors Trust	\$405,934	1	1
MFS	\$47,401,650	20	4
Morgan Stanley	\$56,387,598	3	3
Nations Funds	\$12,240,549	1	1
One Group	\$4,984,704	4	3
Oppenheimer	\$4,554,266	2	1
Phoenix	\$4,696,449	7	4
PIMCO	\$63,214,616	4	2
Pioneer	\$27,388,667	16	4
Putnam	\$416,726,800	28	4
Salomon Brothers	\$37,193,023	14	3
Scudder	\$59,917,150	26	4
Seligman	\$32,213,530	25	5
Sit	\$31,730,845	15	3
State Street	\$2,237,164	2	1
Strong	\$1,603,834	1	2
SunAmerica	\$19,414,527	18	4
T Rowe Price	\$6,514,512	7	4
Templeton Funds	\$25,008,237	9	2
Transamerica	\$2,644,479	1	1
Van Kampen	\$789,789,678	42	6
Victory Portfolios	\$2,420,991	1	1

Millennium

FUND COMPLEX	AMOUNT TRADED	NUMBER OF ACCOUNTS	NUMBER OF FA IDENTIFICATION NUMBERS
AIM	\$31,436,058	9	5
Alliance	\$11,698,963	7	6
American Century	\$4,425,110	3	3
Columbia	\$3,370,701	5	4
Delaware	\$1,056,000	3	2
Eaton Vance	\$1,865,814	2	2
Evergreen	\$31,611,858	7	5
Federated	\$21,660,755	11	7
Fidelity	\$4,415,868	1	1
Franklin	\$1,993,827	2	1
Fremont	\$4,606,553	4	2
Gabelli	\$707,700	2	2
Goldman Sachs	\$18,424,581	8	5
ING	\$6,576,057	3	2
Janus	\$7,057,986	6	5
John Hancock	\$1,529,400	5	4
Lord Abbett	\$655,600	2	2
Managers	\$310,000	1	1
Marshall Funds	\$27,548,629	8	7
MFS	\$19,676,036	9	5
Morgan Stanley	\$10,105,364	3	2
New Perspective Fund	\$1,127,848	1	1
One Group	\$1,559,524	1	1

Oppenheimer	\$4,990,876	2	1
Phoenix	\$1,598,300	4	3
PIMCO	\$5,111,265	2	2
Pioneer	\$1,400,000	3	2
Putnam	\$22,672,339	4	3
Salomon Brothers	\$11,039,519	5	4
Scudder	\$6,380,621	6	5
Seligman	\$3,239,617	1	1
SIT	\$3,703,969	3	3
Small Cap World Fund	\$547,832	1	1
Sun America	\$3,279,870	6	5
Van Kampen	\$166,248,526	12	6

39. Goldstein did not use joint FA identification numbers to facilitate the allocation of commissions or asset-based fees among multiple brokers for a shared customer when executing market-timing trades for Haidar and Millennium. To illustrate this point, he opened accounts using a retiring FA's identification number and entered into multiple joint production agreements with another FA from the Mellville, New York office. According to the terms of the joint production agreements with the Mellville FA, the FA was entitled to receive a portion of any commissions generated by transactions executed using the joint FA identification number. Goldstein and Plotkin obtained three new FA identification numbers for every combination of Goldstein, Plotkin, and the Mellville FA. Additionally, these new joint numbers were often coded in such a way as to make it appear that the trades originated from the Mellville office, rather from the New York office where Goldstein worked and the trades were actually executed. The retiring

FA and the Mellville FA had little or no role in establishing the Haidar and Millennium customer relationships or servicing these customers' accounts.

40. In an effort to further disguise their market-timing activity, Goldstein purchased variable annuity contracts and executed trades through them on behalf of Haidar and Millennium. O'Donnell knew, or was reckless in not knowing, that trading through variable annuity contracts made it harder for mutual funds to identify Goldstein or his hedge fund customers because the issuer of the annuity would aggregate trades and transmit them to the mutual funds on a net basis. As a result, Goldstein was able to engage in additional deceptive market-timing trading on behalf of Haidar and Millennium.

41. Goldstein knew, or was reckless in not knowing, that the annuity contracts Haidar and Millennium purchased were sham transactions. After all, these hedge funds did not need or want life insurance, nor were they interested in tax-deferred investing or retirement planning for their employees. Furthermore, the additional fees associated with annuities made it a more expensive and unnecessarily complicated way for them to invest in mutual funds. With this knowledge, Goldstein advised his customers as to how to open a variable annuity contract and how to trade mutual funds through them. Ultimately, Goldstein opened and executed mutual fund trades through at least 40 variable annuity contracts for Haidar and 14 for Millennium.

42. From December 14, 2001 to February 10, 2003, Goldstein and his partners collectively received approximately 131 block notices from mutual fund companies asking them, using various methods of communication, to stop or limit their trading for Haidar or Millennium in their fund. Initially, Goldstein responded to these notices by

simply executing trades through new and different MSDW accounts that had not been blocked. Eventually, the fund companies began blocking trades based upon Goldstein and Plotkin's FA identification numbers. In response, Goldstein started placing new orders by using the FA identification numbers of other brokers or using new FA identification numbers he had obtained by entering into joint production agreements with other FAs.

43. By using different MSDW account and FA identification numbers, Goldstein was able to conceal his customers' market-timing trading, making it harder for mutual funds to detect and prevent such activity. As a result of this subterfuge, mutual fund companies were fooled into processing additional transactions from him and his customers that the mutual fund companies were trying to stop. While Attachment A details instances of such deceptive trading, outside of the annuity context, the following are a few examples of Goldstein and Plotkin's deliberate attempts to evade mutual fund companies' efforts to enforce their trading restrictions.

a. On February 19, 2002, Alliance Funds blocked trading in two Haidar accounts, one named Haidar Jupiter Fund LLC and one named Mariner LDC. Nevertheless, Goldstein then used different Haidar accounts to make 13 additional purchases or exchanges of Alliance funds between July 22, 2002 and August 9, 2002.

b. On March 19, 2002, Liberty Funds banned Goldstein and Plotkin from trading in their funds. Nonetheless, Goldstein made seven additional purchases in Liberty Funds for both Haidar and Millennium using different FA identification numbers between August 21, 2002 and September 17, 2002.

c. On June 24, 2002, Phoenix mutual funds banned Goldstein and Plotkin from trading in their funds. Notwithstanding this ban, in July and August 2002, Goldstein used a different FA identification number to make five new purchases of Phoenix funds for Millennium.

d. On July 30, 2002, Managers Funds banned Goldstein's partner Plotkin from making any purchases. Nevertheless, six additional purchases in Managers Funds were then made between August 6, 2002 and September 4, 2002 for Haidar and Millennium using other FA identification numbers associated with Goldstein and Plotkin.

e. Between June 4, 2002 and December 18, 2002, Goldstein and Plotkin received twenty block notices from AIM mutual funds stating that Haidar and Millennium funds would no longer be permitted to place orders in 2002. Nonetheless, after these notices were received, Goldstein and Plotkin utilized many different Haidar and Millennium accounts to continue making market-timing trades throughout 2002. They executed dozens of market-timing trades in AIM funds using six different accounts opened for Haidar from April 23, 2002 to December 16, 2002.

f. On November 22, 2002, Federated blocked trading in five Haidar accounts and one Millennium account. Notably, two of the blocked Haidar accounts were titled "Riverview." One was opened using Goldstein's FA identification number, while the other was opened using Plotkin's FA identification number. Despite the trading restriction, on December 4, 2002, Goldstein transferred \$2.3 million from one of the barred Riverview accounts to a new account named Riverview that had been opened using a retiring FA's identification number. On December 16, 2002, the new Riverview account purchased 52,000 shares of the Federated International Small Company A fund.

On January 8, 2003, the new Riverview account sold its entire position in the Federated fund for a profit.

44. Goldstein knew, or was reckless in not knowing, that his request for and use of multiple MSDW accounts, variable annuity contracts, and FA identification numbers was for the purpose of circumventing mutual funds' trading restrictions by disguising his customers' market-timing trades, in order to deceive and defraud the mutual funds they targeted and their shareholders.

45. Goldstein left MSDW in November 2003. Goldstein earned approximately \$546,257 from placing market-timing trades for Haidar and Millennium. By executing deceptive trades, Goldstein caused significant harm to the shareholders of the mutual funds targeted.

Christopher O'Donnell

46. Christopher O'Donnell was a financial advisor at MSDW's Rye, New York, office from August 1998 until March 2004. O'Donnell placed market-timing trades for Millennium and its trader Kovan Pillai from April 2002 until August 2003. During this short period, O'Donnell placed approximately 1,360 market-timing trades for Millennium—without even considering the market-timing trades he executed for Millennium through variable annuity contracts. Using numerous MSDW accounts, O'Donnell executed deceptive market-timing trades in at least 35 mutual fund complexes with a total trading volume of \$1,534,289,843. As a result of his deceptive trading practices, O'Donnell was ranked as the second highest producer in his office in 2002 and 2003.

47. O'Donnell knew, or was reckless in not knowing, that he was engaged in

deceptive market-timing trading and that such trading violated mutual funds' trading restrictions.

48. From April 26, 2002 to March 12, 2003, O'Donnell opened at least 50 MSDW accounts for Millennium as part of the deceptive scheme. By opening these accounts, he was able to invest a larger amount of his customer's money in a target mutual fund without triggering the fund's surveillance threshold and when his hedge fund customer's market-timing trades were detected by a mutual fund he could continue to execute trades in the fund by shifting money to his customers' other accounts, despite the mutual fund's attempts to block his customers' trading. Nearly all the accounts opened by O'Donnell for Millennium bore the names of limited liability companies Millennium had formed to facilitate market-timing trades. The use of such names was misleading because they had no apparent relationship to Millennium and prevented mutual funds from easily associating Millennium with the excessive trading. The following are the Millennium accounts O'Donnell opened:

	ACCOUNT NAME	DATE OPENED	ACCOUNT NUMBER
1.	Gilmore and Gillespie LLC	4/26/02	723-060178-143
2.	Wyatt, Atwood & Co., LLC	4/26/02	723-060177-143
3.	Gahn & McElroy, LLC	4/26/02	723-060179-143
4.	Mazzard Group LLC	4/26/02	723-060176-143
5.	Auric Ventures LLC	6/14/02	723-060195-143
6.	Linkage Associates, LLC	6/14/02	723-060193-143
7.	Napa Drive LLC	6/14/02	723-060196-143

8.	Palomino Enterprises LLC	7/31/02	723-060202-015
9.	Periwinkle LLC	9/6/02	723-060304-143
10.	Smikey & Co. LLC	10/3/02	723-060210-143
11.	Periwinkle LLC	10/22/02	723-060217-002
12.	Mazzard Group LLC	10/22/02	723-060215-002
13.	Gilmore and Gillespie LLC	10/22/02	723-060214-002
14.	Taiga Group LLC	10/22/02	723-060216-002
15.	Buka Group LLC	10/23/02	723-060219-002
16.	Lemata LLC	10/23/02	723-060220-002
17.	Chiba LLC	10/29/02	723-060221-002
18.	Exmoor Ltd.	11/1/02	723-012316-002
19.	Forest Green LLC #2	11/30/02	723-060259-011
20.	Buka Group LLC	12/10/02	723-060246-011
21.	Lemata LLC	12/10/02	723-060243-011
22.	Hobart Way LLC	12/10/02	723-060244-011
23.	Valonia LLC	12/10/02	723-060242-011
24.	Chiba LLC	12/10/02	723-060245-011
25.	Buka Group LLC	12/10/02	723-060246-011
26.	Osaykanyo LLC	12/10/02	723-060247-011
27.	Forest Green LLC	12/10/02	723-060257-011
28.	Valonia LLC	12/10/02	723-060242-011

29.	Redwing Group LLC	12/10/02	723-060241-011
30.	Forest Green LLC	12/31/02	723-060260-011
31.	Forest Green LLC	12/31/02	723-060261-011
32.	Umiak Partners LLC	1/13/03	723-060267-002
33.	Umiak Partners LLC	1/13/03	723-060268-002
34.	Acorn Way LLC	1/13/03	723-060269-002
35.	Acorn Way LLC	1/13/03	723-060270-002
36.	Tipton Associates LLC	1/13/03	723-060271-002
37.	Tipton Associates LLC	1/13/03	723-060272-002
38.	Cotton Tail Associates LLC	1/13/03	723-060263-002
39.	Cotton Tail Associates LLC	1/13/03	723-060264-002
40.	Saga Partners LLC	1/13/03	723-060265-002
41.	Saga Partners LLC	1/13/03	723-060266-002
42.	Optic Group LLC	2/26/03	723-060287-002
43.	Buka Group LLC	2/26/03	723-060288-002
44.	Hobart Way LLC	2/26/03	723-060294-002
45.	Valonia LLC	2/26/03	723-060291-002
46.	Napa Drive LLC	2/26/03	723-060290-002
47.	Chiba LLC	2/26/03	723-060293-002
48.	Osaykanyo LLC	2/26/03	723-060292-002
49.	Taiga Group LLC	2/26/03	723-060289-002

50.	Foxtail Ltd. LLC	3/12/03	723-012407-143
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49. O'Donnell did not open these accounts for Millennium to pursue different investment strategies. On the contrary, he regularly used two or more of Millennium's accounts to purchase shares of the same mutual fund at or about the same time. He also routinely sold or exchanged fund shares held in several of Millennium's accounts at or about the same time. Significantly, these purchases, sales and exchanges often reflected the same investment decision (i.e., a transfer from a money market fund to an equity fund in a particular sector, or vice versa).

50. Similarly, O'Donnell did not open these accounts for Millennium to segregate assets. Instead, O'Donnell co-mingled the funds in the Millennium accounts through frequent and large journal transfers of money. From November 2002 until July 2003, O'Donnell transferred funds between Millennium accounts on 41 occasions. Eleven of the transfers were for over a million dollars and 19 of the transfers were for hundreds of thousands of dollars.

51. The timing and manner in which the numerous accounts were opened demonstrate that O'Donnell knowingly or recklessly engaged in deceptive trading practices to circumvent mutual funds' trading restrictions. To illustrate this point, O'Donnell's trading assistant recommended to Millennium that it place trades in a certain mutual fund through five particular accounts based upon his belief that these trades would be accepted by the mutual fund if executed through these accounts. O'Donnell then opened the recommended accounts for Millennium using five different entity names. His assistant also advised Millennium that the trades should be kept under \$300,000 and

asked Millennium if they wanted him to recommend other accounts from which he believed trades would be accepted. If the accounts actually represented different investment strategies or separate asset pools, there would be no legitimate reason for O'Donnell or his trading assistant to advise Millennium as to the number of accounts in which to place the trades or the amount of each trade.

52. Another deceptive practice O'Donnell used to circumvent mutual funds' excessive trading restrictions was to open accounts and execute trades using other FAs' identification numbers. As stated earlier, FA identification numbers were used at MSDW to open customer accounts, execute securities transactions, and track commissions. Each FA received a primary FA identification number. In addition, two or more brokers could obtain a joint FA identification number to handle transactions and allocate commissions for a shared customer. While joint FA identification numbers were sometimes used legitimately to share commissions at MSDW, O'Donnell did not use joint FA identification numbers for that purpose when executing market-timing trades for Millennium.

53. O'Donnell used at least three different FA identification numbers in order to place trades for Millennium. Besides his primary FA identification number, ("O'Donnell identification number"), he obtained two supplemental identification numbers by entering into joint production agreements with junior FAs in his office. O'Donnell obtained the first FA identification number through a joint production agreement with David Cusano on or about October 21, 2002, ("O'Donnell/Cusano identification number") and he obtained the second FA identification number through a

joint production agreement with Michael Gaetano on or about October 31, 2002 (“O’Donnell/Gaetano identification number”).

54. By placing trades using different FA identification numbers, O’Donnell made it harder for mutual funds to detect and prevent him from executing his customers’ market-timing trades. To illustrate this point, for market-timing trades executed through a MSDW Choice account, mutual funds were required to contact MF Ops at MSDW and ask for information regarding the identity of the FA involved in the market-timing trading. In response to these requests, MSDW personnel provided the mutual funds with the FA’s identification number or other identifying information. The mutual funds would then use this information to attempt to block further deceptive market-timing trading by the offending FA. Accordingly, the more FA identification numbers available to O’Donnell and the more FAs purportedly involved in the market-timing trading, the longer it potentially would take for mutual fund companies to completely bar him from trading on behalf of his clients in their funds.

55. The following is a summary of the fund complexes O’Donnell made purchases in on behalf of Millennium, the amounts purchased, the number of accounts used, and the number of FA identification numbers used to trade in each fund complex.

FUND COMPLEX	AMOUNT TRADED	NUMBER OF ACCOUNTS	NUMBER OF FA IDENTIFICATION NUMBERS
AIM	290,597,970	17	3
Alliance	51,772,015	22	2
American Century	38,725,698	8	2
Citizens Funds	2,032,086	1	1
Columbia	9,052,728	3	1

Credit Suisse	5,099,747	8	2
Delaware	4,258,190	3	1
Dreyfus	3,031,623	7	2
Evergreen	73,956,505	16	3
Fidelity	11,133,348	1	1
Franklin	41,985,434	11	2
Gabelli	27,249,628	16	2
Goldman Sachs	40,551,550	10	2
ING	51,711,148	16	2
Ivy Fund	5,886,116	1	1
Janus	34,159,261	2	1
John Hancock	3,200,000	14	2
Liberty	3,056,625	2	1
Lord Abbett	6,168,019	4	2
Managers Funds	6,389,403	3	2
MFS	68,068,258	17	3
Morgan Stanley	50,221,447	13	2
Nations Fund	38,767,938	5	1
Oppenheimer	86,351,865	10	2
Phoenix	5,734,801	8	1
Pioneer	14,745,000	12	2
Putnam	189,216,885	11	2
Scudder	134,727,187	15	2
Seligman	10,347,163	18	3
SIT	26,424,465	13	2
Sun America	10,860,441	9	2

T Rowe Price	24,092,119	16	2
Templeton	53,030,435	15	3
Thornburg	300,000	1	1
Van Kampen	111,384,745	13	2

56. O'Donnell did not use joint FA identification numbers to facilitate the allocation of commissions among multiple brokers for a shared customer when executing market-timing trades for Millennium. To illustrate this point, he obtained new FA identification numbers by entering into joint production agreements with two junior FAs in his office. These FAs had little or no role in establishing the Millennium customer relationship or servicing its accounts. The true nature of these agreements is demonstrated by the fact that under each of the joint production agreements O'Donnell received 99% of the revenue earned for business placed under that number, leaving the junior FA with only 1%.

57. In an effort to further disguise their market-timing activities, O'Donnell purchased variable annuity contracts and executed trades on behalf of Millennium. O'Donnell knew, or was reckless in not knowing, that trading through variable annuity contracts made it harder for mutual funds to identify O'Donnell or his hedge fund customer because the issuer of the insurance would aggregate trades and transmit them to the mutual funds on a net basis. Thus, O'Donnell was able to engage in additional deceptive market-timing trading on behalf of Millennium.

58. O'Donnell knew, or was reckless in not knowing, that the contracts Millennium purchased were sham transactions. After all, Millennium did not need or want life insurance, nor was it interested in tax-deferred investing or retirement planning

for its employees. Furthermore, the additional fees associated with this insurance product made it a more expensive and unnecessarily complicated way for Millennium to invest in mutual funds. O'Donnell has acknowledged that the purpose of these variable annuity contracts was to market time international mutual funds. With this knowledge, O'Donnell facilitated the purchase of at least 10 variable annuity contracts and executed mutual fund trades through them on behalf of Millennium.

59. From May 21, 2002 to May 28, 2003, O'Donnell and his partners collectively received approximately 94 block notices from mutual fund companies, using various methods of communication, asking him to stop or limit their trading for Millennium in their fund. Despite these repeated requests, O'Donnell continued to execute market-timing trades with the same funds through other Millennium accounts and by using other FA identification numbers.

60. By using different MSDW account and FA identification numbers, O'Donnell was able to conceal his customers' market-timing trading, making it harder for mutual funds to detect and prevent such activity. As a result of this subterfuge, mutual fund companies were fooled into processing additional transactions that they were trying to stop from him and his customers. While Attachment B details instances of such deceptive trading, outside of the annuity context, the following are a few examples of O'Donnell's deliberate attempts to evade mutual fund companies' efforts to enforce their trading restrictions.

a. From April 29, 2002 through October 3, 2002, O'Donnell opened all Millennium accounts under his own identification number. On October 9, 2002, AIM mutual funds banned O'Donnell from trading in their funds. On October 21, 2002,

O'Donnell obtained a new joint FA identification number, the O'Donnell/Cusano identification number. The next day, he opened four Millennium accounts using the O'Donnell/Cusano identification number: Mazzard LLC, Periwinkle LLC, Gilmore and Gillespie LLC, and Taiga LLC. On October 29, 2002, he opened a fifth Millennium account, Chiba LLC, using the O'Donnell/Cusano identification number. On November 8, 2002, he funded the Gilmore & Gillespie LLC account by journal transferring \$2 million dollars into it from a previously opened Millennium account. Despite the trading bar, on November 15, 2002, O'Donnell used four of the new accounts—Chiba, Mazzard, Taiga, and Periwinkle—to purchase \$1.5 million, \$1.3 million, \$1 million, and \$1.5 million respectively of shares in the AIM International Growth Fund. On November 27, 2002, all four of these accounts sold their positions. On January 2, 2003, all four of these accounts and the Gilmore & Gillespie account were used to purchase \$1.5 million each in the AIM International Growth Fund. These positions were sold on January 8, 2003.

b. On July 24, 2002, Oppenheimer mutual funds banned O'Donnell from trading in their funds. Nevertheless, O'Donnell used the O'Donnell/Gaetano identification number to make seven purchases in Oppenheimer funds in January and February 2003 using five different Millennium accounts with a total trading volume of \$5,026,123.

c. On August 9, 2002, T.Rowe Price mutual funds banned O'Donnell from trading in their funds. Nonetheless, from September to October 2002, O'Donnell made eight additional purchases in T. Rowe Price funds for Millenium under his FA identification number, then beginning in January of 2003, he used the O'Donnell/Gaetano

identification number to make six additional purchases in T.Rowe Price funds through six Millennium accounts totaling \$3,431,217.

d. On August 9, 2002, American Century mutual funds banned O'Donnell from trading in their funds and threatened to bar all trades from the Rye Branch if he violated that ban. Notwithstanding this prohibition, in January of 2003, he made three purchases in an American Century fund under the O'Donnell/Gaetano identification number with total trading volume of \$1,385,014.

e. Around February 26, 2003, Alliance Funds banned O'Donnell from trading in their funds. Notwithstanding this ban, he subsequently made 14 additional purchases in eight different Millennium accounts using the O'Donnell/Cusano identification number. An example of one of these trades is as follows: on March 3, 2003, Alliance cancelled a trade in a Millennium account named Smike & Co. LLC because it was identified as a market-timing trader. On that same day, O'Donnell transferred \$1.8 million from the Smike & Co. account to a Millennium account named Chiba LLC. On March 5, 2003, the Chiba account was used to make four purchases in Alliance funds: \$200,000 in the All Asia Investment Fund, \$170,000 in the Worldwide Privatization Fund, \$180,000 in the International Premium Growth Fund, and \$150,000 in the New Europe Fund.

61. O'Donnell knew, or was reckless in not knowing, that his request for and use of multiple MSDW accounts, variable annuity contracts, and FA identification numbers was for the purpose of circumventing mutual funds' trading restrictions by disguising his customer's market-timing activity, in order to deceive and defraud the mutual funds they targeted and their shareholders.

62. O'Donnell left MSDW in March 2004. O'Donnell earned approximately \$390,677 from placing market-timing trades for Millennium. In executing these deceptive trades, O'Donnell caused significant harm to shareholders of the mutual funds targeted.

FIRST CLAIM

Violations of Section 17(a) of the Securities Act

63. Paragraphs 1 through 62 are realleged and incorporated by reference as if set forth fully herein.

64. The Defendants knowingly, recklessly, or negligently in the offer or sale of securities, by the use of the means or instrumentalities of transportation or communication in interstate commerce or by use of the mails, directly or indirectly: (a) employed devices, schemes, or artifices to defraud; (b) obtained money or property by means of untrue statements of material fact or omissions to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; and (c) engaged in transactions, acts, practices or courses of business which operated as a fraud or deceit upon purchasers of securities.

65. By engaging in the foregoing conduct, the Defendants violated Section 17(a) of the Securities Act [15 U.S.C. § 77q(a)].

SECOND CLAIM

Violations of Section 10(b) of the Exchange Act and Rule 10b-5 Thereunder

66. Paragraphs 1 through 62 are realleged and incorporated by reference as if set forth fully herein.

67. The Defendants knowingly or recklessly by the use of the means or

instrumentalities of interstate commerce or of the mails, in connection with the purchase or sale of securities, directly or indirectly: (a) employed devices, schemes or artifices to defraud; (b) made untrue statements of material fact or omitted to state a material fact necessary to make the statements made, in the light of the circumstances under which they were made, not misleading; or (c) engaged in acts, practices or courses of business which operated as a fraud or deceit upon certain persons.

68. By engaging in the foregoing conduct, the Defendants violated Section 10(b) of the Exchange Act [15 U.S.C. § 78j(b)] and Rule 10b-5 [17 C.F.R. § 240.10b-5] thereunder.

JURY DEMAND

69. The Commission hereby demands trial by jury on all claims so triable.

PRAYER FOR RELIEF

WHEREFORE, the Commission respectfully requests that this Court:

A. Permanently enjoin the Defendants and their respective agents, servants, employees, attorneys, assigns and all those persons in active concert or participation with them who receive actual notice of the injunction by personal service or otherwise, from directly or indirectly engaging in violations of Section 17(a) of the Securities Act [15 U.S.C. § 77q(a)] and Section 10(b) of the Exchange Act [15 U.S.C. § 78j(b)] and Rule 10b-5 thereunder [17 C.F.R. § 240.10b-5];

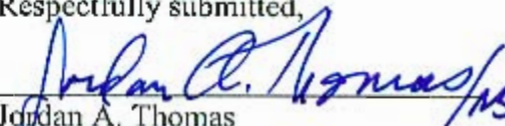
B. Order each defendant to pay civil monetary penalties pursuant to Section 20(d) of the Securities Act [5 U.S.C. § 77t(d) and Section 21(d)(3) of the Exchange Act

[15 U.S.C. § 78u(d)(3)]; and

C. Grant such other and further relief as this Court deems necessary and appropriate under the circumstances.


Robert B. Blackburn (RB 1545)

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Dated: December 14, 2007

ATTACHMENT

A

Haidar Transactions

Trading Associated with Goldstein in AIM Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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4/11/02 AIM warned that only two more exchanges were permitted that year in accounts 622-024182

622036	4/15/2002	BOT	AIM INTERM GOVT A	622-026787	HAIDAR JUPITER FUND LLC	\$370,000.00
622036	4/22/2002	XCHG TO	AIM INTERM GOVT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$557,061.93
622036	4/24/2002	XCHG TO	AIM INTERM GOVT A	622-026787	HAIDAR JUPITER FUND LLC	\$370,486.81
622036	4/16/2002	XCHG TO	AIM INTL EQUITY A	622-024184	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,379,203.50
622036	4/22/2002	XCHG TO	AIM INTL EQUITY A	622-026787	HAIDAR JUPITER FUND LLC	\$370,000.00
622074	4/22/2002	XCHG TO	AIM INTERM GOVT A	622-024577	HAIDAR JUPITER FUND, LLC	\$484,771.09
622074	4/22/2002	XCHG TO	AIM INTERM GOVT A	622-024176	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$581,925.45
622074	4/22/2002	XCHG TO	AIM INTERM GOVT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,342,628.72
622074	4/22/2002	XCHG TO	AIM INTERM GOVT A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$939,774.93
622074	4/22/2002	XCHG TO	AIM INTERM GOVT A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$515,898.24
622114	4/22/2002	XCHG TO	AIM INTERM GOVT A	622-026141	MARINER LDC	\$609,767.22

4/23/02 AIM warned that only one more exchange was permitted that year in account 622-024577

622036	4/30/2002	XCHG TO	AIM ASIAN GRWTH A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$558,901.60
622036	5/1/2002	BOT	AIM ASIAN GRWTH A	622-024184	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$412,300.00
622036	4/24/2002	XCHG TO	AIM INTERM GOVT A	622-026787	HAIDAR JUPITER FUND LLC	\$370,486.81
622036	5/6/2002	XCHG TO	AIM INTERM GOVT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$558,901.60
622036	5/6/2002	XCHG TO	AIM INTERM GOVT A	622-026787	HAIDAR JUPITER FUND LLC	\$374,215.04
622036	4/30/2002	XCHG TO	AIM INTL EQUITY A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$550,897.02
622036	4/30/2002	XCHG TO	AIM INTL EQUITY A	622-026787	HAIDAR JUPITER FUND LLC	\$370,486.81
622074	4/30/2002	XCHG TO	AIM EUROLAND GROWTH A	622-024577	HAIDAR JUPITER FUND, LLC	\$486,306.67
622074	4/30/2002	XCHG TO	AIM GLBL AGGR GRWTH A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,347,064.72
622074	5/3/2002	BOT	AIM INTERM GOVT A	622-026142	MARINER LDC	\$565,000.00
622074	5/6/2002	XCHG TO	AIM INTERM GOVT A	622-024176	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$589,878.66
622074	5/6/2002	XCHG TO	AIM INTERM GOVT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,341,402.92
622074	4/30/2002	XCHG TO	AIM INTL EQUITY A	622-024176	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$583,848.11
622074	5/1/2002	BOT	AIM INTL EQUITY A	622-024183	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,231,853.00

Trading Associated with Goldstein in AIM Funds

622114	5/6/2002	XCHG TO	AIM INTERM GOVT A	622-026141	MARINER LDC	\$618,100.95
622114	4/30/2002	XCHG TO	AIM INTL EQUITY A	622-026141	MARINER LDC	\$611,781.87

5/7/02 AIM warned that only two more exchanges were permitted that year in account 622-026141

622036	5/8/2002	XCHG TO	AIM EURO DEVELOPMENT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$557,038.14
622036	5/14/2002	XCHG TO	AIM EURO DEVELOPMENT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$555,048.39
622036	5/10/2002	XCHG TO	AIM INTERM GOVT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$558,111.57
622036	5/10/2002	XCHG TO	AIM INTERM GOVT A	622-026787	HAIDAR JUPITER FUND LLC	\$370,709.00
622036	5/8/2002	XCHG TO	AIM INTL EQUITY A	622-026787	HAIDAR JUPITER FUND LLC	\$372,573.75
622036	5/14/2002	XCHG TO	AIM INTL EQUITY A	622-026787	HAIDAR JUPITER FUND LLC	\$368,674.38
622074	5/8/2002	XCHG TO	AIM GLBL GRWTH A	622-024176	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$587,291.48
622074	5/14/2002	XCHG TO	AIM GLBL GRWTH A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,321,541.95
622074	5/8/2002	XCHG TO	AIM HIGH YIELD A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$944,194.00
622074	5/8/2002	XCHG TO	AIM HIGH YIELD A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$518,324.15
622074	5/8/2002	BOT	AIM HIGH YIELD A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$695,000.00
622074	5/10/2002	XCHG TO	AIM INTERM GOVT A	622-024176	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$576,718.32
622074	5/10/2002	XCHG TO	AIM INTERM GOVT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,328,835.23
622074	5/10/2002	XCHG TO	AIM INTERM GOVT A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$943,022.43
622074	5/10/2002	XCHG TO	AIM INTERM GOVT A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$517,680.99
622074	5/8/2002	XCHG TO	AIM INTL EQUITY A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,335,519.58
622074	5/8/2002	BOT	AIM INTL EQUITY A	622-024183	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$614,032.00
622074	5/14/2002	XCHG TO	AIM INTL EQUITY A	622-026142	MARINER LDC	\$561,282.89
622074	5/14/2002	XCHG TO	AIM INTL EQUITY A	622-024176	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$573,553.01
622074	5/14/2002	BOT	AIM INTL EQUITY A	622-024183	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,295,741.00
622114	5/13/2002	BOT	AIM GLBL AGGR GRWTH A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$552,000.00
622114	5/13/2002	BOT	AIM GLBL GRWTH A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$567,800.00
622114	5/10/2002	XCHG TO	AIM INTERM GOVT A	622-026141	MARINER LDC	\$612,309.94
622114	5/8/2002	XCHG TO	AIM INTL EQUITY A	622-026141	MARINER LDC	\$615,389.98
622114	5/13/2002	BOT	AIM INTL EQUITY A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$547,900.00

5/14/02 AIM warned that only two more exchanges were permitted that year in account 622-026047

Trading Associated with Goldstein in AIM Funds

5/15/02 AIM warned that only two more exchanges were permitted that year in account 622-024176

622036	5/22/2002	XCHG TO	AIM EURO DEVELOPMENT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$564,074.02
622036	5/20/2002	XCHG TO	AIM INTERM GOVT A	622-026787	HAIDAR JUPITER FUND LLC	\$374,356.61
622036	5/20/2002	XCHG TO	AIM INTERM GOVT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$562,220.54
622036	5/22/2002	XCHG TO	AIM INTL EQUITY A	622-026787	HAIDAR JUPITER FUND LLC	\$375,590.75
622074	5/22/2002	XCHG TO	AIM GLBL AGGR GRWTH A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,333,647.44
622074	5/16/2002	XCHG TO	AIM INTERM GOVT A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$686,993.09
622074	5/20/2002	XCHG TO	AIM INTERM GOVT A	622-026142	MARINER LDC	\$570,314.11
622074	5/20/2002	XCHG TO	AIM INTERM GOVT A	622-024176	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$582,392.97
622074	5/20/2002	XCHG TO	AIM INTERM GOVT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,329,265.24
622074	5/22/2002	XCHG TO	AIM INTL EQUITY A	622-026142	MARINER LDC	\$572,194.26
622074	5/22/2002	XCHG TO	AIM INTL EQUITY A	622-024176	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$584,312.94
622114	5/22/2002	XCHG TO	AIM GLBL AGGR GRWTH A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$510,100.63
622114	5/22/2002	XCHG TO	AIM GLBL GRWTH A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$510,100.63
622114	5/20/2002	XCHG TO	AIM INTERM GOVT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$556,929.34
622114	5/20/2002	XCHG TO	AIM INTERM GOVT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$560,325.79
622114	5/20/2002	XCHG TO	AIM INTERM GOVT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$577,493.24
622114	5/22/2002	XCHG TO	AIM INTL EQUITY A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$680,134.18

5/22/02 AIM warned that only two more exchanges were permitted that year in account 622-026787

622036	5/23/2002	BOT	AIM INTERM GOVT A	622-026786	HAIDAR JUPITER FUND LLC	\$425,000.00
622036	5/23/2002	BOT	AIM INTERM GOVT A	622-026143	MARINER LDC	\$495,000.00
622074	5/23/2002	BOT	AIM GLBL AGGR GRWTH A	622-024176	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$872,000.00
622074	5/23/2002	BOT	AIM GLBL GRWTH A	622-024176	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$842,600.00
622074	5/23/2002	XCHG TO	AIM HIGH YIELD A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$1,632,721.96
622074	5/23/2002	XCHG TO	AIM HIGH YIELD A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$518,298.06
622074	5/23/2002	BOT	AIM INTL EQUITY A	622-024183	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$2,000,000.00
622114	5/23/2002	BOT	AIM INTERM GOVT A	622-026141	MARINER LDC	\$490,000.00

5/23/02 AIM warned that only two more exchanges were permitted that year in accounts 622-025975 and -024177

Trading Associated with Goldstein in AIM Funds

622036	6/3/2002	XCHG TO	AIM INTERM GOVT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$565,765.09
622074	6/3/2002	XCHG TO	AIM INTERM GOVT A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$519,094.30
622074	6/3/2002	XCHG TO	AIM INTERM GOVT A	622-026142	MARINER LDC	\$568,622.09
622074	6/3/2002	XCHG TO	AIM INTERM GOVT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,307,427.32
622114	5/30/2002	BOT	AIM INTERM GOVT A	622-026141	MARINER LDC	\$495,000.00
622114	6/3/2002	XCHG TO	AIM INTERM GOVT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$675,709.11
622114	6/3/2002	XCHG TO	AIM INTERM GOVT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$499,807.12
622114	6/3/2002	XCHG TO	AIM INTERM GOVT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$497,489.17

6/4/02 AIM warned that only one more exchange was permitted that year in account 622-024185

622036	6/17/2002	XCHG TO	AIM EURO DEVELOPMENT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$566,384.88
622036	6/19/2002	XCHG TO	AIM INTERM GOVT A	622-026143	MARINER LDC	\$497,784.39
622036	6/19/2002	XCHG TO	AIM INTERM GOVT A	622-026786	HAIDAR JUPITER FUND LLC	\$427,390.57
622036	6/17/2002	XCHG TO	AIM INTL EQUITY A	622-026143	MARINER LDC	\$499,001.57
622036	6/17/2002	XCHG TO	AIM INTL EQUITY A	622-026786	HAIDAR JUPITER FUND LLC	\$428,435.68
622036	6/26/2002	XCHG TO	AIM INTL EQUITY A	622-026786	HAIDAR JUPITER FUND LLC	\$427,383.88
622036	6/26/2002	XCHG TO	AIM INTL EQUITY A	622-026143	MARINER LDC	\$497,784.39
622074	6/26/2002	BOT	AIM GLBL GRWTH A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$482,000.00
622074	6/19/2002	XCHG TO	AIM INTERM GOVT A	622-026142	MARINER LDC	\$570,102.89
622074	6/17/2002	XCHG TO	AIM INTL EQUITY A	622-026142	MARINER LDC	\$571,736.12
622074	6/17/2002	XCHG TO	AIM INTL EQUITY A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,314,587.37
622074	6/17/2002	BOT	AIM INTL EQUITY A	622-024183	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$2,520,000.00
622074	6/26/2002	XCHG TO	AIM INTL EQUITY A	622-026142	MARINER LDC	\$570,102.89
622074	6/26/2002	BOT	AIM INTL EQUITY A	622-024183	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$2,483,003.00
622114	6/17/2002	XCHG TO	AIM INTL EQUITY A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,682,620.10

6/28/2002 AIM notified that none of Riverview Group LLC accounts were permitted orders in 2002 due to excessive short-term trading

6/28/02 AIM blocked trades in accounts 622-026142, -026786, -026143, and -026048 due to unauthorized market timing

6/28/2002 AIM blocked trades in account 622-024183 for the remainder of 2002 due to excessive short-term trading

Trading Associated with Goldstein in AIM Funds

622074	7/2/2002	XCHG TO	AIM INTERM GOVT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$479,800.28
622114	7/3/2002	BOT	AIM INTERM GOVT A	622-026788	HAIDAR JUPITER FUND LLC	\$562,000.00

7/3/2002 AIM blocked trades in account 622-024179 for the remainder of 2002 due to excessive short-term trading

622074	7/9/2002	XCHG TO	AIM INTERM GOVT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$478,882.21
622074	7/16/2002	XCHG TO	AIM INTERM GOVT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$472,357.80
622074	7/15/2002	XCHG TO	AIM INTL GROWTH A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$478,036.36
622074	7/11/2002	XCHG TO	AIM INTL GROWTH A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$480,761.17
622074	7/11/2002	BOT	AIM INTL GROWTH A	622-024183	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$655,307.00
622114	7/16/2002	XCHG TO	AIM INTERM GOVT A	622-026788	HAIDAR JUPITER FUND LLC	\$555,252.12
622114	7/11/2002	XCHG TO	AIM INTL GROWTH A	622-026788	HAIDAR JUPITER FUND LLC	\$565,054.35

7/17/2002 AIM blocked trades in account 622-026788 for the remainder of 2002 due to excessive short-term trading

622036	7/24/2002	BOT	AIM EUROPEAN GROWTH A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$550,000.00
622036	7/24/2002	BOT	AIM EUROPEAN GROWTH A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$400,000.00
622074	7/18/2002	BOT	AIM INTERM GOVT A	622-027004	HAIDAR JUPITER FUND LLC	\$510,000.00
622074	7/24/2002	XCHG TO	AIM INTL GROWTH A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$476,973.68
622114	7/24/2002	BOT	AIM ASIA PACIFIC GROWTH A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$400,000.00
622114	7/22/2002	BOT	AIM INTERM GOVT A	622-027002	HAIDAR JUPITER FUND LLC	\$495,000.00
622114	7/29/2002	XCHG TO	AIM INTL GROWTH A	622-027002	HAIDAR JUPITER FUND LLC	\$492,335.84

7/30/2002 AIM blocked trades in account 622-027002 due to excessive short-term trading

622036	8/1/2002	XCHG TO	AIM INTERM GOVT A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$414,517.18
622074	8/1/2002	XCHG TO	AIM INTERM GOVT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$485,190.54
622114	8/1/2002	XCHG TO	AIM INTERM GOVT A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$389,289.40

8/2/2002 AIM blocked trades in accounts 622-024179, -027211, and -027213 for the remainder of 2002

622114	8/9/2002	BOT	AIM INTERM GOVT A	622-026789	HAIDAR JUPITER FUND LLC	\$399,000.00
622114	9/4/2002	BOT	AIM INTL GROWTH A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$876,000.00

Trading Associated with Goldstein in AIM Funds**9/16/2002 AIM blocked trades in account 622-024180**

622074	9/25/2002	BOT	AIM ASIA PACIFIC GROWTH A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$750,000.00
622074	9/25/2002	BOT	AIM EUROPEAN GROWTH A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$750,000.00
622074	10/2/2002	XCHG TO	AIM INTERM GOVT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$770,380.44
622074	10/2/2002	XCHG TO	AIM INTERM GOVT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$755,357.14
622114	10/1/2002	BOT	AIM ASIA PACIFIC GROWTH A	622-027212	FCK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$500,000.00
622114	10/1/2002	BOT	AIM EUROPEAN GROWTH A	622-027212	FCK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$500,000.00
622114	9/25/2002	BOT	AIM GLOBAL AGGRESSIVE GR	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$845,900.00
622114	9/25/2002	BOT	AIM GLOBAL GROWTH A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$824,600.00
622114	9/25/2002	BOT	AIM INTL GROWTH A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$876,400.00

10/4/02 AIM blocked trades in account 622-027290 due to excessive market timing

622114	10/7/2002	XCHG TO	AIM INTERM GOVT A	622-027212	FCK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$482,712.77
622114	10/7/2002	XCHG TO	AIM INTERM GOVT A	622-027212	FCK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$483,021.07

10/8/02 AIM blocked trades in account 622-027212 for the remainder of 2002 due to excessive trading

622114	10/10/2002	XCHG TO	AIM ASIA PACIFIC GROWTH A	622-027212	FCK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$489,219.41
622114	10/10/2002	XCHG TO	AIM EUROPEAN GROWTH A	622-027212	FCK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$476,500.00
622114	10/10/2002	BOT	AIM INTERM GOVT A	622-029263	DEVON CAPITAL LLC	\$472,000.00
622114	10/10/2002	BOT	AIM INTERM GOVT A	622-029264	WESSEX CAPITAL LLC	\$444,000.00
622114	10/17/2002	XCHG TO	AIM INTL GROWTH A	622-029263	DEVON CAPITAL LLC	\$463,582.56
622114	10/17/2002	XCHG TO	AIM INTL GROWTH A	622-029264	WESSEX CAPITAL LLC	\$436,063.09
622114	10/22/2002	XCHG TO	AIM INTERM GOVT A	622-029263	DEVON CAPITAL LLC	\$461,825.59
622114	10/22/2002	XCHG TO	AIM INTERM GOVT A	622-029264	WESSEX CAPITAL LLC	\$434,429.18

10/23/02 AIM blocked trades in accounts 622-029263 for the remainder of 2002**10/24/02 AIM blocked trades in accounts 622-029264 for the remainder of 2002**

622074	11/14/2002	BOT	AIM CAPITAL DEVELOPMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$550,000.00
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Trading Associated with Goldstein in AIM Funds

622114	11/6/2002	BOT	AIM HIGH YIELD A	622-034182	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$649,700.00
622114	11/14/2002	BOT	AIM HIGH YIELD A	622-034182	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$342,100.00
622114	11/14/2002	BOT	AIM HIGH YIELD A	622-034183	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$384,200.00
622114	11/19/2002	BOT	AIM HIGH YIELD A	622-034182	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$152,300.00
622114	11/19/2002	BOT	AIM HIGH YIELD A	622-034183	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$286,300.00
622032	12/16/2002	BOT	AIM INTL GROWTH A	622-034592	RIVERVIEW GROUP LLC C/O MILLENIUM HOLDING GROUP	\$52,000.00
622114	12/3/2002	BOT	AIM INTERM GOVT A	622-027292	HAIDAR JUPITER INTERNATIONAL LTD	\$495,000.00
622114	12/16/2002	XCHG TO	AIM INTL GROWTH A	622-027292	HAIDAR JUPITER INTERNATIONAL LTD	\$498,179.87

12/18/02 AIM blocked trades in account 622-027292 for the remainder of 2002 due to excessive trading

622032	1/8/2003	XCHG TO	AIM INTERM GOVT A	622-034592	RIVERVIEW GROUP LLC C/O MILLENIUM HOLDING GROUP	\$422,931.55
622114	2/7/2003	XCHG TO	AIM INTERM GOVT A	622-034182	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$1,234,053.47
622114	2/7/2003	XCHG TO	AIM INTERM GOVT A	622-034183	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$717,496.88

Trading Associated with Goldstein in Alliance Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
2/19/2002 Alliance restricted to liquidations and money market-only exchanges in accounts 622-026786 and 622-026141 due to unauthorized market timing						
622036	4/10/2002	XCHG TO	ALLIANCE INTL FUND A	622-026143	MARINER LDC	\$346,459.81
622036	5/8/2002	BOT	ALLIANCE NEW EUROPE A	622-024184	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$387,900.00
622036	3/26/2002	BOT	ALLIANCE US GOVT BOND A	622-026143	MARINER LDC	\$345,000.00
622036	4/22/2002	XCHG TO	ALLIANCE US GOVT BOND A	622-026143	MARINER LDC	\$366,777.87
622036	5/20/2002	XCHG TO	ALLIANCE US GOVT BOND A	622-024184	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$390,351.62
622074	2/20/2002	XCHG TO	ALLIANCE INTL FUND A	622-024577	HAIDAR JUPITER FUND, LLC	\$416,316.32
622074	2/20/2002	XCHG TO	ALLIANCE INTL FUND A	622-024578	HAIDAR JUPITER FUND, LLC	\$425,671.54
622074	3/21/2002	XCHG TO	ALLIANCE INTL FUND A	622-024577	HAIDAR JUPITER FUND, LLC	\$449,683.67
622074	3/21/2002	XCHG TO	ALLIANCE INTL FUND A	622-024578	HAIDAR JUPITER FUND, LLC	\$458,170.66
622074	4/10/2002	XCHG TO	ALLIANCE INTL FUND A	622-024578	HAIDAR JUPITER FUND, LLC	\$454,686.18
622074	7/11/2002	BOT	ALLIANCE INTL FUND A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$196,000.00
622074	7/11/2002	BOT	ALLIANCE INTL PREM GRWTH A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$184,000.00
622074	7/11/2002	BOT	ALLIANCE NEW EUROPE A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$195,000.00
622074	3/12/2002	XCHG TO	ALLIANCE US GOVT BOND A	622-024577	HAIDAR JUPITER FUND, LLC	\$450,953.26
622074	3/12/2002	XCHG TO	ALLIANCE US GOVT BOND A	622-024578	HAIDAR JUPITER FUND, LLC	\$461,946.49
622074	3/25/2002	XCHG TO	ALLIANCE US GOVT BOND A	622-024578	HAIDAR JUPITER FUND, LLC	\$450,854.56
622074	4/22/2002	XCHG TO	ALLIANCE US GOVT BOND A	622-024578	HAIDAR JUPITER FUND, LLC	\$468,675.86
622074	7/24/2002	BOT	ALLIANCE INTL FUND A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$313,000.00
622074	7/29/2002	XCHG TO	ALLIANCE INTL FUND A	622-027004	HAIDAR JUPITER FUND LLC	\$193,675.27
622074	7/22/2002	BOT	ALLIANCE US GOVT BOND A	622-027004	HAIDAR JUPITER FUND LLC	\$195,000.00
622074	7/30/2002	BOT	ALLIANCE US GOVT BOND A	622-027004	HAIDAR JUPITER FUND LLC	\$149,000.00
622074	8/1/2002	XCHG TO	ALLIANCE US GOVT BOND A	622-027004	HAIDAR JUPITER FUND LLC	\$189,764.97
622114	7/29/2002	XCHG TO	ALLIANCE INTL FUND A	622-027002	HAIDAR JUPITER FUND LLC	\$193,675.27
622114	7/24/2002	BOT	ALLIANCE NEW EUROPE A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$156,000.00
622114	7/22/2002	BOT	ALLIANCE US GOVT BOND A	622-027002	HAIDAR JUPITER FUND LLC	\$195,000.00
622114	8/1/2002	XCHG TO	ALLIANCE US GOVT BOND A	622-027002	HAIDAR JUPITER FUND LLC	\$189,764.97

Trading Associated with Goldstein in Alliance Funds

8/5/2002 Alliance restricted to liquidations and money market-only purchases in account 622-027002 due to "excessive trade activity"

622074	8/14/2002	BOT	ALLIANCE NEW EUROPE A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$188,000.00
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8/15/2002 Alliance warned that it would block any future trades in account 622-024179 due to unauthorized market timing

622074	10/15/2002	BOT	ALLIANCE HIGH YIELD A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$550,000.00
622074	10/15/2002	BOT	ALLIANCE HIGH YIELD A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$375,000.00
622114	6/12/2003	BOT	ALLIANCEBER HIGH YIELD A	622-026142	MARINER LDC	\$1,000,000.00

Trading Associated with Goldstein in Eaton Vance Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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6/27/02 Eaton Vance allowed only liquidations in account 622-026789 and blocked all trades by Goldstein and his partner due to unauthorized market timing

622074	7/2/2002	XCHG TO	EATON VANCE GOVT OBLIG FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$338,832.80
622074	7/22/2002	BOT	EATON VANCE GOVT OBLIG FD A	622-027004	HAIDAR JUPITER FUND LLC	\$300,000.00
622074	7/30/2002	BOT	E V GOVT OBLIGATIONS A	622-027004	HAIDAR JUPITER FUND LLC	\$399,000.00
622074	10/15/2002	BOT	E V HI YLD MUNICIPALS A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$395,000.00
622074	10/15/2002	BOT	E V HI YLD MUNICIPALS A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$285,000.00
622074	7/11/2002	BOT	E V TAX MGD INTL GRW A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$457,000.00
622074	7/29/2002	BOT	E V TAX MGD INTL GRW A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$346,000.00
622114	8/2/2002	BOT	E V GOVT OBLIGATIONS A	622-026141	MARINER LDC	\$400,000.00
622114	9/3/2002	BOT	E V GOVT OBLIGATIONS A	622-027002	HAIDAR JUPITER FUND LLC	\$520,000.00
622114	12/3/2002	BOT	E V GOVT OBLIGATIONS A	622-027292	HAIDAR JUPITER INTERNATIONAL LTD	\$195,000.00
622114	12/16/2002	BOT	E V GOVT OBLIGATIONS A	622-027292	HAIDAR JUPITER INTERNATIONAL LTD	\$215,000.00
622114	9/4/2002	BOT	E V TAX MGD INTL GRW A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$414,300.00

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Trading Associated with Goldstein in Federated Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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4/11/2002 Federated blocked future purchases and exchanges in accounts 622-024178, -024179, -026789, -024577, -024577, and -026048 due to unauthorized market timing

622036	5/13/2002	BOT	FEDERATED EUROPEAN GROWTH A	622-024184	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$402,300.00
622036	5/13/2002	BOT	FEDERATED INTL EQUITY A	622-024184	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$473,000.00
622036	5/2/2002	BOT	FEDERATED US GOVMT SEC A	622-026143	MARINER LDC	\$256,665.00
622074	5/8/2002	XCHG TO	FEDERATED HIGH INC BOND A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$968,291.75
622074	5/8/2002	XCHG TO	FEDERATED HIGH INC BOND A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$888,869.57
622074	4/22/2002	XCHG TO	FEDERATED US GOVMT SEC A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$964,870.74
622074	4/22/2002	XCHG TO	FEDERATED US GOVMT SEC A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$885,729.16
622074	5/10/2002	XCHG TO	FEDERATED US GOVMT SEC A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$963,281.18
622074	5/10/2002	XCHG TO	FEDERATED US GOVMT SEC A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$884,289.99
622114	5/1/2002	BOT	FEDERATED INTL EQUITY A	622-024185	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$323,000.00

5/22/2002 Federated blocked future exchanges in accounts 622-026113, -024185, -026143, -026047, and -026048 due to unauthorized market timing

622036	8/7/2002	BOT	FEDERATED EMERGING MARKETS A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$550,000.00
622036	7/29/2002	BOT	FEDERATED EUROPEAN GROWTH A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622036	8/6/2002	BOT	FEDERATED EUROPEAN GROWTH A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622036	8/8/2002	BOT	FEDERATED INTL CAP APPREC A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,000.00
622036	7/24/2002	BOT	FEDERATED INTL EQUITY A	622-024184	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$386,000.00
622036	8/6/2002	BOT	FEDERATED INTL EQUITY A	622-024184	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$508,000.00
622036	7/29/2002	BOT	FEDERATED INTL GROWTH A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622036	8/6/2002	BOT	FEDERATED INTL GROWTH A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622074	7/29/2002	BOT	FEDERATED EMERGING MARKETS A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$300,000.00
622074	7/29/2002	BOT	FEDERATED EMERGING MARKETS A	622-027215	HUDSON INVESTORS,LLC C/O HAIDAR CAPITAL MANAGEMENT	\$550,000.00
622074	8/6/2002	BOT	FEDERATED EMERGING MARKETS A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$550,000.00
622074	7/29/2002	BOT	FEDERATED EUROPEAN GROWTH A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$300,000.00
622074	8/6/2002	BOT	FEDERATED EUROPEAN GROWTH A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$550,000.00
622074	8/6/2002	BOT	FEDERATED EUROPEAN GROWTH A	622-027215	HUDSON INVESTORS,LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,000.00
622074	8/6/2002	BOT	FEDERATED INTL EQUITY A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$345,000.00

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Trading Associated with Goldstein in Federated Funds

622074	7/29/2002	BOT	FEDERATED INTL GROWTH A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$300,000.00
622074	8/6/2002	BOT	FEDERATED INTL GROWTH A	622-027215	HUDSON INVESTORS ,LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,000.00

8/12/2002 Federated restricted trades to liquidations only in accounts 622-025968, -026110, -027215, and -024184 due to unauthorized market timing

622036	8/19/2002	BOT	FEDERATED EMERGING MARKETS A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,000.00
622036	8/19/2002	BOT	FEDERATED EUROPEAN GROWTH A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,000.00
622036	9/25/2002	BOT	FEDERATED INTL EQUITY A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$650,000.00
622036	10/8/2002	BOT	FEDERATED INTL EQUITY A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$675,000.00
622036	10/21/2002	BOT	FEDERATED INTL EQUITY A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$700,000.00
622074	9/4/2002	BOT	FEDERATED EMERGING MARKETS A	622-026109	HAIDAR JUPITER SHORT EQUITY FUND LLC/ACCESS	\$550,000.00
622074	10/1/2002	BOT	FEDERATED EMERGING MARKETS A	622-026109	HAIDAR JUPITER SHORT EQUITY FUND LLC/ACCESS	\$530,000.00
622074	9/25/2002	BOT	FEDERATED EUROPEAN GROWTH A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$123,400.00
622074	10/15/2002	BOT	FEDERATED EUROPEAN GROWTH A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$650,000.00
622074	10/8/2002	BOT	FEDERATED INTL CAP APPREC A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$181,000.00
622074	10/15/2002	BOT	FEDERATED INTL CAP APPREC A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$550,000.00
622074	8/19/2002	BOT	FEDERATED INTL EQUITY A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$178,000.00
622074	9/25/2002	BOT	FEDERATED INTL EQUITY A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$301,000.00
622074	9/25/2002	BOT	FEDERATED INTL EQUITY A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$224,600.00
622074	10/1/2002	BOT	FEDERATED INTL EQUITY A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$750,000.00
622074	10/8/2002	BOT	FEDERATED INTL EQUITY A	622-027215	HUDSON INVESTORS ,LLC C/O HAIDAR CAPITAL MANAGEMENT	\$455,000.00
622074	10/21/2002	BOT	FEDERATED INTL EQUITY A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$176,500.00
622074	11/14/2002	BOT	FEDERATED INTL EQUITY A	622-027215	HUDSON INVESTORS ,LLC C/O HAIDAR CAPITAL MANAGEMENT	\$600,000.00
622114	10/10/2002	BOT	FEDERATED EUROPEAN GROWTH A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$550,000.00
622114	8/19/2002	BOT	FEDERATED INTL CAP APPREC A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$350,000.00
622114	10/8/2002	BOT	FEDERATED INTL CAP APPREC A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622114	10/10/2002	BOT	FEDERATED INTL CAP APPREC A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$167,800.00
622114	9/4/2002	BOT	FEDERATED INTL EQUITY A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$350,000.00
622114	9/25/2002	BOT	FEDERATED INTL EQUITY A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$512,300.00
622114	10/8/2002	BOT	FEDERATED INTL EQUITY A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,000.00
622114	10/8/2002	BOT	FEDERATED INTL EQUITY A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$625,000.00
622114	10/10/2002	BOT	FEDERATED INTL EQUITY A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$278,600.00
622114	10/10/2002	BOT	FEDERATED INTL EQUITY A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$800,000.00

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Trading Associated with Goldstein in Federated Funds

11/22/2002 Federated restricted trades to liquidations only in accounts 622-026113, -024180, -024182, -027213 and -027290 due to unauthorized market timing

622032	12/16/2002	BOT	FEDERATED INTL SMALL CO A	622-034592	RIVERVIEW GROUP LLC C/O MILLENIUM HOLDING GROUP	\$52,000.00
622036	12/16/2002	BOT	FEDERATED INTL EQUITY A	622-027210	FCK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,000.00
622074	12/16/2002	BOT	FEDERATED INTL EQUITY A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$350,000.00
622074	12/16/2002	BOT	FEDERATED INTL SMALL CO A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$750,000.00

Trading Associated with Goldstein in Goldman Sachs Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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6/18/02 Goldman Sachs blocked trades in account 622-026141 due to unauthorized market timing

6/18/02 Goldman Sachs cancelled trades in accounts 622-024182, -024178, and -024184 due to unauthorized market timing, and stated that the broker is a known market timer and GS would like him banned from their funds

622074	6/21/2002	XCHG TO	GOLDMAN SACHS ADJ RATE GOV A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENIUM HOLDING GROUP	\$304,916.14
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6/26/02 Goldman Sachs blocked trades in account 622-024181 due to unauthorized market timing

622114	7/29/2002	XCHG TO	GOLDMAN SACHS CORE INTL EQ A	622-027002	HAIDAR JUPITER FUND LLC	\$224,087.22
622114	8/6/2002	XCHG TO	GOLDMAN SACHS CORE INTL EQ A	622-027002	HAIDAR JUPITER FUND LLC	\$222,229.21
622114	7/22/2002	BOT	GOLDMAN SACHS GOV INC A	622-027002	HAIDAR JUPITER FUND LLC	\$225,000.00
622114	8/1/2002	XCHG TO	GOLDMAN SACHS GOV INC A	622-027002	HAIDAR JUPITER FUND LLC	\$221,779.96

8/6/02 Goldman Sachs blocked trades in account 622-027002 due to unauthorized market timing

622074	10/24/2002	XCHG TO	GOLDMAN SACHS GOV INC A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$800,000.00
622074	10/15/2002	BOT	GOLDMAN SACHS HI YLD FD A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$800,000.00

10/31/02 Goldman Sachs blocked trades in account 622-026047 due to unauthorized market timing

622032	12/16/2002	BOT	GOLDMAN SACHS CORE INTL EQ A	622-034592	RIVERVIEW GROUP LLC C/O MILLENIUM HOLDING GROUP	\$52,000.00
622114	11/1/2002	BOT	GOLDMAN SACHS HI YLD FD A	622-034182	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$648,700.00
622114	11/14/2002	BOT	GOLDMAN SACHS HI YLD FD A	622-034182	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$342,100.00
622114	11/19/2002	BOT	GOLDMAN SACHS HI YLD FD A	622-034182	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$152,300.00

Trading Associated with Goldstein in ING Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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5/24/02 ING blocked trades in account 622-024183 due to unauthorized market timing

622032	1/8/2003	XCHG TO	ING GNMA INCOME FD A	622-034592	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$421,284.89
622032	12/16/2002	BOT	ING INTL SM CAP GRW A	622-034592	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$52,000.00
622074	6/3/2002	XCHG TO	ING GNMA INCOME FD A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$577,518.97
622074	8/1/2002	XCHG TO	ING GNMA INCOME FD A	622-024177	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$329,741.78
622074	8/1/2002	XCHG TO	ING GNMA INCOME FD A	622-024182	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$429,683.53
622074	8/13/2002	XCHG TO	ING GNMA INCOME FD A	622-024177	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$338,583.48
622074	8/13/2002	XCHG TO	ING GNMA INCOME FD A	622-024182	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$441,184.54
622074	9/3/2002	BOT	ING GNMA INCOME FD A	622-027004	HAIDAR JUPITER FUND LLC	\$155,000.00
622074	7/11/2002	BOT	ING INTL VALUE A	622-024183	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$655,307.00
622074	7/24/2002	BOT	ING WORLDWIDE GRW A	622-024177	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$330,000.00
622074	7/24/2002	BOT	ING WORLDWIDE GRW A	622-024182	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$430,000.00
622074	8/6/2002	XCHG TO	ING WORLDWIDE GRW A	622-024177	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$330,112.27
622074	8/6/2002	XCHG TO	ING WORLDWIDE GRW A	622-024182	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$430,146.30
622074	8/19/2002	XCHG TO	ING WORLDWIDE GRW A	622-024177	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$336,321.23
622074	8/19/2002	XCHG TO	ING WORLDWIDE GRW A	622-024182	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$438,236.76
622114	7/30/2002	BOT	ING GNMA INCOME FD A	622-027002	HAIDAR JUPITER FUND LLC	\$425,000.00
622114	8/13/2002	XCHG TO	ING GNMA INCOME FD A	622-027002	HAIDAR JUPITER FUND LLC	\$437,378.82
622114	8/23/2002	XCHG TO	ING GNMA INCOME FD A	622-027002	HAIDAR JUPITER FUND LLC	\$433,484.52
622114	8/6/2002	XCHG TO	ING WORLDWIDE GRW A	622-027002	HAIDAR JUPITER FUND LLC	\$426,435.81
622114	8/21/2002	XCHG TO	ING WORLDWIDE GRW A	622-027002	HAIDAR JUPITER FUND LLC	\$436,404.70

Trading Associated with Goldstein in Janus Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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3/13/02 Janus blocked future purchases and exchanges in accounts 622-026788, -026110, -025966 and -024577 due to unauthorized market timing

622036	6/13/2002	BOT	JANUS ADVISER SER FLEXIBLE INC	622-026143	MARINER LDC	\$395,000.00
622036	7/1/2002	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	622-026143	MARINER LDC	\$406,959.05
622036	3/21/2002	XCHG TO	JANUS ADVISER SER INTN'L	622-026786	HAIDAR JUPITER FUND LLC	\$354,695.80
622036	6/26/2002	XCHG TO	JANUS ADVISER SER INTN'L	622-026143	MARINER LDC	\$397,323.53
622074	6/17/2002	BOT	JANUS ADVISER SER FLEXIBLE INC	622-026142	MARINER LDC	\$240,000.00
622074	7/1/2002	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	622-026142	MARINER LDC	\$246,886.92
622074	7/30/2002	BOT	JANUS ADVISER SER FLEXIBLE INC	622-027004	HAIDAR JUPITER FUND LLC	\$399,000.00
622074	8/1/2002	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$440,813.28
622074	6/26/2002	XCHG TO	JANUS ADVISER SER INTN'L	622-026142	MARINER LDC	\$240,804.89
622074	7/24/2002	BOT	JANUS ADVISER SER INTN'L	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$430,000.00
622074	8/6/2002	XCHG TO	JANUS ADVISER SER INTN'L	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$440,813.28
622074	8/6/2002	XCHG TO	JANUS ADVISER SER INTN'L	622-027004	HAIDAR JUPITER FUND LLC	\$403,362.48
622114	6/4/2002	BOT	JANUS ADVISER SER FLEXIBLE INC	622-026141	MARINER LDC	\$345,000.00
622114	6/19/2002	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	622-026141	MARINER LDC	\$343,975.58
622114	7/1/2002	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	622-026141	MARINER LDC	\$352,023.27
622114	7/1/2002	BOT	JANUS ADVISER SER FLEXIBLE INC	622-026141	MARINER LDC	\$140,000.00
622114	6/17/2002	XCHG TO	JANUS ADVISER SER INTN'L	622-026141	MARINER LDC	\$347,329.11
622114	6/26/2002	XCHG TO	JANUS ADVISER SER INTN'L	622-026141	MARINER LDC	\$343,688.46

8/7/02 Janus asked MSDW to restrict 622-WRCH from trading all Janus funds due to unauthorized market timing.

622036	9/6/2002	BOT	JANUS ADVISER SER WORLDWIDE	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$650,000.00
622074	8/20/2002	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$460,853.42
622074	8/14/2002	BOT	JANUS ADVISER SER INTN'L	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$448,000.00
622074	9/6/2002	BOT	JANUS ADVISER SER INTN'L	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$1,000,000.00
622074	9/25/2002	BOT	JANUS ADVISER SER INTN'L	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$350,000.00
622074	10/1/2002	BOT	JANUS ADVISER SER INTN'L	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$850,000.00
622074	10/1/2002	BOT	JANUS ADVISER SER INTN'L	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$150,000.00

Haidar

Trading Associated with Goldstein in Janus Funds

622074	9/6/2002	BOT	JANUS ADVISER SER WORLDWIDE	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$500,000.00
622074	9/25/2002	BOT	JANUS ADVISER SER WORLDWIDE	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$750,000.00
622074	10/1/2002	BOT	JANUS ADVISER SER WORLDWIDE	622-027215	HUDSON INVESTORS ,LLC C/O HAIDAR CAPITAL MANAGEMENT	\$250,000.00
622114	9/6/2002	BOT	JANUS ADVISER SER FLEXIBLE INC	622-027002	HAIDAR JUPITER FUND LLC	\$300,000.00
622114	9/25/2002	BOT	JANUS ADVISER SER INTN'L	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$550,000.00
622114	9/25/2002	XCHG TO	JANUS ADVISER SER INTN'L	622-027002	HAIDAR JUPITER FUND LLC	\$302,698.29
622114	10/1/2002	BOT	JANUS ADVISER SER INTN'L	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$250,000.00
622114	9/25/2002	BOT	JANUS ADVISER SER WORLDWIDE	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$850,000.00
622114	9/25/2002	BOT	JANUS ADVISER SER WORLDWIDE	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$850,000.00
622114	10/1/2002	BOT	JANUS ADVISER SER WORLDWIDE	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$250,000.00
622114	10/1/2002	BOT	JANUS ADVISER SER WORLDWIDE	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$850,000.00

10/7/02 Janus blocked future purchases and exchanges in account 622-027290 due to unauthorized market timing

622036	10/8/2002	BOT	JANUS ADVISER SER INTN'L	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$675,000.00
622114	10/21/2002	BOT	JANUS ADVISER SER WORLDWIDE	622-027212	FOK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$417,000.00

10/22/02 Janus cancelled trades in accounts 622-027569, -027211, and 027290 due to unauthorized market timing

622114	11/14/2002	BOT	JANUS ADVISER SER WORLDWIDE	622-027212	FOK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$530,000.00
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11/20/02 Janus banned future purchases and exchanges in account 622-027212 due to unauthorized market timing

622032	1/8/2003	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	622-034593	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$492,051.82
622114	10/21/2002	BOT	JANUS ADVISER SER WORLDWIDE	622-027212	FOK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$417,000.00
622114	11/14/2002	BOT	JANUS ADVISER SER WORLDWIDE	622-027212	FOK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$530,000.00

Trading Associated with Goldstein in Liberty Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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12/14/01 Liberty blocked trading in account 622-026048 due to unauthorized market timing

622074	1/16/2002	XCHG TO	LIBERTY FEDERAL SECS A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$913,737.99
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1/17/02 Liberty blocked trading in account 622-026047 due to unauthorized market timing

622036	3/8/2002	BOT	LIBERTY ACORN INTERNATL A	622-024184	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$497,200.00
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3/19/02 Liberty blocked trading by Goldstein and his partner Plotkin in all Liberty funds due to unauthorized market timing

622074	8/6/2002	BOT	LIBERTY ACORN INTERNATL A	622-026109	HAIDAR JUPITER SHORT EQUITY FUND LLC/ACCESS	\$400,000.00
622074	8/19/2002	BOT	LIBERTY ACORN INTERNATL A	622-026109	HAIDAR JUPITER SHORT EQUITY FUND LLC/ACCESS	\$695,000.00

Trading Associated with Goldstein in Managers Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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7/30/02 Managers blocked all future trading by Goldstein's partner Plotkin, citing him as a market timer

622036	8/21/2002	BOT	MANAGERS INTERNATIONAL EQUITY	622-024184	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$376,000.00
622074	8/6/2002	BOT	MANAGERS INTERNATIONAL EQUITY	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$350,000.00
622114	8/6/2002	BOT	MANAGERS INTERNATIONAL EQUITY	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622114	8/6/2002	BOT	MANAGERS INTERNATIONAL EQUITY	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$350,000.00
622114	8/21/2002	BOT	MANAGERS INTERNATIONAL EQUITY	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$263,000.00
622114	9/4/2002	BOT	MANAGERS INTERNATIONAL EQUITY	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$414,300.00

Trading Associated with Goldstein in Marshall Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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11/8/02 Marshall revoked all purchase and exchange privileges in accounts 622-024178, -024185, -024180, -026109, -026113, -027211 due to unauthorized market timing

11/20/02 Marshall revoked its selling agreement with Morgan Stanley Dean Witter due to excessive market timing activity

Trading Associated with Goldstein in MFS Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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10/31/02 MFS cancelled purchases in account 622-027290 due to unauthorized market timing

622074	11/14/2002	BOT	MFS INTL GROWTH A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$450,000.00
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Trading Associated with Goldstein in One Group Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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9/18/02 One Group restricted trading in accounts 622-024178 and -024185 due to unauthorized market timing

622074	9/17/2002	XCHG TO	ONE GROUP GVT BD CL A	622-024178	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$341,956.69
622074	10/15/2002	BOT	ONE GROUP HIGH YIELD BOND A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$350,000.00
622074	8/21/2002	BOT	ONE GROUP INTL EQUITY INDEX A	622-024178	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$370,000.00
622114	9/17/2002	XCHG TO	ONE GROUP GVT BD CL A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$243,066.51
622114	8/21/2002	BOT	ONE GROUP INTL EQUITY INDEX A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$263,000.00

Trading Associated with Goldstein in Pioneer Funds

1/10/02 Per ABX, Pioneer blocked trades in accounts 622-025968, -024577, -026789, -026110, and 026786 due to unauthorized market timing

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
622036	4/1/2002	BOT	PIONEER BOND FUND A	622-026787	HAIDAR JUPITER FUND LLC	\$380,000.00
622036	4/3/2002	BOT	PIONEER BOND FUND A	622-026143	MARINER LDC	\$200,000.00
622036	5/23/2002	BOT	PIONEER BOND FUND A	622-026787	HAIDAR JUPITER FUND LLC	\$443,000.00
622036	1/17/2002	BOT	PIONEER EUROPE FUND	622-024184	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$423,500.00
622036	5/23/2002	BOT	PIONEER EUROPE FUND	622-024184	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$393,000.00
622074	4/3/2002	BOT	PIONEER BOND FUND A	622-026142	MARINER LDC	\$285,000.00
622074	4/30/2002	BOT	PIONEER EUROPE FUND	622-024176	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$488,000.00
622114	5/30/2002	BOT	PIONEER BOND FUND A	622-026141	MARINER LDC	\$195,000.00

7/8/02 Pioneer cancelled a trade into its fund because Goldstein's partner Plotkin was a known market timer

622074	8/8/2002	BOT	PIONEER EUROPE FUND	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$225,000.00
622074	9/25/2002	BOT	PIONEER EUROPE FUND	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$650,000.00
622074	10/15/2002	BOT	PIONEER EUROPE FUND A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$250,000.00
622074	10/15/2002	BOT	PIONEER HIGH YIELD A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$445,000.00
622074	10/15/2002	BOT	PIONEER HIGH YIELD A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$395,000.00

Trading Associated with Goldstein in Salomon Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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6/18/02 Salomon cancelled a trade in account 622-026111 because it was a market timer

622074	6/26/2002	XCHG TO	SALOMON INTERNATIONAL EQI A	622-024177	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$323,750.14
622074	6/26/2002	XCHG TO	SALOMON INTERNATIONAL EQI A	622-024178	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$420,581.75
622074	6/21/2002	XCHG TO	SALOMON US GOVT SEC FD CL A	622-024177	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$323,119.05
622074	6/21/2002	XCHG TO	SALOMON US GOVT SEC FD CL A	622-024178	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$419,761.90

6/27/02 Salomon cancelled trades in accounts 622-024178 and -024177 due to unauthorized market timing

622036	7/11/2002	BOT	SALOMON INTERNATIONAL EQI A	622-026111	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$530,000.00
622036	7/15/2002	BOT	SALOMON INTERNATIONAL EQI A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$400,000.00
622036	7/24/2002	XCHG TO	SALOMON INTERNATIONAL EQI A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$400,226.84
622036	7/24/2002	XCHG TO	SALOMON INTERNATIONAL EQI A	622-026111	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$536,014.32
622036	7/29/2002	BOT	SALOMON INTERNATIONAL EQI A	622-026111	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$550,000.00
622036	8/6/2002	XCHG TO	SALOMON INTERNATIONAL EQI A	622-026111	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$542,872.42
622036	7/18/2002	XCHG TO	SALOMON US GOVT SEC FD CL A	622-026111	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$533,424.88
622036	7/19/2002	XCHG TO	SALOMON US GOVT SEC FD CL A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$398,679.87
622036	8/2/2002	XCHG TO	SALOMON US GOVT SEC FD CL A	622-026111	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$544,444.45
622114	7/11/2002	BOT	SALOMON INTERNATIONAL EQI A	622-024185	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$475,000.00

8/7/02 Salomon cancelled trades and blocked future exchanges into account 622-026111 due to unauthorized market timing

622074	8/14/2002	BOT	SALOMON INTERNATIONAL EQI A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$297,000.00
622074	8/19/2002	BOT	SALOMON INTERNATIONAL EQI A	622-024182	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$225,000.00
622074	8/19/2002	BOT	SALOMON INTERNATIONAL EQI A	622-027215	HUDSON INVESTORS,LLC C/O HAIDAR CAPITAL MANAGEMENT	\$400,000.00
622074	9/4/2002	XCHG TO	SALOMON INTERNATIONAL EQI A	622-024182	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$225,228.56
622074	8/23/2002	XCHG TO	SALOMON US GOVT SEC FD CL A	622-024182	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$223,517.30
622114	9/4/2002	BOT	SALOMON INTERNATIONAL EQI A	622-024180	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$414,300.00

9/5/02 Salomon cancelled a trade in account 622-024182 due to unauthorized market timing

622114	9/25/2002	XCHG TO	SALOMON INTERNATIONAL EQI A	622-024180	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$405,039.50
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Haider

Trading Associated with Goldstein in Scudder Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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5/24/02 Scudder placed account 622-024177 on liquidation-only status due to unauthorized market timing

622036	8/21/2002	BOT	SCUDDER INTL SELECT EQUITY A	622-024184	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$376,000.00
622036	6/17/2002	BOT	SCUDDER NEW EUROPE FD A	622-024184	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$487,500.00
622074	8/19/2002	BOT	SCUDDER INTL EQUITY INV	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$481,000.00
622074	8/19/2002	BOT	SCUDDER INTL EQUITY INV	622-025988	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$500,000.00
622074	8/19/2002	BOT	SCUDDER INTL EQUITY INV	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$500,000.00
622074	8/21/2002	BOT	SCUDDER INTL SELECT EQUITY A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$370,000.00
622074	6/17/2002	BOT	SCUDDER NEW EUROPE FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$435,100.00
622074	7/24/2002	BOT	SCUDDER NEW EUROPE FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$344,000.00
622114	8/19/2002	BOT	SCUDDER INTL EQUITY INV	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$678,000.00
622114	8/19/2002	BOT	SCUDDER INTL EQUITY INV	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622114	8/21/2002	BOT	SCUDDER INTL SELECT EQUITY A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$263,000.00
622114	7/24/2002	BOT	SCUDDER NEW EUROPE FD A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$382,000.00
622114	8/13/2002	BOT	SCUDDER US GOVT FD A	622-027002	HAIDAR JUPITER FUND LLC	\$285,000.00

8/21/02 Scudder cancelled a trade in account 622-027002 due to a history of market timing

8/22/02 Scudder notified that previously blocked accounts (622-024178, -024184, -24185, -025968, -025971, 025975, -027211, and -027213 would also be blocked for Deutsche Bank Funds due to unauthorized market timing

8/22/02 Scudder cancelled trades in accounts 622-024184, -024178, and -024185 due to unauthorized market timing

8/26/02 Scudder placed accounts 622-024179 and -026113 on liquidation-only status due to unauthorized market timing

622036	8/29/2002	BOT	SCUDDER FIXED INCOME A	622-026143	MARINER LDC	\$199,000.00
622114	8/29/2002	BOT	SCUDDER FIXED INCOME A	622-026141	MARINER LDC	\$199,000.00
622114	9/4/2002	BOT	SCUDDER INTL EQUITY INV	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$414,300.00

9/5/02 Scudder cancelled trades in account 622-024180 due to unauthorized market timing

622036	9/25/2002	XCHG TO	SCUDDER INTL EQUITY A	622-026143	MARINER LDC	\$200,338.63
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Trading Associated with Goldstein in Scudder Funds

622114	9/25/2002	XCHG TO	SCUDDER INTL EQUITY A	622-026141	MARINER LDC	\$200,338.63
622114	9/6/2002	BOT	SCUDDER US GOVT FD A	622-027002	HAIDAR JUPITER FUND LLC	\$125,000.00

9/26/02 Scudder placed accounts 622-026143 and -026141 on liquidation-only status due to unauthorized market timing

622036	9/27/2002	XCHG TO	SCUDDER US GOVT FD A	622-026143	MARINER LDC	\$719.97
622074	10/15/2002	BOT	SCUDDER HIGH INCOME A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$600,000.00
622074	11/6/2002	XCHG TO	SCUDDER HIGH INCOME A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$606,913.38
622074	10/24/2002	XCHG TO	SCUDDER US GOVT FD A	622-026048	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$597,356.83
622114	11/1/2002	BOT	SCUDDER HIGH INCOME A	622-034182	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$648,700.00
622114	11/14/2002	BOT	SCUDDER HIGH INCOME A	622-034182	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$342,100.00
622114	11/14/2002	BOT	SCUDDER HIGH INCOME A	622-034183	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$384,200.00
622114	11/19/2002	BOT	SCUDDER HIGH INCOME A	622-034182	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$152,300.00
622114	11/19/2002	BOT	SCUDDER HIGH INCOME A	622-034183	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$286,300.00
622114	2/7/2003	XCHG TO	SCUDDER SHORT TERM BOND A	622-034182	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$1,219,180.69
622114	9/27/2002	XCHG TO	SCUDDER US GOVT FD A	622-026141	MARINER LDC	\$719.97
622114	2/7/2003	XCHG TO	SCUDDER US GOVT FD A	622-034183	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$706,288.71

Trading Associated with Goldstein in Seligman Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
7/12/02 Seligman cancelled trade in account 622-026789 because it was placed by a "market timer"						
622036	9/4/2002	BOT	SELIGMAN HEND GLOBAL TECH A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$650,000.00
622074	10/21/2002	BOT	SELIGMAN HENDERSN GLB SMALL CO	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$400,000.00
622074	9/25/2002	BOT	SELIGMAN HEND GLOBAL TECH A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$750,000.00
622074	10/15/2002	BOT	SELIGMAN HIGH YLD BOND A	622-026047	JARKO INVESTMENT FUND LLC C/O ZURICH CAPITAL MARKETS	\$400,000.00
622074	8/8/2002	BOT	SELIGMAN US GOVT SECS A	622-027004	HAIDAR JUPITER FUND LLC	\$300,000.00
622114	10/25/2002	BOT	SELIGMAN HENDERSN GLB SMALL CO	622-027212	FCK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$495,000.00
622114	9/4/2002	BOT	SELIGMAN HEND GLOBAL TECH A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$470,000.00
622114	9/4/2002	BOT	SELIGMAN HEND GLOBAL TECH A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$600,000.00
622114	11/14/2002	BOT	SELIGMAN HIGH YLD BOND A	622-034183	CANADIAN IMPERIAL HOLDINGS INC ATTENTION: JEFFREY M	\$384,200.00
622114	9/6/2002	BOT	SELIGMAN US GOVT SECS A	622-027002	HAIDAR JUPITER FUND LLC	\$200,000.00

Trading Associated with Goldstein in SIT Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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10/9/2002 SIT blocked trades in account 622-027290 due to excessive trading

622074	10/15/2002	BOT	SIT INTERNATIONAL GROWTH	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$337,500.00
622074	10/15/2002	BOT	SIT INTERNATIONAL GROWTH	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$162,300.00
622074	10/16/2002	XCHG TO	SIT US GOVERNMENT SEC FUND	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$125,898.55
622114	10/14/2002	BOT	SIT US GOVERNMENT SEC FUND	622-026141	MARINER LDC	\$148,294.20
622114	10/16/2002	XCHG TO	SIT US GOVERNMENT SEC FUND	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$156,673.78

10/17/02 SIT blocked all future trades in accounts 622-024178, -024179, -024180, and -024182 due to unauthorized market timing

622114	10/21/2002	XCHG TO	SIT INTERNATIONAL GROWTH	622-026141	MARINER LDC	\$147,745.98
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11/27/02 SIT blocked trades in account 622-027290 due to prior timing activity

622114	12/16/2002	XCHG TO	SIT INTERNATIONAL GROWTH	622-027292	HAIDAR JUPITER INTERNATIONAL LTD	\$295,546.81
622114	12/3/2002	BOT	SIT US GOVERNMENT SEC FUND	622-027292	HAIDAR JUPITER INTERNATIONAL LTD	\$295,000.00

12/17/02 SIT blocked trades in account 622-027292 due to excessive market timing

Trading Associated with Goldstein in T. Rowe Price Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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4/3/02 T. Rowe Price restricted further trading in account 622-024177 due to excessive trading

622074	4/30/2002	BOT	T ROWE PRICE INTNL STOCK ADV	622-024178	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$523,000.00
622074	5/1/2002	BOT	T ROWE PRICE INTNL STOCK ADV	622-024183	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$1,137,095.00
622114	5/1/2002	BOT	T ROWE PRICE INTNL STOCK ADV	622-024185	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$371,000.00

5/30/02 T. Rowe Price restricted further trading in account 622-024178 due to excessive trading

622074	7/11/2002	BOT	T ROWE PRICE INTNL STOCK ADV	622-024183	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$604,898.00
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7/12/02 T. Rowe Price blocked trading in new account 622-024183 due to "excessive timer trading" by Goldstein and his partner Plotkin

Trading Associated with Goldstein in Van Kampen Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
3/22/02 Van Kampen blocked future purchases and exchanges (except in money market funds) in accounts 622-024577, -026786, -026787, -026788, and -026789 due to unauthorized market timing						
622036	5/22/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$507,225.37
622036	5/14/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$496,157.68
622036	6/17/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$492,271.27
622036	6/26/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$489,226.44
622036	7/5/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$508,215.78
622036	5/10/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$498,567.89
622036	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$506,176.67
622036	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$490,918.88
622036	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$489,561.52
622036	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026143	MARINER LDC	\$492,388.76
622036	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$508,914.01
622036	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026143	MARINER LDC	\$502,476.34
622074	4/16/2002	BOT	VAN KAMPEN ASIAN EQUITY FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$520,000.00
622074	4/30/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$539,695.45
622074	5/8/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$533,892.80
622074	6/17/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$529,916.31
622074	7/5/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$518,415.57
622074	4/12/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$758,900.00
622074	4/30/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$715,001.59
622074	4/30/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$497,000.00
622074	5/8/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$354,375.92
622074	5/8/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$539,177.51
622074	5/14/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$527,071.75
622074	5/14/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$640,883.60
622074	5/22/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$428,606.41
622074	5/22/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$649,342.00
622074	6/17/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$435,450.65
622074	6/17/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$723,632.00

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Trading Associated with Goldstein in Van Kampen Funds

622074	6/26/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$467,717.04
622074	6/26/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$708,603.60
622074	6/26/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$374,000.00
622074	6/26/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$333,000.00
622074	7/5/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$374,926.90
622074	7/5/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$480,017.84
622074	7/5/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$727,239.81
622074	7/5/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$358,521.06
622074	4/12/2002	BOT	VAN KAMPEN INT'L MAGNUM FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$546,000.00
622074	4/30/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$736,668.31
622074	4/30/2002	BOT	VAN KAMPEN INT'L MAGNUM FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$448,000.00
622074	5/1/2002	BOT	VAN KAMPEN INT'L MAGNUM FD A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$505,000.00
622074	5/8/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$708,751.85
622074	5/8/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,078,355.03
622074	5/14/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$522,000.78
622074	5/14/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$527,071.76
622074	5/14/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$854,511.47
622074	5/22/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$571,475.20
622074	5/22/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$504,779.36
622074	5/22/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$865,789.34
622074	5/22/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$503,895.43
622074	6/17/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$503,551.82
622074	6/17/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$502,940.31
622074	6/17/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$503,776.00
622074	6/17/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$745,472.00
622074	6/17/2002	BOT	VAN KAMPEN INT'L MAGNUM FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$401,500.00
622074	6/26/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$467,717.04
622074	6/26/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$708,603.61
622074	6/26/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$500,437.22
622074	6/26/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$397,993.36
622074	6/26/2002	BOT	VAN KAMPEN INT'L MAGNUM FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$374,000.00
622074	7/5/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$524,815.90
622074	7/5/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$374,926.90
622074	7/5/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$480,017.84

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Trading Associated with Goldstein in Van Kampen Funds

622074	7/5/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$727,239.81
622074	7/5/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024182	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$358,521.06
622074	4/22/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$537,831.86
622074	4/22/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$829,089.66
622074	4/22/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$767,911.54
622074	4/22/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$562,188.68
622074	5/6/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$536,112.73
622074	5/6/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$450,748.47
622074	5/6/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$494,049.19
622074	5/6/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$478,600.00
622074	5/6/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$741,187.75
622074	5/6/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$710,756.46
622074	5/6/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$713,733.44
622074	5/10/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$524,536.52
622074	5/10/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$501,918.05
622074	5/10/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$709,374.11
622074	5/10/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$349,890.15
622074	5/10/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$1,079,301.78
622074	5/10/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$532,352.48
622074	5/10/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$535,001.89
622074	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$503,735.72
622074	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$532,541.63
622074	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$502,853.61
622074	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$537,715.00
622074	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$528,840.45
622074	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$359,178.68
622074	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$871,766.75
622074	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$643,034.22
622074	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$645,197.24
622074	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024578	HAIDAR JUPITER FUND,LLC	\$470,130.84
622074	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$568,519.29
622074	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$417,792.12
622074	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$425,138.95
622074	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$861,311.12

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Trading Associated with Goldstein in Van Kampen Funds

622074	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$632,958.26
622074	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$644,329.90
622074	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$502,168.43
622074	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$528,460.50
622074	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$501,558.61
622074	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024578	HAIDAR JUPITER FUND, LLC	\$466,649.40
622074	6/4/2002	BOT	VAN KAMPEN U S GOVERNMENT FD A	622-026142	MARINER LDC	\$400,000.00
622074	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026142	MARINER LDC	\$397,889.89
622074	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$501,002.92
622074	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$430,809.97
622074	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$472,299.29
622074	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$741,368.49
622074	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$500,779.98
622074	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$715,920.11
622074	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$669,978.23
622074	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$514,758.52
622074	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024578	HAIDAR JUPITER FUND, LLC	\$464,187.70
622074	6/21/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$397,448.16
622074	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$519,127.68
622074	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$525,536.80
622074	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$485,185.47
622074	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$476,346.50
622074	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$480,499.64
622074	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$735,068.73
622074	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$721,677.47
622074	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$727,970.09
622074	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$413,021.28
622074	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026142	MARINER LDC	\$406,041.50
622074	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024578	HAIDAR JUPITER FUND, LLC	\$472,544.87
622074	7/2/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$381,333.33
622074	7/2/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$373,309.96
622074	7/2/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$374,000.00
622074	7/2/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$332,385.61
622074	7/2/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$333,000.00

Trading Associated with Goldstein in Van Kampen Funds

622114	5/22/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY FD A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$511,153.40
622114	6/26/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY FD A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$491,504.05
622114	5/23/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$352,000.00
622114	6/17/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$299,952.07
622114	6/26/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$282,846.62
622114	4/12/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$216,191.55
622114	4/30/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$223,372.85
622114	5/8/2002	BOT	VAN KAMPEN INT'L MAGNUM FD A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$500,000.00
622114	5/8/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$224,420.97
622114	5/14/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$223,532.16
622114	5/22/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$228,518.44
622114	6/17/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$270,816.00
622114	6/26/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$282,846.62
622114	7/5/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$582,301.14
622114	4/2/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$214,990.49
622114	4/22/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$222,601.54
622114	5/6/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$225,354.11
622114	5/10/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$224,618.01
622114	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$510,096.57
622114	5/20/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$228,045.97
622114	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$494,449.04
622114	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$227,518.29
622114	6/3/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$341,681.74
622114	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$491,840.70
622114	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$269,325.27
622114	6/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$296,755.42
622114	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$496,758.72
622114	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$293,410.46
622114	7/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP ,LLC C/O MILLENNIUM HOLDING GROUP	\$289,690.54

7/5/2002 Van Kampen blocked trades in account 622-026789 due to identifying the customer as a market timer

622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024177	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$378,672.76
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024177	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$369,864.88
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024177	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$370,326.57

Trading Associated with Goldstein in Van Kampen Funds

622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$484,813.66
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$473,536.95
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$474,128.05
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$734,505.60
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$717,421.09
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$718,316.62
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$362,103.02
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$353,680.54
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$354,122.03
622114	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$588,118.86
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$521,946.21
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$530,059.30
622114	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$494,348.48
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$514,059.51
622036	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$513,293.32
622074	7/9/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026142	MARINER LDC	\$400,509.24
622074	7/11/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$373,975.13
622074	7/11/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$373,975.13
622074	7/11/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$478,799.29
622074	7/11/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$478,799.29
622074	7/11/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$725,393.69
622036	7/11/2002	BOT	VAN KAMPEN ASIAN EQUITY FD A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$550,000.00
622074	7/11/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$725,393.69
622114	7/11/2002	BOT	VAN KAMPEN INT'L MAGNUM FD A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$490,000.00
622074	7/11/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$357,610.94
622114	7/11/2002	BOT	VAN KAMPEN ASIAN EQUITY FD A	622-026114	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622074	7/11/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$357,610.94
622036	7/11/2002	BOT	VAN KAMPEN ASIAN EQUITY FD A	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622114	7/11/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$589,727.94
622074	7/11/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$523,374.24
622074	7/11/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$531,509.53
622074	7/11/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$515,465.95
622114	7/15/2002	BOT	VAN KAMPEN INT'L MAGNUM FD A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,000.00
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$365,121.55
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$365,087.89
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026142	MARINER LDC	\$390,715.52
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$363,834.81
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$467,464.08
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$467,420.97
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$465,816.67

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Trading Associated with Goldstein in Van Kampen Funds

622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$708,220.54
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$708,155.25
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$705,724.64
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$349,144.77
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$349,112.58
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$347,914.33
622114	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$575,766.58
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$510,983.76
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$534,617.77
622114	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$482,260.09
622074	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$503,262.69
622036	7/16/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$500,741.85
622074	7/18/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$451,052.63
622036	7/18/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$551,286.55
622114	7/18/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$493,712.12
622114	7/18/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026114	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$451,052.63
622114	7/19/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$449,129.59
622114	7/22/2002	BOT	VAN KAMPEN U S GOVERNMENT FD A	622-027002	HAIDAR JUPITER FUND LLC	\$500,000.00
622074	7/22/2002	BOT	VAN KAMPEN U S GOVERNMENT FD A	622-027004	HAIDAR JUPITER FUND LLC	\$495,000.00
622074	7/24/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$366,927.93
622074	7/24/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$366,927.92
622114	7/24/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,048.06
622074	7/24/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$469,776.76
622074	7/24/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$514,131.50
622074	7/24/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$469,776.76
622074	7/24/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-025968	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$537,911.10
622074	7/24/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$711,724.31
622114	7/24/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY FD A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$485,230.89
622074	7/24/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$711,724.30
622074	7/24/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$350,872.10
622074	7/24/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$452,283.34
622036	7/24/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY FD A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$503,826.51
622074	7/24/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$350,872.10
622114	7/24/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$495,059.22
622114	7/24/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM FD A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$579,313.40
622074	7/29/2002	BOT	VAN KAMPEN INT'L MAGNUM FD A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$330,000.00
622074	7/29/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$330,000.00
622114	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-027002	HAIDAR JUPITER FUND LLC	\$490,042.79
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026142	MARINER LDC	\$410,466.53
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-027004	HAIDAR JUPITER FUND LLC	\$485,142.36

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Trading Associated with Goldstein in Van Kampen Funds

622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$384,503.66
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$379,475.72
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$376,896.71
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$482,539.77
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$485,841.67
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$492,466.41
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$746,099.74
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$736,063.05
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$731,060.60
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$360,404.67
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$364,975.62
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$365,614.60
622114	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$596,256.98
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$528,099.55
622114	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$468,132.84
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$528,294.49
622074	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$464,920.91
622114	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$508,892.01
622114	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-026114	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$471,636.88
622114	8/1/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$462,449.14
622074	8/2/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$324,384.38
622074	8/2/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$321,546.80
622074	8/2/2002	XCHG TO	VAN KAMPEN U S GOVERNMENT FD A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$320,646.26
622114	8/2/2002	BOT	VAN KAMPEN U S GOVERNMENT FD A	622-026141	MARINER LDC	\$325,000.00
622074	8/6/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$527,740.05
622114	8/6/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$467,814.17
622074	8/6/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$464,604.43
622114	8/6/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$508,545.59
622114	8/6/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-026114	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$471,315.82
622114	8/6/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$462,134.34
622074	8/6/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$380,033.15
622074	8/6/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$380,033.15
622074	8/6/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$321,098.83
622074	8/6/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$321,098.83
622074	8/6/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$486,617.79
622036	8/6/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$550,000.00
622074	8/6/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$486,617.81
622074	8/6/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$737,238.92
622074	8/6/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$737,238.92
622036	8/6/2002	BOT	VAN KAMPEN ASIAN EQUITY A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00

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Trading Associated with Goldstein in Van Kampen Funds

622074	8/6/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$363,417.40
622074	8/6/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$363,417.40
622114	8/6/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$595,851.09
622074	8/8/2002	BOT	VAN KAMPEN ASIAN EQUITY A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$700,000.00
622036	8/8/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-027211	HUDSON INVESTOR, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,000.00
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$393,069.63
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$391,290.52
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$391,740.33
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$332,113.66
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$330,610.44
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$330,990.48
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$503,310.50
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$501,032.44
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$501,608.38
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$762,528.82
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$759,077.45
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$759,950.07
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$375,883.91
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$374,182.57
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$374,612.73
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026142	MARINER LDC	\$422,823.20
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025966	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$540,355.35
622114	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$616,290.89
622114	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025969	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$483,861.85
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025970	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$544,198.26
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025971	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$480,542.01
622036	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025975	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$568,866.94
622036	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026110	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$460,756.97
622114	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026113	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$520,702.06
622114	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026114	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$487,483.61
622114	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027213	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$477,987.18
622114	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027002	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$504,795.03
622074	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027004	HAIDAR, JUPITER FUND LLC	\$499,747.08
622114	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026141	HAIDAR, JUPITER FUND LLC	\$323,524.93
622036	8/13/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026143	MARINER LDC	\$497,941.39
622114	8/19/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$204,733.46
622114	8/19/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027213	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$476,365.79
622114	8/19/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$204,733.46
622074	8/19/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-025966	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$538,522.40
622114	8/19/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-025969	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$482,220.52

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Trading Associated with Goldstein in Van Kampen Funds

622074	8/19/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$542,352.27
622074	8/19/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$390,703.67
622036	8/19/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$566,937.27
622074	8/19/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$390,703.67
622036	8/19/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$459,194.02
622114	8/19/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$518,935.77
622114	8/19/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-026114	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$485,830.00
622074	8/19/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$757,939.00
622074	8/19/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$757,939.01
622074	8/19/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$373,621.38
622074	8/19/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$373,621.39
622036	8/20/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$461,890.24
622074	8/20/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$736,111.11
622074	8/20/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024578	HAIDAR JUPITER FUND, LLC	\$483,121.99
622074	8/22/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$735,611.03
622036	8/22/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$461,576.46
622114	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027213	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$483,675.75
622114	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027002	HAIDAR JUPITER FUND LLC	\$498,085.19
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$539,051.92
622114	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$489,620.32
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$542,885.56
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$474,154.55
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027004	HAIDAR JUPITER FUND LLC	\$493,104.35
622036	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$575,637.07
622036	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$459,645.54
622114	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$526,898.98
622114	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026114	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$486,307.71
622114	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026141	MARINER LDC	\$319,224.57
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026142	MARINER LDC	\$417,202.93
622036	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026143	MARINER LDC	\$491,322.65
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$373,988.76
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$371,840.54
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$369,909.92
622114	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$204,934.77
622114	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$203,757.61
622114	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$202,699.66
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$391,087.84
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$388,841.40
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$386,822.50
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$758,684.27

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Trading Associated with Goldstein in Van Kampen Funds

622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$330,439.19
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$754,326.33
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$328,541.12
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$750,409.81
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$326,835.28
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$495,311.30
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$500,772.90
622074	8/23/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$497,896.42
622036	8/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$466,996.14
622074	8/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$711,763.29
622074	8/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024578	HAIDAR JUPITER FUND, LLC	\$481,730.36
622074	9/4/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$391,517.64
622074	9/4/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$388,917.24
622114	9/4/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$721,300.00
622114	9/4/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$721,300.00
622074	9/4/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$754,473.47
622074	9/4/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$754,473.47
622074	9/4/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$374,399.77
622074	9/4/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$371,913.08
622036	9/4/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$467,631.51
622114	9/4/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$483,675.75
622114	9/4/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$205,158.95
622074	9/4/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$539,051.92
622114	9/4/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$489,620.32
622074	9/4/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$542,885.57
622114	9/4/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$203,797.34
622074	9/4/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$474,154.55
622036	9/4/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$459,645.54
622036	9/4/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$470,000.00
622114	9/4/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-026114	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$486,307.72
622074	9/4/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$328,605.20
622074	9/4/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$328,605.20
622074	9/4/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$497,993.54
622074	9/4/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$501,323.24
622036	9/6/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$470,000.00
622036	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$463,272.76
622114	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$479,167.46
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$692,721.60
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$384,526.26
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026142	MARINER LDC	\$406,399.07

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622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$381,099.81
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$378,000.28
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025966	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$529,425.99
622114	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025969	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$480,877.10
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025970	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$537,825.38
622114	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$708,419.65
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025971	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$469,735.00
622114	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$706,801.50
622036	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025975	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$559,478.84
622036	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026110	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$918,891.22
622114	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$701,052.98
622114	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026113	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$512,097.85
622114	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026114	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$481,774.89
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$367,714.06
622114	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$201,495.40
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$364,437.44
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$361,473.40
622114	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$199,700.91
622114	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$198,076.71
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$741,000.73
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$739,308.17
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$733,295.27
622114	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027002	HAIDAR, JUPITER FUND LLC	\$485,186.80
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027004	HAIDAR, JUPITER FUND LLC	\$480,334.96
622114	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026141	MARINER LDC	\$310,839.75
622036	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026143	MARINER LDC	\$478,599.37
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$319,381.22
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$484,014.77
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$322,737.25
622074	9/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$492,371.04
622114	9/25/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$410,000.00
622074	9/25/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$627,494.00
622074	9/25/2002	BOT	VAN KAMPEN ASIAN EQUITY A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$650,000.00
622114	9/25/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$703,986.05
622036	9/25/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$462,327.94
622114	9/25/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$479,250.87
622074	9/25/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$691,308.84
622114	9/25/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$703,986.04
622074	9/25/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-025966	HAIDAR, JUPITER SHORT EQUITY FUND LLC	\$525,410.27
622074	9/25/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$513,800.00

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Trading Associated with Goldstein in Van Kampen Funds

622074	9/25/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$532,059.15
622074	9/25/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$469,833.83
622036	9/25/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$559,238.31
622074	9/25/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$734,000.00
622036	9/25/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$897,903.39
622074	9/25/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$746,120.60
622114	9/25/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$512,223.53
622114	9/25/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-026114	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$481,868.55
622074	9/25/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$750,000.00
622114	9/25/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$598,050.85
622074	9/25/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$962,141.88
622074	9/25/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,461,382.96
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$527,735.09
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026142	MARINER LDC	\$410,769.87
622114	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$486,048.90
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$549,794.45
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$630,270.52
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$520,387.18
622114	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$727,452.25
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$471,912.74
622114	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$710,806.14
622036	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$564,656.12
622114	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$713,011.51
622036	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$927,833.50
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$530,926.66
622114	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$514,490.00
622114	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026114	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$497,929.13
622036	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$466,806.90
622114	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$481,371.45
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$584,999.60
622114	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$617,985.88
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$758,466.67
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$753,348.89
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$743,410.26
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$694,367.73
622114	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026141	MARINER LDC	\$314,182.81
622036	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026143	MARINER LDC	\$483,746.67
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024578	HAIDAR JUPITER FUND, LLC	\$446,342.91
622114	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027002	HAIDAR JUPITER FUND LLC	\$794,044.27
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027004	HAIDAR JUPITER FUND LLC	\$789,140.25

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622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$994,213.28
622074	9/27/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$1,510,095.73
622074	10/1/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$371,722.03
622074	10/1/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$371,722.03
622114	10/1/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$205,854.97
622114	10/1/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$205,854.97
622074	10/1/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$574,936.94
622074	10/1/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$574,936.93
622074	10/1/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024178	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$496,773.22
622074	10/1/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$527,375.60
622114	10/1/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$485,717.80
622074	10/1/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$503,022.36
622074	10/1/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$503,022.36
622036	10/1/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$565,273.52
622114	10/1/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$716,601.49
622036	10/1/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$928,575.16
622114	10/1/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$716,601.48
622114	10/1/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$615,057.63
622074	10/1/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$751,229.85
622114	10/1/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$481,897.31
622074	10/1/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$751,229.85
622074	10/1/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$693,894.73
622074	10/1/2002	BOT	VAN KAMPEN ASIAN EQUITY A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$550,000.00
622074	10/2/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$766,379.26
622074	10/2/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$753,846.15
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$521,355.33
622114	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$476,418.34
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$534,252.27
622036	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$452,821.08
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$458,586.47
622114	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$472,671.00
622114	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$403,379.98
622036	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$554,450.91
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$638,495.57
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$685,973.56
622114	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026114	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$483,846.10
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024578	HAIDAR JUPITER FUND, LLC	\$433,284.29
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$367,478.63
622114	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027002	HAIDAR JUPITER FUND LLC	\$771,730.56
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027004	HAIDAR JUPITER FUND LLC	\$766,965.05

Trading Associated with Goldstein in Van Kampen Funds

622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$568,373.73
622114	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026141	MARINER LDC	\$305,308.53
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$362,211.02
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026142	MARINER LDC	\$399,167.41
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024177	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$560,226.39
622036	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026143	MARINER LDC	\$470,082.97
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$360,830.81
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024178	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$491,102.30
622114	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$203,505.03
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024178	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$482,218.08
622114	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$200,587.89
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$497,280.10
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$490,151.85
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024179	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$488,284.11
622114	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024180	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$695,605.52
622114	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$199,823.55
622114	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024180	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$698,266.26
622114	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024180	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$708,421.11
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$742,654.17
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$732,008.62
622074	10/4/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$729,219.29
622074	10/7/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$523,269.52
622036	10/8/2002	BOT	VAN KAMPEN ASIAN EQUITY A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622036	10/8/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622114	10/8/2002	BOT	VAN KAMPEN ASIAN EQUITY A	622-026113	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622074	10/8/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024177	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$376,366.24
622074	10/8/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024177	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$376,366.24
622114	10/8/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-024185	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$240,000.00
622114	10/8/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024180	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$700,874.57
622114	10/8/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024180	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$700,874.55
622074	10/8/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$734,947.27
622074	10/8/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024181	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$734,947.26
622074	10/8/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024182	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$363,661.35
622074	10/8/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024182	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$363,661.33
622114	10/8/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024185	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$201,387.57
622114	10/8/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024185	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$201,387.57
622074	10/8/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$659,700.00
622074	10/8/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$513,100.00
622074	10/8/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024178	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$323,998.11
622074	10/8/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024178	RIVERVIEW GROUP,LLC C/O MILLENNIUM HOLDING GROUP	\$323,998.11

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Trading Associated with Goldstein in Van Kampen Funds

622074	10/8/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$492,105.96
622074	10/8/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024179	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$492,105.94
622074	10/10/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$520,289.89
622114	10/10/2002	BOT	VAN KAMPEN US GOVERNMENT A	622-029263	DEVON CAPITAL LLC	\$472,000.00
622114	10/10/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$475,444.73
622114	10/10/2002	BOT	VAN KAMPEN US GOVERNMENT A	622-029264	WESSEX CAPITAL LLC	\$444,000.00
622074	10/10/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$533,160.47
622074	10/10/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$457,649.30
622074	10/10/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$115,000.00
622074	10/10/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-026109	HAIDAR JUPITER SHORT EQUITY FUND LLC/ACCESS	\$500,000.00
622114	10/10/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-026114	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$482,857.32
622036	10/10/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-027211	HUDSON INVESTOR LLC C/O HAIDAR CAPITAL MANAGEMENT	\$451,895.70
622114	10/10/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$512,903.78
622114	10/10/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$361,356.90
622074	10/10/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$659,250.00
622074	10/10/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027215	HUDSON INVESTORS, LLC C/O HAIDAR CAPITAL MANAGEMENT	\$662,512.45
622074	10/10/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$868,031.28
622074	10/14/2002	BOT	VAN KAMPEN US GOVERNMENT A	622-026142	MARINER LDC	\$599,321.98
622074	10/15/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-024177	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$155,000.00
622114	10/15/2002	BOT	VAN KAMPEN US GOVERNMENT A	622-027002	HAIDAR JUPITER FUND LLC	\$410,000.00

10/15/02 Van Kampen blocked trades in account 622-026142 due to unauthorized market timing

622036	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025975	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$591,349.12
622036	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$450,000.00
622036	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026110	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$475,775.66
622074	10/21/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$385,993.73
622074	10/21/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$385,993.73
622074	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$777,044.49
622074	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$778,275.63
622074	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024181	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$786,522.51
622074	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$384,491.59
622074	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$385,100.76
622074	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024182	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$389,181.43
622074	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025966	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$546,828.99
622074	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025970	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$563,339.36
622074	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025971	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$480,993.21

Trading Associated with Goldstein in Van Kampen Funds

622074	10/22/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$536,745.16
622074	10/22/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$700,636.09
622074	10/22/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$934,506.49
622074	10/22/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-026109	HAIDAR JUPITER SHORT EQUITY FUND LLC/ACCESS	\$627,876.63
622114	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$740,808.67
622114	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$741,982.39
622114	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024180	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$749,844.69
622114	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$466,669.92
622114	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$213,260.25
622114	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-024185	RIVERVIEW GROUP, LLC C/O MILLENNIUM HOLDING GROUP	\$215,520.03
622114	10/16/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-025969	HAIDAR JUPITER SHORT EQUITY FUND LLC	\$495,223.23
622114	10/22/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$393,734.48
622114	10/22/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027213	HUDSON INVESTORS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$541,499.84
622114	10/22/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-029263	DEVON CAPITAL LLC	\$469,541.79
622114	10/22/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-029264	WESSEX CAPITAL LLC	\$441,687.61

10/22/02 Van Kampen blocked trades in accounts 622-024180, -024181, -024185, -027002, -027004, -026141, -026142, -025969, 633-025970, 025971, -025975, and 026110 due to unauthorized market timing

10/24/02 Van Kampen notified that only exchanges into money market funds and liquidations were permitted in account 622-024182 due to unauthorized market timing

622074	10/25/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$2,174,860.89
622074	11/14/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$1,317,600.00
622074	10/30/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$650,000.00
622074	11/14/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$1,881,165.45
622074	11/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$403,960.39
622074	11/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$666,085.49
622074	11/17/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$2,235,274.41
622074	11/26/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$1,363,864.05
622074	11/26/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$1,895,663.82
622074	11/26/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027290	CAYMAN PARTNERS LTD C/O Q & H CORPORATE SERVICE LTD	\$509,305.21
622114	11/1/2002	BOT	VAN KAMPEN ASIAN EQUITY A	622-027212	FCK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,000.00
622114	11/14/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027212	FCK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$483,120.00
622114	11/1/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-027212	FCK PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,000.00

Trading Associated with Goldstein in Van Kampen Funds

622114	11/14/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-027212	FCM PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$447,243.20
622114	11/7/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-029263	DEVON CAPITAL LLC	\$481,837.37
622114	11/7/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027212	FCM PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$472,727.27
622114	11/7/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-029264	WESSEX CAPITAL LLC	\$453,253.78
622114	11/7/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027212	FCM PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$459,542.41
622114	11/26/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027212	FCM PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$500,083.49
622114	11/26/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-027212	FCM PARTNERS LLC C/O HAIDAR CAPITAL MANAGEMENT	\$450,694.91
622114	11/26/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-029263	DEVON CAPITAL LLC	\$489,800.88
622114	11/26/2002	XCHG TO	VAN KAMPEN US GOVERNMENT A	622-029264	WESSEX CAPITAL LLC	\$460,744.87
622114	12/2/2002	BOT	VAN KAMPEN US GOVERNMENT A	622-029263	DEVON CAPITAL LLC	\$199,000.00
622114	12/2/2002	BOT	VAN KAMPEN US GOVERNMENT A	622-029264	WESSEX CAPITAL LLC	\$435,000.00
622114	12/3/2002	BOT	VAN KAMPEN US GOVERNMENT A	622-027292	HAIDAR JUPITER INTERNATIONAL LTD	\$595,000.00

12/6/02 Van Kampen prohibited any further purchases or exchanges in accounts 622-027290, -029263, and -029264 due to unauthorized market timing

622032	12/16/2002	BOT	VAN KAMPEN INT'L MAGNUM A	622-034592	RIVERVIEW GROUP LLC C/O MILLENNIUM HOLDING GROUP	\$52,000.00
622114	12/16/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-027292	HAIDAR JUPITER INTERNATIONAL LTD	\$297,700.62
622114	12/16/2002	XCHG TO	VAN KAMPEN ASIAN EQUITY A	622-029264	WESSEX CAPITAL LLC	\$460,910.40
622114	12/16/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-027292	HAIDAR JUPITER INTERNATIONAL LTD	\$297,700.62
622114	12/16/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-029263	DEVON CAPITAL LLC	\$489,981.18

ATTACHMENT

A

Millennium Transactions

Trading Associated with Goldstein in AIM Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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8/2/02 AIM warned that only one more exchange was permitted that year in account 539-041105

539067	8/6/2002	XCHG TO	AIM GLOBAL AGGRESSIVE GR A	539-041105	BARNABUS & CO, LLC	\$710,231.42
539067	8/6/2002	XCHG TO	AIM INTL GROWTH A	539-041105	BARNABUS & CO, LLC	\$710,231.42
539067	8/6/2002	XCHG TO	AIM INTL GROWTH A	539-041106	BARNABUS & CO, LLC	\$711,299.01

8/8/2002 AIM blocked a trade in account 539-041105 due to a previous block on the account for market timing

8/8/2002 AIM blocked account 539-041105 due to excessive short-term trading

539067	8/13/2002	XCHG TO	AIM INTERM GOVT A	539-041106	BARNABUS & CO, LLC	\$738,034.64
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8/13/02 AIM warned that only two more exchanges were permitted that year in account 539-041105

539067	8/23/2002	XCHG TO	AIM INTERM GOVT A	539-041106	BARNABUS & CO, LLC	\$727,521.15
539067	8/19/2002	XCHG TO	AIM INTL GROWTH A	539-041106	BARNABUS & CO, LLC	\$730,183.20
539067	9/4/2002	XCHG TO	AIM INTL GROWTH A	539-041106	BARNABUS & CO, LLC	\$735,302.13
539077	9/4/2002	BOT	AIM GLOBAL AGGRESSIVE GR A	539-041114	BARNABUS & CO, LLC	\$657,800.00
539077	9/4/2002	BOT	AIM GLOBAL GROWTH A	539-041114	BARNABUS & CO, LLC	\$657,800.00
539077	9/3/2002	XCHG TO	AIM INTERM GOVT A	539-041114	BARNABUS & CO, LLC	\$552,362.90
539077	8/26/2002	BOT	AIM INTL GROWTH A	539-041114	BARNABUS & CO, LLC	\$576,800.00
539077	9/6/2002	XCHG TO	AIM INTL GROWTH A	539-041114	BARNABUS & CO, LLC	\$550,607.51
539078	9/6/2002	BOT	AIM GLOBAL GROWTH A	539-041098	BARNABUS & CO, LLC	\$512,000.00
539078	9/4/2002	BOT	AIM INTL GROWTH A	539-041098	BARNABUS & CO, LLC	\$575,000.00

9/13/2002 AIM blocked trades in accounts 539-041114 and -041106 due to excessive short-term trading

622032	11/21/2002	BOT	AIM ASIA PACIFIC GROWTH A	622-034490	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$349,800.00
622032	11/21/2002	BOT	AIM EUROPEAN GROWTH A	622-034492	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$299,700.00
622032	11/26/2002	XCHG TO	AIM INTERM GOVT A	622-034266	BARNABUS & CO LLC	\$529,499.25
622032	11/6/2002	BOT	AIM INTL GROWTH A	622-034266	BARNABUS & CO LLC	\$215,300.00
622032	11/14/2002	BOT	AIM INTL GROWTH A	622-034266	BARNABUS & CO LLC	\$321,200.00

Trading Associated with Goldstein in AIM Funds

12/2/02 AIM blocked trades in accounts 622-034490 and -034492 for the remainder of 2002

12/2/02 AIM blocked trades in account 622-034266 for the remainder of 2002 due to excessive trading

622032	12/16/2002	BOT	AIM GLOBAL AGGRESSIVE GR A	622-034267	BARNABUS & CO LLC	\$407,300.00
622032	12/16/2002	BOT	AIM GLOBAL GROWTH A	622-034267	BARNABUS & CO LLC	\$407,600.00
622032	12/16/2002	BOT	AIM INTL GROWTH A	622-034267	BARNABUS & CO LLC	\$403,800.00

Trading Associated with Goldstein in Alliance Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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8/15/02 Alliance blocked trades in accounts 539-041104 and -041114 due to unauthorized market timing

622032	12/16/2002	BOT	ALLIANCE NEW EUROPE A	622-034492	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$148,700.00
622114	2/18/2003	XCHG TO	ALLIANCE AFD EXC RESERVES A	622-027278	CARROLL, HINRICH & CO LLC	\$2,128,731.79
622114	2/18/2003	XCHG TO	ALLIANCE AFD EXC RESERVES A	622-029797	RAVENSCOURT LLC	\$528,155.34
622114	2/21/2003	XCHG TO	ALLIANCE HIGH YIELD A	622-027278	CARROLL, HINRICH & CO LLC	\$2,128,731.79
622114	2/21/2003	XCHG TO	ALLIANCE HIGH YIELD A	622-029797	RAVENSCOURT LLC	\$528,155.34

12/11/02 Alliance blocked trades in account 622-034490 and due to excessive trading of fund shares

Trading Associated with Goldstein in American Century Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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8/27/02 American Century blocked trades in accounts 539-041114, -041105, and -041098 and for Branch 539 (subsequent to Branch 633 block) due to unauthorized market timing

11/6/02 American Century blocked trades for Branch 539 (subsequent to Branch 622 block) due to unauthorized market timing

11/11/02 American Century blocked all future trades for rep Goldstein due to unauthorized market timing

Trading Associated with Goldstein in Federated Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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5/22/02 Federated froze assets and blocked further exchanges in account 622-027278 due to unauthorized market timing

539067	10/1/2002	BOT	FEDERATED EUROPEAN GROWTH A	539-041105	BARNABUS & CO, LLC	\$322,000.00
539067	10/10/2002	BOT	FEDERATED EUROPEAN GROWTH A	539-041106	BARNABUS & CO, LLC	\$353,200.00
539067	10/1/2002	BOT	FEDERATED INTL CAP APPREC A	539-041105	BARNABUS & CO, LLC	\$307,600.00
539067	10/10/2002	BOT	FEDERATED INTL CAP APPREC A	539-041106	BARNABUS & CO, LLC	\$353,200.00
539067	8/21/2002	BOT	FEDERATED INTL EQUITY A	539-041105	BARNABUS & CO, LLC	\$346,000.00
539067	9/4/2002	BOT	FEDERATED INTL EQUITY A	539-041106	BARNABUS & CO, LLC	\$276,000.00
539067	9/6/2002	BOT	FEDERATED INTL EQUITY A	539-041105	BARNABUS & CO, LLC	\$312,000.00
539067	10/1/2002	BOT	FEDERATED INTL EQUITY A	539-041105	BARNABUS & CO, LLC	\$315,000.00
539067	10/1/2002	BOT	FEDERATED INTL EQUITY A	539-041106	BARNABUS & CO, LLC	\$452,000.00
539077	10/8/2002	BOT	FEDERATED EUROPEAN GROWTH A	539-041114	BARNABUS & CO, LLC	\$227,300.00
539077	10/8/2002	BOT	FEDERATED INTL CAP APPREC A	539-041114	BARNABUS & CO, LLC	\$243,600.00
539077	8/19/2002	BOT	FEDERATED INTL EQUITY A	539-041114	BARNABUS & CO, LLC	\$515,400.00
539077	9/25/2002	BOT	FEDERATED INTL EQUITY A	539-041114	BARNABUS & CO, LLC	\$750,000.00
539077	10/8/2002	BOT	FEDERATED INTL EQUITY A	539-041114	BARNABUS & CO, LLC	\$427,000.00
539078	8/14/2002	BOT	FEDERATED INTL EQUITY A	539-041098	BARNABUS & CO, LLC	\$420,000.00
622032	11/14/2002	BOT	FEDERATED EUROPEAN GROWTH A	622-034267	BARNABUS & CO LLC	\$301,200.00
622032	11/6/2002	BOT	FEDERATED INTL EQUITY A	622-034267	BARNABUS & CO LLC	\$215,300.00
622032	11/21/2002	BOT	FEDERATED INTL EQUITY A	622-034490	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$349,800.00
622032	11/21/2002	BOT	FEDERATED INTL SMALL CO A	622-034492	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$299,700.00
622074	8/26/2002	BOT	FEDERATED HIGH INC BOND A	622-029797	RAVENSCOURT LLC	\$750,000.00
622074	10/30/2002	BOT	FEDERATED HIGH INC BOND A	622-029797	RAVENSCOURT LLC	\$300,000.00
622114	10/25/2002	BOT	FEDERATED EUROPEAN GROWTH A	622-041108	BARNABUS & CO, LLC	\$275,600.00
622114	10/25/2002	BOT	FEDERATED INTL CAP APPREC A	622-041108	BARNABUS & CO, LLC	\$424,600.00
622114	10/25/2002	BOT	FEDERATED INTL EQUITY A	622-041108	BARNABUS & CO, LLC	\$424,600.00

11/22/02 Federated froze assets and blocked further exchanges in accounts 622-027278 and 539-041105 due to unauthorized market timing

622032	12/16/2002	BOT	FEDERATED INTL CAP APPREC A	622-034490	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$349,700.00
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Trading Associated with Goldstein in Federated Funds

622032	12/16/2002	BOT	FEDERATED INTL EQUITY A	622-034490	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$349,700.00
622032	12/16/2002	BOT	FEDERATED INTL SMALL CO A	622-034490	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$349,700.00
622114	3/3/2003	BOT	FEDERATED HIGH INC BOND A	622-029797	RAVENSCOURT LLC	\$300,000.00

Trading Associated with Goldstein in Goldman Sachs Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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12/5/02 Goldman Sachs blocked trades in accounts 622-034490 and 622-034492 due to unauthorized market timing

622032	12/16/2002	BOT	GOLDMAN SACHS CORE INTL EQ A	622-034266	BARNABUS & CO LLC	\$179,600.00
622032	1/8/2003	XCHG TO	GOLDMAN SACHS ULT SH DUR GVT A	622-034266	BARNABUS & CO LLC	\$179,865.29
622114	3/3/2003	BOT	GOLDMAN SACHS HI YLD FD A	622-029797	RAVENSCOURT LLC	\$500,000.00
622114	1/8/2003	XCHG TO	GOLDMAN SACHS ULT SH DUR GVT A	622-041108	BARNABUS & CO, LLC	\$480,283.43
622114	1/8/2003	XCHG TO	GOLDMAN SACHS ULT SH DUR GVT A	622-041108	BARNABUS & CO, LLC	\$633,314.22

Trading Associated with Goldstein in Janus Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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8/7/02 Janus stated that it wanted MSDW to restrict Goldstein and his partner Plotkin from any further trading in Janus funds due to unauthorized market timing

539067	8/23/2002	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	539-041105	BARNABUS & CO, LLC	\$377,238.68
539067	10/2/2002	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	539-041106	BARNABUS & CO, LLC	\$455,641.03
539067	10/2/2002	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	539-041106	BARNABUS & CO, LLC	\$604,259.35
539067	8/19/2002	BOT	JANUS ADVISER SER INTN'L	539-041105	BARNABUS & CO, LLC	\$378,000.00
539067	9/25/2002	BOT	JANUS ADVISER SER INTN'L	539-041106	BARNABUS & CO, LLC	\$450,000.00
539067	9/25/2002	BOT	JANUS ADVISER SER WORLDWIDE	539-041106	BARNABUS & CO, LLC	\$600,000.00
539077	8/20/2002	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	539-041114	BARNABUS & CO, LLC	\$492,331.35
539077	8/14/2002	BOT	JANUS ADVISER SER INTN'L	539-041114	BARNABUS & CO, LLC	\$478,600.00
539077	8/22/2002	XCHG TO	JANUS ADVISER SER INTN'L	539-041114	BARNABUS & CO, LLC	\$490,305.30

10/4/02 Janus blocked future purchases or exchanges in account 539-041106 due to unauthorized market timing

539078	10/10/2002	BOT	JANUS ADVISER SER INTN'L	539-041098	BARNABUS & CO, LLC	\$404,000.00
539078	10/10/2002	BOT	JANUS ADVISER SER WORLDWIDE	539-041098	BARNABUS & CO, LLC	\$404,000.00
622032	11/21/2002	BOT	JANUS ADVISER SER INTN'L	622-034492	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$299,700.00
622114	10/22/2002	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	622-041098	BARNABUS & CO, LLC	\$430,218.28
622114	10/22/2002	XCHG TO	JANUS ADVISER SER FLEXIBLE INC	622-041098	BARNABUS & CO, LLC	\$434,696.09

12/5/02 Janus blocked future purchases or exchanges in account 622-034492 due to unauthorized market timing

Trading Associated with Goldstein in Liberty Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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3/19/02 Liberty blocked futures purchases and exchanges by 622-WRCH due to unauthorized market timing

539067	8/21/2002	BOT	LIBERTY ACORN INTERNATL A	539-041106	BARNABUS & CO, LLC	442,000.00
539077	8/14/2002	BOT	LIBERTY ACORN INTERNATL A	539-041114	BARNABUS & CO, LLC	320,000.00
539078	8/14/2002	BOT	LIBERTY ACORN INTERNATL A	539-041098	BARNABUS & CO, LLC	420,000.00

8/22/02 Liberty blocked trades in accounts 539-041114, -041098 and (on 9/3/02) account -041106 due to unauthorized market timing

539067	9/6/2002	BOT	LIBERTY ACORN INTERNATL A	539-041105	BARNABUS & CO, LLC	312,000.00
539067	9/17/2002	XCHG TO	LIBERTY FEDERAL SECS A	539-041105	BARNABUS & CO, LLC	304,735.06

9/18/02 Liberty blocked trades in account 539-041105 due to unauthorized market timing

Trading Associated with Goldstein in MFS Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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8/15/02 MFS blocked trades in account 539-041105 due to unauthorized market timing

539067	9/17/2002	XCHG TO	MFS BOND FUND A	539-041106	BARNABUS & CO, LLC	\$272,178.20
539067	9/4/2002	BOT	MFS GLB EQUITY A	539-041106	BARNABUS & CO, LLC	\$276,000.00
539067	9/25/2002	XCHG TO	MFS GLB EQUITY A	539-041106	BARNABUS & CO, LLC	\$271,086.87
622032	12/3/2002	XCHG TO	MFS BOND FUND A	622-034490	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$343,673.90
622032	12/3/2002	XCHG TO	MFS BOND FUND A	622-034491	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$346,149.12
622032	11/21/2002	BOT	MFS GLB EQUITY A	622-034490	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$349,800.00
622032	12/16/2002	BOT	MFS GLB EQUITY A	622-034492	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$148,700.00
622032	11/21/2002	BOT	MFS GLB GROWTH A	622-034491	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$349,600.00
622032	12/16/2002	BOT	MFS GLB GROWTH A	622-034492	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$149,100.00
622032	12/16/2002	BOT	MFS INTL GROWTH A	622-034266	BARNABUS & CO LLC	\$179,600.00
622032	12/16/2002	BOT	MFS INTL NEW DISCOVERY A	622-034266	BARNABUS & CO LLC	\$179,600.00
622074	8/23/2002	BOT	MFS HIGH INCOME A	622-029797	RAVENS COURT LLC	\$500,000.00
622074	8/23/2002	XCHG TO	MFS HIGH INCOME A	622-027278	CARROLL, HINRICH & CO LLC	\$468,739.95
622074	10/29/2002	BOT	MFS HIGH INCOME A	622-029797	RAVENS COURT LLC	\$500,000.00
622114	10/29/2002	BOT	MFS HIGH INCOME A	622-027278	CARROLL, HINRICH & CO LLC	\$500,000.00
622114	2/21/2003	BOT	MFS HIGH INCOME A	622-027278	CARROLL, HINRICH & CO LLC	\$500,000.00
622114	2/21/2003	BOT	MFS HIGH INCOME A	622-029797	RAVENS COURT LLC	\$500,000.00

Trading Associated with Goldstein in Phoenix Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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6/24/02 Phoenix blocked any future trades by reps Goldstein and/or Plotkin due to unauthorized market timing

539067	8/14/2002	BOT	PHOENIX-ABERDEEN INTL A	539-041105	BARNABUS & CO, LLC	277,300.00
539067	8/14/2002	BOT	PHOENIX-ABERDEEN INTL A	539-041106	BARNABUS & CO, LLC	243,000.00
539077	7/5/2002	BOT	PHOENIX-ABERDEEN INTL A	539-041114	BARNABUS & CO, LLC	467,000.00
539078	7/5/2002	BOT	PHOENIX-ABERDEEN INTL A	539-041098	BARNABUS & CO, LLC	400,000.00

8/15/02 Phoenix cancelled trades in accounts 539-041105 and -041106 due to unauthorized market timing

539067	8/19/2002	BOT	PHOENIX-ABERDEEN INTL A	539-041106	BARNABUS & CO, LLC	211,000.00
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8/20/02 Phoenix blocked future purchases and exchanges in accounts 539-041106 due to unauthorized market timing

Trading Associated with Goldstein in Salomon Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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8/7/02 Salomon blocked future exchanges in account 539-041114 due to unauthorized market timing

539067	8/14/2002	BOT	SALOMON INTERNATIONAL EQI A	539-041105	BARNABUS & CO, LLC	\$277,300.00
539067	8/26/2002	XCHG TO	SALOMON INTERNATIONAL EQI A	539-041106	BARNABUS & CO, LLC	\$385,009.55
539067	8/20/2002	XCHG TO	SALOMON US GOVT SEC FD CL A	539-041106	BARNABUS & CO, LLC	\$385,380.47

8/27/02 Salomon cancelled a trade in account 539-041106 due to unauthorized market timing

539078	9/4/2002	BOT	SALOMON INTERNATIONAL EQI A	539-041098	BARNABUS & CO, LLC	\$203,000.00
539078	9/25/2002	XCHG TO	SALOMON INTERNATIONAL EQI A	539-041098	BARNABUS & CO, LLC	\$198,462.51
539078	9/17/2002	XCHG TO	SALOMON SHORT/INTRM US GOVT	539-041098	BARNABUS & CO, LLC	\$198,083.04

9/26/02 Salomon blocked future exchanges in account 539-041098 due to unauthorized market timing

622032	11/6/2002	BOT	SALOMON INTERNATIONAL EQI A	622-034267	BARNABUS & CO LLC	\$215,300.00
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Trading Associated with Goldstein in Scudder Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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8/15/02 Scudder cancelled a trade in account 539-041114 due to unauthorized market timing

539067	8/19/2002	BOT	SCUDDER INTL EQUITY INV	539-041105	BARNABUS & CO, LLC	\$521,000.00
539067	8/19/2002	BOT	SCUDDER NEW EUROPE FD A	539-041105	BARNABUS & CO, LLC	\$378,000.00

8/20/02 Scudder cancelled a trade in account 539-041105 due to unauthorized market timing

8/26/02 Scudder allows liquidations only in account 539-041105 due to excessive trading

622032	11/14/2002	BOT	SCUDDER INTL EQUITY INV	622-034267	BARNABUS & CO LLC	\$301,200.00
622074	8/27/2002	BOT	SCUDDER HIGH YIELD FD A	622-027278	CARROLL, HINRICHS & CO LLC	\$750,000.00
622074	8/28/2002	BOT	SCUDDER HIGH YIELD FD A	622-029797	RAVENSCOURT LLC	\$750,000.00

11/15/02 Scudder cancelled a trade in account 622-034267 due to unauthorized market timing

Trading Associated with Goldstein in Van Kampen Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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12/6/02 Van Kampen blocked future purchases or exchanges in account 539-041098

622032	12/16/2002	XCHG TO	VAN KAMPEN INT'L MAGNUM A	622-034490	BARNABUS & CO LLC ATTN: TERRY FEENEY	\$337,922.22
622114	2/21/2003	BOT	VAN KAMPEN HI INC CORP BOND A	622-027278	CARROLL, HINRICHS & CO LLC	\$1,000,000.00
622114	2/21/2003	BOT	VAN KAMPEN HI INC CORP BOND A	622-029797	RAVENS COURT LLC	\$1,000,000.00

ATTACHMENT

B

Trading Associated with O'Donnell in AIM Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
5/23/02 AIM blocked future purchases and exchanges in accounts 723-012143 because of unauthorized market timing						
723143	6/4/2002	BOT	AIM EURO DEVELOPMENT A	723-060179	GAHN & MCELROY, LLC ATTN: MARCI TEKEL	\$1,230,000.00
723143	6/14/2002	XCHG TO	AIM EURO DEVELOPMENT A	723-060179	GAHN & MCELROY, LLC ATTN: MARCI TEKEL	\$1,230,668.32
723143	6/24/2002	XCHG TO	AIM EURO DEVELOPMENT A	723-060179	GAHN & MCELROY, LLC ATTN: MARCI TEKEL	\$1,212,199.19
723143	7/3/2002	XCHG TO	AIM EUROPEAN GROWTH A	723-060179	GAHN & MCELROY, LLC ATTN: MARCI TEKEL	\$1,252,510.38
723143	7/11/2002	XCHG TO	AIM EUROPEAN GROWTH A	723-060179	GAHN & MCELROY, LLC ATTN: MARCI TEKEL	\$1,271,246.00
723009	6/26/2002	XCHG TO	AIM GLBL GRWTH A	723-012142	MINARET LLC	\$1,490,283.92
723009	6/21/2002	XCHG TO	AIM INTERM GOVT A	723-012142	MINARET LLC	\$1,488,671.46
723009	7/1/2002	XCHG TO	AIM INTERM GOVT A	723-012142	MINARET LLC	\$1,516,774.14
723009	7/9/2002	XCHG TO	AIM INTERM GOVT A	723-012142	MINARET LLC	\$1,516,760.20
723143	6/4/2002	BOT	AIM INTL EQUITY A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: MARCI TEKEL	\$1,420,000.00
723143	6/14/2002	XCHG TO	AIM INTL EQUITY A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: MARCI TEKEL	\$1,398,492.76
723143	6/17/2002	BOT	AIM INTL EQUITY A	723-060178	GILMORE & GILLESPIE, LLC ATTN: MARCI TEKEL	\$1,500,000.00
723009	6/17/2002	BOT	AIM INTL EQUITY A	723-012142	MINARET LLC	\$1,500,000.00
723143	6/24/2002	XCHG TO	AIM INTL EQUITY A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: MARCI TEKEL	\$1,367,710.33
723143	6/27/2002	XCHG TO	AIM INTL EQUITY A	723-060178	GILMORE & GILLESPIE, LLC ATTN: MARCI TEKEL	\$1,489,938.72
723143	7/3/2002	XCHG TO	AIM INTL GROWTH A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: MARCI TEKEL	\$1,398,094.23
723143	7/3/2002	XCHG TO	AIM INTL GROWTH A	723-060178	GILMORE & GILLESPIE, LLC ATTN: MARCI TEKEL	\$1,493,751.72
723009	7/5/2002	XCHG TO	AIM INTL GROWTH A	723-012142	MINARET LLC	\$1,515,745.98
723143	7/11/2002	XCHG TO	AIM INTL GROWTH A	723-060178	GILMORE & GILLESPIE, LLC ATTN: MARCI TEKEL	\$1,518,588.75
723143	7/11/2002	XCHG TO	AIM INTL GROWTH A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: MARCI TEKEL	\$1,421,326.50
723009	7/11/2002	XCHG TO	AIM INTL GROWTH A	723-012142	MINARET LLC	\$1,523,345.50
723143	6/11/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060179	GAHN & MCELROY, LLC ATTN: MARCI TEKEL	\$1,242,928.32
723143	6/11/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: MARCI TEKEL	\$1,412,416.20
723143	6/19/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060179	GAHN & MCELROY, LLC ATTN: MARCI TEKEL	\$1,253,301.28
723143	6/19/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: MARCI TEKEL	\$1,414,098.00
723143	6/25/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060178	GILMORE & GILLESPIE, LLC ATTN: MARCI TEKEL	\$1,496,893.00
723143	7/1/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: MARCI TEKEL	\$1,422,265.46
723143	7/1/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060178	GILMORE & GILLESPIE, LLC ATTN: MARCI TEKEL	\$1,519,583.60
723143	7/1/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060179	GAHN & MCELROY, LLC ATTN: MARCI TEKEL	\$1,274,174.10
723143	7/9/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: MARCI TEKEL	\$1,451,836.86

Trading Associated with O'Donnell in AIM Funds

723143	7/9/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060178	GILMORE & GILLESPIE, LLC ATTN: MARCI TEKEL	\$1,551,175.96
723143	7/9/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060179	GAHN & MCELROY, LLC ATTN: MARCI TEKEL	\$1,298,532.06

7/12/02 AIM warned that only two more exchanges were permitted that year in accounts 723-060177 and 723-060179

723009	7/24/2002	XCHG TO	AIM GBL GRWTH A	723-012142	MINARET LLC	\$1,510,943.10
723009	7/16/2002	XCHG TO	AIM INTERM GOVT A	723-012142	MINARET LLC	\$1,496,325.44
723009	8/1/2002	XCHG TO	AIM INTERM GOVT A	723-012142	MINARET LLC	\$1,546,999.74
723143	9/12/2002	XCHG TO	AIM INTERM GOVT A	723-012154	ASG LLC	\$1,456,178.40
723143	7/24/2002	XCHG TO	AIM INTL GROWTH A	723-060178	GILMORE & GILLESPIE, LLC ATTN: MARCI TEKEL	\$1,383,260.42
723143	7/24/2002	BOT	AIM INTL GROWTH A	723-060176	MAZZARD GROUP LLC ATTN: MARCI TEKEL	\$1,400,000.00
723143	7/29/2002	BOT	AIM INTL GROWTH A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$1,200,000.00
723143	8/7/2002	XCHG TO	AIM INTL GROWTH A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$1,180,750.06
723143	8/7/2002	XCHG TO	AIM INTL GROWTH A	723-060178	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$1,360,293.41
723143	8/7/2002	XCHG TO	AIM INTL GROWTH A	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$1,376,725.56
723143	8/7/2002	BOT	AIM INTL GROWTH A	723-060193	LINKAGE ASSOCIATES, LLC ATTN: KOVAN PILLAI	\$1,300,000.00
723143	8/8/2002	BOT	AIM INTL GROWTH A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$975,000.00
723009	8/8/2002	XCHG TO	AIM INTL GROWTH A	723-012142	MINARET LLC	\$1,551,930.45
723143	8/14/2002	BOT	AIM INTL GROWTH A	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$1,200,000.00
723143	9/4/2002	XCHG TO	AIM INTL GROWTH A	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$1,177,497.75
723143	9/4/2002	XCHG TO	AIM INTL GROWTH A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$1,241,487.00
723143	9/4/2002	XCHG TO	AIM INTL GROWTH A	723-060193	LINKAGE ASSOCIATES, LLC ATTN: KOVAN PILLAI	\$1,366,833.00
723143	9/4/2002	XCHG TO	AIM INTL GROWTH A	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$1,447,543.50
723143	9/4/2002	BOT	AIM INTL GROWTH A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: OPERATIONS	\$1,300,000.00
723143	9/4/2002	BOT	AIM INTL GROWTH A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$1,100,000.00
723143	9/4/2002	BOT	AIM INTL GROWTH A	723-012154	ASG LLC	\$1,444,000.00
723143	7/16/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: MARCI TEKEL	\$1,396,096.16
723143	7/16/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060178	GILMORE & GILLESPIE, LLC ATTN: MARCI TEKEL	\$1,491,633.68
723143	7/16/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060179	GAHN & MCELROY, LLC ATTN: MARCI TEKEL	\$1,248,180.30
723143	8/1/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060176	MAZZARD GROUP LLC ATTN: MARCI TEKEL	\$1,422,577.76
723143	8/1/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060178	GILMORE & GILLESPIE, LLC ATTN: MARCI TEKEL	\$1,405,590.06
723143	8/2/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$1,182,033.84
723143	8/13/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$1,413,401.26
723143	8/13/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060193	LINKAGE ASSOCIATES, LLC ATTN: KOVAN PILLAI	\$1,334,606.17

Trading Associated with O'Donnell in AIM Funds

723143	8/13/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$1,212,197.91
723143	8/27/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$1,240,313.12
723143	9/12/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$1,109,255.10
723143	9/12/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$1,251,958.90

9/13/02 AIM blocked future purchases and exchanges in accounts 723-060176, -060177, -060193 and -060196 because of unauthorized market timing

723143	9/25/2002	XCHG TO	AIM GLOBAL AGGRESSIVE GR A	723-012154	ASG LLC	\$1,463,892.12
723143	9/27/2002	XCHG TO	AIM INTERM GOVT A	723-012154	ASG LLC	\$1,484,328.78
723143	10/3/2002	XCHG TO	AIM INTERM GOVT A	723-012154	ASG LLC	\$1,464,917.44
723143	9/18/2002	XCHG TO	AIM INTL GROWTH A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$1,071,770.40
723143	9/18/2002	XCHG TO	AIM INTL GROWTH A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$1,209,669.92
723143	9/18/2002	BOT	AIM INTL GROWTH A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$1,300,000.00
723143	9/25/2002	BOT	AIM INTL GROWTH A	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$1,400,000.00
723143	9/25/2002	BOT	AIM INTL GROWTH A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$1,200,000.00
723143	9/25/2002	BOT	AIM INTL GROWTH A	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$1,200,000.00
723143	9/25/2002	BOT	AIM INTL GROWTH A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$1,200,000.00
723143	10/1/2002	XCHG TO	AIM INTL GROWTH A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$1,276,978.28
723143	10/1/2002	XCHG TO	AIM INTL GROWTH A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$1,052,818.68
723143	10/1/2002	XCHG TO	AIM INTL GROWTH A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$1,188,277.80
723143	10/1/2002	XCHG TO	AIM INTL GROWTH A	723-012154	ASG LLC	\$1,484,327.50
723143	9/24/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$1,231,181.16
723143	9/24/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$1,015,049.42
723143	9/24/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$1,145,644.50
723143	10/2/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$1,418,591.60
723143	10/3/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$2,464,271.68
723143	10/3/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$2,243,050.64
723143	10/3/2002	XCHG TO	AIM LARGE CAP BASIC VAL A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$1,172,750.56

10/03/02 AIM warned that only two more exchanges were permitted that year in account 723-060194

10/07/02 AIM blocked future purchases and exchanges in accounts 723-060203 because of unauthorized market timing

723143	10/8/2002	XCHG TO	AIM INTL GROWTH A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$2,370,951.90
723143	10/8/2002	XCHG TO	AIM INTL GROWTH A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$2,158,108.50

Trading Associated with O'Donnell in AIM Funds

723143	10/8/2002	BOT	AIM INTL GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$2,000,000.00
723143	10/8/2002	XCHG TO	AIM INTL GROWTH A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$2,370,951.90
723143	10/8/2002	XCHG TO	AIM INTL GROWTH A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$2,158,108.50
723143	10/8/2002	BOT	AIM INTL GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$2,000,000.00

10/09/02 AIM blocked future purchases and exchanges by brokers 723-WRCH, 723-010 and all of their accounts because of unauthorized market timing

723143	10/15/2002	BOT	AIM INTL GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$1,300,000.00
723143	10/21/2002	BOT	AIM INTL GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$1,300,000.00
723143	10/23/2002	BOT	AIM INTL GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$1,200,000.00
723143	10/23/2002	BOT	AIM INTL GROWTH A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$1,500,000.00
723143	10/23/2002	BOT	AIM INTL GROWTH A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$1,500,000.00
723143	10/25/2002	BOT	AIM INTL GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$1,000,000.00

10/30/02 AIM blocked future purchases and exchanges in accounts 723-060210 because of unauthorized market timing

723143	11/6/2002	BOT	AIM INTL GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$1,500,000.00
723009	11/14/2002	BOT	AIM INTL GROWTH A	723-012144	MONTICLO LLC	\$1,460,000.00
723002	11/15/2002	BOT	AIM INTL GROWTH A	723-060221	CHIBA, LLC ATTN: OPERATIONS	\$1,500,000.00
723002	11/15/2002	BOT	AIM INTL GROWTH A	723-060215	MAZZARD GROUP, LLC ATTN: OPERATIONS	\$1,300,000.00
723002	11/15/2002	BOT	AIM INTL GROWTH A	723-060216	TAIGA GROUP, LLC ATTN: OPERATIONS	\$1,000,000.00
723002	11/15/2002	BOT	AIM INTL GROWTH A	723-060217	PERIWINKLE, LLC ATTN: OPERATIONS	\$1,500,000.00
723143	11/15/2002	BOT	AIM INTL GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$1,400,000.00

11/22/02 AIM blocked future purchases and exchanges in accounts 723-060215, -060216, -060217, -060221 and -012144 because of unauthorized market timing

Trading Associated with O'Donnell in Alliance Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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7/29/02 Alliance blocked future purchases and exchanges in accounts 723-060193, -060196, and -060176 because of unauthorized market timing practices

723143	10/1/2002	BOT	ALLIANCE ALL-ASIA INVST A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$250,000.00
723143	8/14/2002	BOT	ALLIANCE INTL PREM GRWTH A	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$500,000.00
723143	9/18/2002	BOT	ALLIANCE INTL PREM GRWTH A	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$800,000.00
723143	10/1/2002	BOT	ALLIANCE INTL PREM GRWTH A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$800,000.00
723143	8/7/2002	BOT	ALLIANCE NEW EUROPE A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$250,000.00

10/9/02 Alliance blocked future purchases and exchanges in account 723-012288 (2288) because of unauthorized market timing

723009	10/10/2002	BOT	ALLIANCE NEW EUROPE A	723-012143	KMA LLC	\$970,000.00
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10/11/02 Alliance blocked future purchases and exchanges in account 723-012143 because of unauthorized market timing

723143	10/23/2002	BOT	ALLIANCE ALL-ASIA INVST A	723-060210	SMKE & CO., LLC ATTN: OPERATIONS	\$200,000.00
723002	11/15/2002	BOT	ALLIANCE ALL-ASIA INVST A	723-060221	CHIBA, LLC ATTN: OPERATIONS	\$200,000.00
723002	11/15/2002	BOT	ALLIANCE ALL-ASIA INVST A	723-060214	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$100,000.00
723002	11/15/2002	BOT	ALLIANCE ALL-ASIA INVST A	723-060216	TAIGA GROUP, LLC ATTN: OPERATIONS	\$200,000.00
723002	11/15/2002	BOT	ALLIANCE ALL-ASIA INVST A	723-060217	PERIWINKLE, LLC ATTN: OPERATIONS	\$150,000.00
723143	10/23/2002	BOT	ALLIANCE INTL PREM GRWTH A	723-060210	SMKE & CO., LLC ATTN: OPERATIONS	\$800,000.00
723002	11/15/2002	BOT	ALLIANCE INTL PREM GRWTH A	723-060221	CHIBA, LLC ATTN: OPERATIONS	\$800,000.00
723002	11/15/2002	BOT	ALLIANCE INTL PREM GRWTH A	723-060216	TAIGA GROUP, LLC ATTN: OPERATIONS	\$800,000.00
723002	11/15/2002	BOT	ALLIANCE INTL PREM GRWTH A	723-060217	PERIWINKLE, LLC ATTN: OPERATIONS	\$750,000.00
723143	2/14/2003	BOT	ALLIANCE INTL PREM GRWTH A	723-060214	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$170,000.00
723143	2/14/2003	BOT	ALLIANCE INTL PREM GRWTH A	723-060215	MAZZARD GROUP, LLC ATTN: OPERATIONS	\$180,000.00
723143	2/14/2003	BOT	ALLIANCE NEW EUROPE A	723-060210	SMKE & CO., LLC ATTN: OPERATIONS	\$150,000.00
723143	2/14/2003	BOT	ALLIANCE WORLDWIDE PRIVATIZ A	723-060210	SMKE & CO., LLC ATTN: OPERATIONS	\$160,000.00

2/26/03 Alliance cancelled trades and blocked future purchases and exchanges by broker 723-WRCH because of

Trading Associated with O'Donnell in Alliance Funds

unauthorized market timing

3/03/03 Alliance cancelled trades in accounts 723-012342, -012345, -060214, -060215, and -060210 because of unauthorized market timing

723002	3/5/2003	BOT	ALLIANCE ALL-ASIA INVST A	723-060293	CHIBA LLC ATTENTION: OPERATIONS	\$200,000.00
723002	3/5/2003	BOT	ALLIANCE ALL-ASIA INVST A	723-060287	OPTIC GROUP, LLC ATTENTION: OPERATIONS	\$200,000.00
723002	3/5/2003	BOT	ALLIANCE INTL PREM GRWTH A	723-060293	CHIBA LLC ATTENTION: OPERATIONS	\$180,000.00
723002	3/5/2003	BOT	ALLIANCE INTL PREM GRWTH A	723-060287	OPTIC GROUP, LLC ATTENTION: OPERATIONS	\$180,000.00
723002	3/5/2003	BOT	ALLIANCE NEW EUROPE A	723-060293	CHIBA LLC ATTENTION: OPERATIONS	\$150,000.00
723002	3/5/2003	BOT	ALLIANCE NEW EUROPE A	723-060287	OPTIC GROUP, LLC ATTENTION: OPERATIONS	\$150,000.00
723002	3/21/2003	BOT	ALLIANCE NEW EUROPE A	723-060288	BUKA GROUP, LLC ATTENTION: OPERATIONS	\$70,000.00
723002	3/21/2003	BOT	ALLIANCE NEW EUROPE A	723-060289	TAIGA GROUP, LLC ATTENTION: OPERATIONS	\$70,000.00
723002	3/5/2003	BOT	ALLIANCE WORLDWIDE PRIVATIZ A	723-060293	CHIBA LLC ATTENTION: OPERATIONS	\$170,000.00
723002	3/5/2003	BOT	ALLIANCE WORLDWIDE PRIVATIZ A	723-060287	OPTIC GROUP, LLC ATTENTION: OPERATIONS	\$170,000.00
723002	3/21/2003	BOT	ALLIANCE WORLDWIDE PRIVATIZ A	723-060290	NAPA DRIVE, LLC ATTENTION: OPERATIONS	\$70,000.00
723002	3/21/2003	BOT	ALLIANCE WORLDWIDE PRIVATIZ A	723-060291	VALONIA, LLC ATTENTION: OPERATIONS	\$70,000.00
723002	3/21/2003	BOT	ALLIANCE WORLDWIDE PRIVATIZ A	723-060292	OSAYKANYU LLC ATTENTION: OPERATIONS	\$70,000.00
723002	3/21/2003	BOT	ALLIANCE WORLDWIDE PRIVATIZ A	723-060294	HOBART WAY, LLC ATTENTION: OPERATIONS	\$70,000.00

Trading Associated with O'Donnell in American Century Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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8/09/02 American Century blocked future purchases and exchanges in accounts 723-060177 and -060196 because of "abusive trading practices from your branch 723/O'Donnell"

8/19/02 American Century cancelled trades in accounts 723-060193, -060194, -060177 and -060176 because of unauthorized market timing

723011	1/2/2003	BOT	AMER CENT INTL GRW ADV	723-060245	CHIBA, LLC ATTN: OPERATIONS	\$498,594.00
723011	1/23/2003	BOT	AMER CENT INTL GRW ADV	723-060243	LEMATA, LLC ATTN: OPERATIONS	\$443,432.00
723011	1/28/2003	BOT	AMER CENT INTL GRW ADV	723-060247	OSAYKARYU, LLC ATTN: OPERATIONS	\$442,988.00

1/31/03 American Century blocked all future purchases and exchanges in Branch 723 following "several warning letters to Mr. O'Donnell" because of unauthorized market timing

Trading Associated with O'Donnell in Dreyfus Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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11/07/02 Dreyfus cancelled trades in accounts 723-060203 and 723-060210 because of unauthorized market timing

723002	11/15/2002	BOT	DREYFUS PREM INTL GROWTH A	723-060214	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$200,000.00
723002	11/15/2002	BOT	DREYFUS PREM INTL GROWTH A	723-060215	MAZZARD GROUP, LLC ATTN: OPERATIONS	\$200,000.00

11/18/02 Dreyfus cancelled trades by broker 723-WRCH because of unauthorized market timing

Trading Associated with O'Donnell in Franklin Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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6/26/02 Franklin blocked future purchases and exchanges in account 723-060178 because of excessive trading

723143	7/9/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060177	WYATT,ATWOOD & CO.,LLC ATTN: MARCI TEKEL	\$1,525,428.38
723143	7/16/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060177	WYATT,ATWOOD & CO.,LLC ATTN: MARCI TEKEL	\$1,444,245.46
723143	7/18/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060179	GAHN & MCCELROY,LLC ATTN: MARCI TEKEL	\$1,474,725.36
723143	8/1/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060179	GAHN & MCCELROY,LLC ATTN: MARCI TEKEL	\$1,438,507.70
723143	8/1/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060179	GAHN & MCCELROY,LLC ATTN: MARCI TEKEL	\$206,917.90
723143	8/1/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060193	LINKAGE ASSOCIATES,LLC ATTN: KOVAN PILLAI	\$303,672.95
723143	8/1/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060194	OPTIK GROUP,LLC ATTN: KOVAN PILLAI	\$97,487.12
723143	7/24/2002	XCHG TO	FRANKLIN MUTUAL EUROPEAN A	723-060177	WYATT,ATWOOD & CO.,LLC ATTN: MARCI TEKEL	\$1,355,386.62

8/02/02 Franklin cancelled trades in account 723-060179 because of unauthorized market timing

723143	8/13/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060193	LINKAGE ASSOCIATES,LLC ATTN: KOVAN PILLAI	\$303,075.84
723143	8/13/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060194	OPTIK GROUP,LLC ATTN: KOVAN PILLAI	\$97,833.63
723143	9/12/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060196	NAPA DRIVE,LLC ATTN: KOVAN PILLAI	\$851,254.53
723143	9/12/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060195	AURIC VENTURES,LLC ATTN: KOVAN PILLAI	\$1,521,549.64
723143	9/12/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060194	OPTIK GROUP,LLC ATTN: KOVAN PILLAI	\$100,827.18
723143	9/12/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060193	LINKAGE ASSOCIATES,LLC ATTN: KOVAN PILLAI	\$309,008.92
723143	9/12/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060177	WYATT,ATWOOD & CO.,LLC ATTN: OPERATIONS	\$200,390.00
723143	9/12/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$300,446.96

9/20/02 Franklin blocked future purchases and exchanges in accounts 723-060193, -060194, -060176, -060177, -045062, -060195 and -060196 because of excessive trading

723143	9/24/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$1,322,354.88
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9/27/02 Franklin blocked future purchases and exchanges in accounts 723-060304 and -012288 because of unauthorized market timing

723143	10/2/2002	XCHG TO	FRANKLIN BLUE CHIP FD A	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$605,962.98
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Trading Associated with O'Donnell in Franklin Funds

10/09/02 Franklin blocked future purchases and exchanges in account 723-060203 because of excessive trading

723143	10/23/2002	BOT	FRANKLIN MUTUAL EUROPEAN A	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$1,500,000.00
723143	10/25/2002	BOT	FRANKLIN MUTUAL EUROPEAN A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$900,000.00
723002	11/1/2002	BOT	FRANKLIN MUTUAL EUROPEAN A	723-060221	CHIBA, LLC ATTN: OPERATIONS	\$900,000.00
723002	1/8/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$968,557.40
723002	1/8/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$960,718.50
723143	10/23/2002	BOT	FRANKLIN MUTUAL EUROPEAN A	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$1,500,000.00
723143	10/25/2002	BOT	FRANKLIN MUTUAL EUROPEAN A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$900,000.00
723002	11/1/2002	BOT	FRANKLIN MUTUAL EUROPEAN A	723-060221	CHIBA, LLC ATTN: OPERATIONS	\$900,000.00
723002	1/8/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$968,557.40
723002	1/8/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$960,718.50

1/23/03 Franklin blocked future purchases and exchanges in account 723-060221 because of excessive trading

1/29/03 Franklin blocked future purchases and exchanges in account 723-060247 because of excessive trading

723002	1/30/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$940,873.85
723002	1/30/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$926,123.44
723002	2/20/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$927,572.18
723002	2/20/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$947,132.93

2/26/03 Franklin blocked future purchases and exchanges in account 723-060243 because of excessive trading

723002	3/3/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$956,616.58
723002	3/3/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$936,578.15

3/25/03 Franklin blocked future purchases and exchanges in account 723-045234 because of excessive trading

723143	4/7/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$993,409.09
723143	4/7/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$974,976.30
723143	4/16/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$1,018,067.41
723143	4/16/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$1,015,064.42
723002	4/16/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$1,012,026.60

Trading Associated with O'Donnell in Franklin Funds

723002	4/16/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$1,034,973.48
723143	5/8/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$1,044,280.18
723143	5/8/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$1,080,266.14
723002	5/19/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$1,101,086.38
723002	5/19/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$1,045,141.05
723143	6/19/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$1,093,808.63
723143	6/19/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$1,095,575.49
723002	6/19/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$1,109,516.44
723002	6/19/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$1,111,308.67
723143	7/15/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$1,129,144.12
723143	7/15/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$1,137,991.57
723002	7/15/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$1,145,356.00
723002	7/15/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$1,154,330.46
723002	8/5/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$1,148,220.02
723002	8/5/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$1,156,537.86
723143	8/5/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$1,140,161.55
723143	8/5/2003	XCHG TO	FRANKLIN US GOVT OFF A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$1,131,961.50

Trading Associated with O'Donnell in Gabelli Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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10/02/02 Gabelli blocked future purchases and exchanges in accounts 723-060304, -060177, -060179 and -060193

723143	10/8/2002	BOT	GABELLI GLOBAL GROWTH FUND	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$900,000.00
723143	10/8/2002	BOT	GABELLI GLOBAL GROWTH FUND	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$800,000.00
723143	10/21/2002	BOT	GABELLI GLOBAL GROWTH FUND	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$900,000.00
723009	10/21/2002	BOT	GABELLI GLOBAL GROWTH FUND	723-012143	KMA LLC	\$990,000.00
723143	10/23/2002	BOT	GABELLI GLOBAL GROWTH FUND	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$900,000.00
723143	10/25/2002	BOT	GABELLI GLOBAL GROWTH FUND	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$900,000.00
723143	11/1/2002	BOT	GABELLI GLOBAL GROWTH FUND	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$900,000.00

11/04/02 Gabelli cancelled trades in accounts 723-012288 and -060196 due to market timing activity

723143	11/6/2002	BOT	GABELLI GLOBAL GROWTH FUND	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$900,000.00
723143	11/6/2002	BOT	GABELLI GLOBAL GROWTH FUND	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$900,000.00
723002	1/2/2003	BOT	GABELLI GLOBAL GROWTH FUND	723-060217	PERIWINKLE, LLC ATTN: OPERATIONS	\$900,000.00
723143	1/2/2003	BOT	GABELLI GLOBAL GROWTH FUND	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$900,000.00
723143	1/2/2003	BOT	GABELLI GLOBAL GROWTH FUND	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$900,000.00
723002	1/6/2003	BOT	GABELLI GLOBAL GROWTH FUND	723-060215	MAZZARD GROUP, LLC ATTN: OPERATIONS	\$900,000.00
723002	1/23/2003	BOT	GABELLI GLOBAL GROWTH FUND	723-060221	CHIBA, LLC ATTN: OPERATIONS	\$900,000.00
723002	1/23/2003	BOT	GABELLI GLOBAL GROWTH FUND	723-060214	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$900,000.00
723002	1/23/2003	BOT	GABELLI GLOBAL GROWTH FUND	723-060216	TAIGA GROUP, LLC ATTN: OPERATIONS	\$900,000.00
723002	3/7/2003	BOT	GABELLI GLOBAL GROWTH FUND	723-060287	OPTIC GROUP, LLC ATTENTION: OPERATIONS	\$890,000.00
723002	4/1/2003	BOT	GABELLI GLOBAL GROWTH FUND	723-060292	OSAYKANYU LLC ATTENTION: OPERATIONS	\$890,000.00

Trading Associated with O'Donnell in Goldman Sachs Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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7/05/02 Goldman Sachs blocked future purchases and exchanges in account 723-060168 because of unauthorized market timing

723143	7/29/2002	BOT	GOLDMAN SACHS CORE INTL EQ A	723-060194	OPTIK GROUP,LLC ATTN: KOVAN PILLAI	\$350,000.00
723143	8/1/2002	XCHG TO	GOLDMAN SACHS COR LG CAP GR A	723-060193	LINKAGE ASSOCIATES,LLC ATTN: KOVAN PILLAI	\$698,289.00
723143	7/24/2002	BOT	GOLDMAN SACHS INTL GWTH OPFR A	723-060193	LINKAGE ASSOCIATES,LLC ATTN: KOVAN PILLAI	\$700,000.00
723143	8/1/2002	XCHG FR	GOLDMAN SACHS INTL GWTH OPFR A	723-060193	LINKAGE ASSOCIATES,LLC ATTN: KOVAN PILLAI	\$698,289.00

8/06/02 Goldman Sachs blocked future purchases and exchanges in accounts 723-060193 and -060194 because of unauthorized market timing

723143	2/14/2003	BOT	GOLDMAN SACHS ASIA GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$200,000.00
723143	3/5/2003	BOT	GOLDMAN SACHS ASIA GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$190,000.00
723002	3/5/2003	BOT	GOLDMAN SACHS ASIA GROWTH A	723-060291	VALONIA, LLC ATTENTION: OPERATIONS	\$190,000.00
723002	3/7/2003	BOT	GOLDMAN SACHS ASIA GROWTH A	723-060290	NAPA DRIVE,LLC ATTENTION: OPERATIONS	\$190,000.00
723002	4/1/2003	BOT	GOLDMAN SACHS ASIA GROWTH A	723-060291	VALONIA, LLC ATTENTION: OPERATIONS	\$190,000.00
723002	4/1/2003	BOT	GOLDMAN SACHS ASIA GROWTH A	723-060287	OPTIC GROUP,LLC ATTENTION: OPERATIONS	\$190,000.00
723143	8/7/2002	BOT	GOLDMAN SACHS CORE INTL EQ A	723-060196	NAPA DRIVE,LLC ATTN: KOVAN PILLAI	\$700,000.00
723143	2/14/2003	BOT	GOLDMAN SACHS CORE INTL EQ A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$450,000.00
723143	3/5/2003	BOT	GOLDMAN SACHS CORE INTL EQ A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$440,000.00
723002	3/5/2003	BOT	GOLDMAN SACHS CORE INTL EQ A	723-060291	VALONIA, LLC ATTENTION: OPERATIONS	\$430,000.00
723002	3/7/2003	BOT	GOLDMAN SACHS CORE INTL EQ A	723-060290	NAPA DRIVE,LLC ATTENTION: OPERATIONS	\$430,000.00
723002	4/1/2003	BOT	GOLDMAN SACHS CORE INTL EQ A	723-060291	VALONIA, LLC ATTENTION: OPERATIONS	\$430,000.00
723002	4/1/2003	BOT	GOLDMAN SACHS CORE INTL EQ A	723-060287	OPTIC GROUP,LLC ATTENTION: OPERATIONS	\$430,000.00
723143	2/14/2003	BOT	GOLDMAN SACHS EMERG MKTS EQ A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$400,000.00
723143	3/5/2003	BOT	GOLDMAN SACHS EMERG MKTS EQ A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$390,000.00
723002	3/5/2003	BOT	GOLDMAN SACHS EMERG MKTS EQ A	723-060291	VALONIA, LLC ATTENTION: OPERATIONS	\$390,000.00
723002	3/7/2003	BOT	GOLDMAN SACHS EMERG MKTS EQ A	723-060290	NAPA DRIVE,LLC ATTENTION: OPERATIONS	\$380,000.00
723143	2/14/2003	BOT	GOLDMAN SACHS INTL GWTH OPFR A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$420,000.00
723143	3/5/2003	BOT	GOLDMAN SACHS INTL GWTH OPFR A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$410,000.00
723002	3/5/2003	BOT	GOLDMAN SACHS INTL GWTH OPFR A	723-060291	VALONIA, LLC ATTENTION: OPERATIONS	\$400,000.00
723002	3/7/2003	BOT	GOLDMAN SACHS INTL GWTH OPFR A	723-060290	NAPA DRIVE,LLC ATTENTION: OPERATIONS	\$400,000.00

Trading Associated with O'Donnell in Goldman Sachs Funds

723002	4/1/2003	BOT	GOLDMAN SACHS INTL GWTH OPPR A	723-060291	VALONIA, LLC ATTENTION: OPERATIONS	\$400,000.00
723002	4/1/2003	BOT	GOLDMAN SACHS INTL GWTH OPPR A	723-060287	OPTIC GROUP, LLC ATTENTION: OPERATIONS	\$400,000.00

4/08/03 Goldman Sachs blocked future purchases and exchanges in account 723-060291 because of unauthorized market timing

5/28/03 Goldman Sachs blocked future purchases and exchanges in account 723-060287 and -060291 because of unauthorized market timing

Trading Associated with O'Donnell in Liberty Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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06/10/02 Liberty blocked future purchases and exchanges in accounts 723-060178 due to short-term trading activity

723143	6/19/2002	XCHG TO	LIBERTY GROWTH STOCK A	723-060178	GILMORE & GILLESPIE,LLC ATTN: MARCI TEKEL	\$87,774.72
723143	7/1/2002	XCHG TO	LIBERTY GROWTH STOCK A	723-060178	GILMORE & GILLESPIE,LLC ATTN: MARCI TEKEL	\$87,286.15
723143	6/24/2002	XCHG TO	LIBERTY NEWPORT JAPAN OPPORT A	723-060178	GILMORE & GILLESPIE,LLC ATTN: MARCI TEKEL	\$84,571.74
723143	7/3/2002	XCHG TO	LIBERTY NEWPORT JAPAN OPPORT A	723-060178	GILMORE & GILLESPIE,LLC ATTN: MARCI TEKEL	\$86,236.80
723143	7/11/2002	BOT	LIBERTY NEWPORT TIGER A	723-060179	GAHN & MCELROY,LLC ATTN: MARCI TEKEL	\$1,300,000.00

07/23/02 Liberty blocked trading by "rep 723-WRCH, O'Donnell" due to excessive trading in account 723-060179

Trading Associated with O'Donnell in Lord Abbett Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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6/26/02 Lord Abbett blocked future purchases and exchanges in accounts 723-060178 because of excessive trading

723002	3/5/2003	BOT	LORD ABBETT INTERNATIONAL A	723-060292	OSAYKANYU LLC ATTENTION: OPERATIONS	\$790,000.00
723143	7/24/2002	BOT	LORD ABBETT INTL SERIES A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$1,100,000.00

Trading Associated with O'Donnell in MFS Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
8/15/02 MFS blocked future purchases and exchanges in accounts 723-060176, -060177, -060193, and -060194 because of unauthorized market timing						
723143	9/12/2002	XCHG TO	MFS CORE GROWTH A	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$752,232.32
723143	9/12/2002	XCHG TO	MFS CORE GROWTH A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$1,512,831.95
723143	9/12/2002	XCHG TO	MFS CORE GROWTH A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$800,578.92
723143	9/12/2002	XCHG TO	MFS CORE GROWTH A	723-060178	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$1,504,497.96
723143	10/23/2002	BOT	MFS EMERGING MKTS EQUITY A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$300,000.00
723143	9/4/2002	BOT	MFS GLB ASSET ALLOC A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$800,000.00
723143	9/18/2002	XCHG TO	MFS GLB ASSET ALLOC A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$787,019.86
723143	10/23/2002	BOT	MFS GLB ASSET ALLOC A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$800,000.00
723009	8/22/2002	BOT	MFS GLB EQUITY A	723-012143	KMA LLC	\$980,000.00
723143	9/4/2002	BOT	MFS GLB EQUITY A	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$750,000.00
723143	9/4/2002	BOT	MFS GLB EQUITY A	723-060178	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$1,500,000.00
723143	9/18/2002	XCHG TO	MFS GLB EQUITY A	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$739,492.22
723143	9/18/2002	XCHG TO	MFS GLB EQUITY A	723-060178	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$1,479,035.60
723009	9/25/2002	XCHG TO	MFS GLB EQUITY A	723-012143	KMA LLC	\$974,543.57
723143	10/8/2002	BOT	MFS GLB EQUITY A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$1,500,000.00
723143	9/4/2002	BOT	MFS GLB GROWTH A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$1,500,000.00
723009	9/4/2002	XCHG TO	MFS GLB GROWTH A	723-012143	KMA LLC	\$962,416.62
723143	9/18/2002	XCHG TO	MFS GLB GROWTH A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$1,487,233.99
723143	9/18/2002	BOT	MFS GLB GROWTH A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$1,400,000.00
723143	9/18/2002	BOT	MFS GLB GROWTH A	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$1,500,000.00
723009	10/15/2002	BOT	MFS GLB GROWTH A	723-012142	MINARET LLC	\$950,000.00
723143	10/23/2002	BOT	MFS GLB GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$1,500,000.00
723009	9/12/2002	XCHG TO	MFS GOVT SECURITIES A	723-012143	KMA LLC	\$970,654.30
723143	10/23/2002	BOT	MFS INTL GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$400,000.00
723143	10/23/2002	BOT	MFS INTL INVESTORS TRUST A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$300,000.00
723143	10/23/2002	BOT	MFS INTL NEW DISCOVERY A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$500,000.00
723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060241	REDWING GROUP, LLC ATTN: OPERATIONS	\$19,943.00

Trading Associated with O'Donnell in MFS Funds

723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060242	VALONIA, LLC ATTN: OPERATIONS	\$9,971.00
723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060243	LEMATA, LLC ATTN: OPERATIONS	\$9,971.00
723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060244	HOBART WAY, LLC ATTN: OPERATIONS	\$19,943.00
723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060245	CHIBA, LLC ATTN: OPERATIONS	\$9,971.00
723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060246	BUKA GROUP, LLC ATTN: OPERATIONS	\$9,971.00
723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060247	OSAYKARYU, LLC ATTN: OPERATIONS	\$9,971.00
723002	4/10/2003	BOT	MFS MERIDIAN GLOBAL BAL A2	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$495,000.00
723002	6/4/2003	XCHG TO	MFS MERIDIAN GLOBAL BAL A2	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$508,924.00
723002	4/10/2003	BOT	MFS MERIDIAN GLOBAL EQI A2	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$490,000.00
723002	6/4/2003	XCHG TO	MFS MERIDIAN GLOBAL EQI A2	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$508,924.00
723002	5/8/2003	XCHG TO	MFS MERIDIAN LTD MATURITY A2	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$508,567.28
723002	5/8/2003	XCHG TO	MFS MERIDIAN LTD MATURITY A2	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$506,354.71
723143	5/5/2003	BOT	MFS OFF SICAV STRATEGIC GRW A2	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$500,000.00
723143	5/5/2003	BOT	MFS OFF SICAV US EMERG GRW A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$500,000.00

Trading Associated with O'Donnell in MFS Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
723143	9/12/2002	XCHG TO	MFS CORE GROWTH A	723-060196	NAPA DRIVE,LLC ATTN: KOVAN PILLAI	\$752,232.32
723143	9/12/2002	XCHG TO	MFS CORE GROWTH A	723-060195	AURIC VENTURES,LLC ATTN: KOVAN PILLAI	\$1,512,831.95
723143	9/12/2002	XCHG TO	MFS CORE GROWTH A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$800,578.92
723143	9/12/2002	XCHG TO	MFS CORE GROWTH A	723-060178	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$1,504,497.96
723143	10/23/2002	BOT	MFS EMERGING MKTS EQUITY A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$300,000.00
723143	9/4/2002	BOT	MFS GLB ASSET ALLOC A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$800,000.00
723143	9/18/2002	XCHG TO	MFS GLB ASSET ALLOC A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$787,019.86
723143	10/23/2002	BOT	MFS GLB ASSET ALLOC A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$800,000.00
723009	8/22/2002	BOT	MFS GLB EQUITY A	723-012143	KMA LLC	\$980,000.00
723143	9/4/2002	BOT	MFS GLB EQUITY A	723-060196	NAPA DRIVE,LLC ATTN: KOVAN PILLAI	\$750,000.00
723143	9/4/2002	BOT	MFS GLB EQUITY A	723-060178	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$1,500,000.00
723143	9/18/2002	XCHG TO	MFS GLB EQUITY A	723-060196	NAPA DRIVE,LLC ATTN: KOVAN PILLAI	\$739,492.22
723143	9/18/2002	XCHG TO	MFS GLB EQUITY A	723-060178	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$1,479,035.60
723009	9/25/2002	XCHG TO	MFS GLB EQUITY A	723-012143	KMA LLC	\$974,543.57
723143	10/8/2002	BOT	MFS GLB EQUITY A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$1,500,000.00
723143	9/4/2002	BOT	MFS GLB GROWTH A	723-060195	AURIC VENTURES,LLC ATTN: KOVAN PILLAI	\$1,500,000.00
723009	9/4/2002	XCHG TO	MFS GLB GROWTH A	723-012143	KMA LLC	\$962,416.62
723143	9/18/2002	XCHG TO	MFS GLB GROWTH A	723-060195	AURIC VENTURES,LLC ATTN: KOVAN PILLAI	\$1,487,233.99
723143	9/18/2002	BOT	MFS GLB GROWTH A	723-060304	PERIWINKLE , LLC ATTN: OPERATIONS	\$1,400,000.00
723143	9/18/2002	BOT	MFS GLB GROWTH A	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$1,500,000.00
723009	10/15/2002	BOT	MFS GLB GROWTH A	723-012142	MINARET LLC	\$950,000.00
723143	10/23/2002	BOT	MFS GLB GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$1,500,000.00
723009	9/12/2002	XCHG TO	MFS GOVT SECURITIES A	723-012143	KMA LLC	\$970,654.30
723143	10/23/2002	BOT	MFS INTL GROWTH A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$400,000.00
723143	10/23/2002	BOT	MFS INTL INVESTORS TRUST A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$300,000.00
723143	10/23/2002	BOT	MFS INTL NEW DISCOVERY A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$500,000.00
723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060241	REDWING GROUP, LLC ATTN: OPERATIONS	\$19,943.00

8/15/02 MFS blocked future purchases and exchanges in accounts 723-060176, -060177, -060193, and -060194 because of unauthorized market timing

Trading Associated with O'Donnell in MFS Funds

723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060242	VALONIA, LLC ATTN: OPERATIONS	\$9,971.00
723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060243	LEMATA, LLC ATTN: OPERATIONS	\$9,971.00
723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060244	HOBART WAY, LLC ATTN: OPERATIONS	\$19,943.00
723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060245	CHIBA, LLC ATTN: OPERATIONS	\$9,971.00
723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060246	BUKA GROUP, LLC ATTN: OPERATIONS	\$9,971.00
723011	1/2/2003	BOT	MFS LIMITED MATURITY A	723-060247	OSAYKARYU, LLC ATTN: OPERATIONS	\$9,971.00
723002	4/10/2003	BOT	MFS MERIDIAN GLOBAL BAL A2	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$495,000.00
723002	6/4/2003	XCHG TO	MFS MERIDIAN GLOBAL BAL A2	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$508,924.00
723002	4/10/2003	BOT	MFS MERIDIAN GLOBAL EQI A2	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$490,000.00
723002	6/4/2003	XCHG TO	MFS MERIDIAN GLOBAL EQI A2	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$508,924.00
723002	5/8/2003	XCHG TO	MFS MERIDIAN LTD MATURITY A2	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$508,567.28
723002	5/8/2003	XCHG TO	MFS MERIDIAN LTD MATURITY A2	723-012316	EXMOOR, LTD. CARE OF: MILLENNIUM PARTNERS LP	\$506,354.71
723143	5/5/2003	BOT	MFS OFF SICAV STRATEGIC GRW A2	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$500,000.00
723143	5/5/2003	BOT	MFS OFF SICAV US EMERG GRW A	723-012407	FOXTAIL, LTD C/O MILLENNIUM PARTNERS LP	\$500,000.00

Trading Associated with O'Donnell in Oppenheimer Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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7/24/02 Oppenheimer blocked future purchases and exchanges in accounts 723-060177, -060178 and -060179 because of unauthorized market timing

723143	7/29/2002	BOT	OPPENHEIMER DEVELOPING MKTS A	723-060176	MAZZARD GROUP LLC ATTN: MARCI TEKEL	\$1,000,000.00
723011	1/2/2003	BOT	OPPENHEIMER DEVELOPING MKTS A	723-060245	CHIBA, LLC ATTN: OPERATIONS	\$478,650.00
723011	1/2/2003	BOT	OPPENHEIMER DEVELOPING MKTS A	723-060247	OSAYKARYU, LLC ATTN: OPERATIONS	\$478,650.00
723011	1/6/2003	BOT	OPPENHEIMER DEVELOPING MKTS A	723-060246	BUKA GROUP, LLC ATTN: OPERATIONS	\$897,457.32
723011	1/23/2003	BOT	OPPENHEIMER DEVELOPING MKTS A	723-060246	BUKA GROUP, LLC ATTN: OPERATIONS	\$970,575.07
723011	1/23/2003	BOT	OPPENHEIMER DEVELOPING MKTS A	723-060273	MONTICLE, LLC	\$721,516.00
723011	1/23/2003	BOT	OPPENHEIMER DEVELOPING MKTS A	723-060275	KMA, LLC	\$766,019.00
723011	2/21/2003	BOT	OPPENHEIMER DEVELOPING MKTS A	723-060273	MONTICLE, LLC	\$713,256.50
723143	7/29/2002	BOT	OPPENHEIMER GLOBAL FUND	723-060177	WYATT,ATWOOD & CO.,LLC ATTN: MARCI TEKEL	\$1,500,000.00
723143	7/29/2002	BOT	OPPENHEIMER GLOBAL FUND	723-060193	LINKAGE ASSOCIATES,LLC ATTN: KOVAN PILLAI	\$250,000.00
723143	7/29/2002	BOT	OPPENHEIMER INTL GROWTH FD A	723-060193	LINKAGE ASSOCIATES,LLC ATTN: KOVAN PILLAI	\$250,000.00

Trading Associated with O'Donnell in Phoenix Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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5/23/02 Phoenix blocked future purchases and exchanges in account 723-060178 because of excessive market timing

723143	6/4/2002	BOT	PHOENIX-ABERDEEN INTL A	723-060179	GAHN & MCELROY, LLC ATTN: MARCI TEKEL	\$350,000.00
723143	6/24/2002	BOT	PHOENIX-ABERDEEN INTL A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: MARCI TEKEL	\$450,000.00
723143	9/9/2002	BOT	PHOENIX-ABERDEEN INTL A	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$400,000.00
723143	9/25/2002	BOT	PHOENIX-ABERDEEN INTL A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$300,000.00
723143	9/25/2002	BOT	PHOENIX-ABERDEEN INTL A	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$400,000.00

9/26/02 Phoenix cancelled trades in accounts 723-060194 and -060203 because of unauthorized market timing

723143	10/1/2002	BOT	PHOENIX-ABERDEEN INTL A	723-060193	LINKAGE ASSOCIATES, LLC ATTN: KOVAN PILLAI	\$400,000.00
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10/02/02 Phoenix cancelled trades in account 723-060193 because of unauthorized market timing

723143	10/8/2002	BOT	PHOENIX-ABERDEEN INTL A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$1,400,000.00
723143	10/23/2002	BOT	PHOENIX-ABERDEEN INTL A	723-060210	SMKE & CO., LLC ATTN: OPERATIONS	\$400,000.00

11/01/02 Phoenix blocked all future trading by O'Donnell because he was "a market timer"

Trading Associated with O'Donnell in Pioneer Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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10/09/02 Pioneer cancelled a trade in accounts 723-060304 because of unauthorized market timing

723143	10/25/2002	BOT	PIONEER EUROPE FUND A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$900,000.00
723143	10/25/2002	BOT	PIONEER INTL EQUITY FUND A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$100,000.00
723143	10/25/2002	BOT	PIONEER INTL VALUE A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$800,000.00

10/28/02 Pioneer cancelled trades in account 723-060210 because of "rep's market timing activity"

723002	3/5/2003	BOT	PIONEER INTL EQUITY FUND A	723-060294	HOBART WAY, LLC ATTENTION: OPERATIONS	\$100,000.00
723002	4/1/2003	BOT	PIONEER INTL EQUITY FUND A	723-060292	OSAYKANYU LLC ATTENTION: OPERATIONS	\$100,000.00
723002	3/5/2003	BOT	PIONEER INTL VALUE A	723-060294	HOBART WAY, LLC ATTENTION: OPERATIONS	\$770,000.00

Trading Associated with O'Donnell in Scudder Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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6/11/02 Scudder cancelled trades and blocked future purchases and exchanges in accounts 723-060178 because of unauthorized market timing

8/09/02 Scudder cancelled trades in account 723-060177 because of unauthorized market timing

723143	8/8/2002	BOT	SCUDDER GLOBAL DISCOVERY A	723-060177	WYATT,ATWOOD & CO.,LLC ATTN: OPERATIONS	\$900,000.00
723143	7/24/2002	BOT	SCUDDER NEW EUROPE FD A	723-060194	OPTIK GROUP,LLC ATTN: KOVAN PILLAI	\$900,000.00
723143	7/24/2002	BOT	SCUDDER NEW EUROPE FD A	723-060177	WYATT,ATWOOD & CO.,LLC ATTN: MARCI TEKEL	\$800,000.00

8/22/02 Scudder blocked future purchases and exchanges in accounts 723-060176, -060177,-060178,-060179, -060195 and -012143 in both Scudder and Deutsche Bank Funds because of unauthorized market timing

723143	9/4/2002	BOT	SCUDDER INTL EQUITY INV	723-060194	OPTIK GROUP,LLC ATTN: KOVAN PILLAI	\$1,200,000.00
723143	9/4/2002	BOT	SCUDDER INTL EQUITY INV	723-060196	NAPA DRIVE,LLC ATTN: KOVAN PILLAI	\$1,500,000.00
723143	9/4/2002	BOT	SCUDDER NEW EUROPE FD A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$900,000.00

9/05/02 Scudder cancelled trades in accounts 723-060179, -060194 and -060196 because of market timing

723143	9/9/2002	BOT	SCUDDER GLOBAL DISCOVERY A	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$300,000.00
723143	9/9/2002	BOT	SCUDDER NEW EUROPE FD A	723-060193	LINKAGE ASSOCIATES,LLC ATTN: KOVAN PILLAI	\$350,000.00

9/10/02 Scudder cancelled trades in accounts 723-060176 and -060193 because of unauthorized market timing

9/19/02 Scudder cancelled trades in account 723-012288 because of unauthorized market timing

723143	9/25/2002	BOT	SCUDDER INTL EQUITY INV	723-060193	LINKAGE ASSOCIATES,LLC ATTN: KOVAN PILLAI	\$1,600,000.00
723143	9/25/2002	BOT	SCUDDER INTL EQUITY INV	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$1,400,000.00

9/26/02 Scudder cancelled trades in accounts 723-060304 and -060193 because of unauthorized market timing

723143	10/1/2002	BOT	SCUDDER GLOBAL DISCOVERY A	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$1,400,000.00
723143	10/1/2002	BOT	SCUDDER NEW EUROPE FD A	723-060195	AURIC VENTURES,LLC ATTN: KOVAN PILLAI	\$800,000.00

10/02/02 Scudder cancelled trades in accounts 723-060203 and -060195 because of unauthorized market timing

Trading Associated with O'Donnell in Scudder Funds

723143	10/8/2002	BOT	SCUDDER GLOBAL DISCOVERY A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$1,500,000.00
723143	10/8/2002	BOT	SCUDDER INTL EQUITY INV	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$1,500,000.00

10/09/02 Scudder cancelled trades in accounts 723-060304 and -060203 because of unauthorized market timing

723143	10/25/2002	BOT	SCUDDER GLOBAL DISCOVERY A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$900,000.00
723143	10/25/2002	BOT	SCUDDER NEW EUROPE FD A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$900,000.00

10/28/02 Scudder cancelled trades in account 723-060210 because of unauthorized market timing

723143	11/6/2002	BOT	SCUDDER GLOBAL DISCOVERY A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$900,000.00
723143	11/6/2002	BOT	SCUDDER INTL EQUITY INV	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$950,000.00
723143	11/6/2002	BOT	SCUDDER NEW EUROPE FD A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$900,000.00

11/07/02 Scudder cancelled trades in accounts 723-060210 and -012288 because of unauthorized market timing

723002	11/15/2002	BOT	SCUDDER INTL EQUITY INV	723-060221	CHIBA, LLC ATTN: OPERATIONS	\$950,000.00
723002	11/15/2002	BOT	SCUDDER INTL EQUITY INV	723-060215	MAZZARD GROUP, LLC ATTN: OPERATIONS	\$950,000.00
723002	11/15/2002	BOT	SCUDDER INTL EQUITY INV	723-060216	TAIGA GROUP, LLC ATTN: OPERATIONS	\$950,000.00
723002	11/15/2002	BOT	SCUDDER INTL EQUITY INV	723-060217	PERIWINKLE, LLC ATTN: OPERATIONS	\$900,000.00

11/18/02 Scudder cancelled trades in accounts 723-060221, -060215, -060216 and -060217 because of unauthorized market timing

723002	2/14/2003	BOT	SCUDDER INTL EQUITY INV	723-060214	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$930,000.00
723002	4/1/2003	BOT	SCUDDER INTL EQUITY INV	723-060288	BUKA GROUP, LLC ATTENTION: OPERATIONS	\$940,000.00

4/02/03 Scudder cancelled trades in account 723-060288 because of unauthorized market timing

Trading Associated with O'Donnell in SIT Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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10/08/02 SIT blocked trading in accounts 723-060195 because of unauthorized market timing

723009	10/21/2002	BOT	SIT INTERNATIONAL GROWTH	723-012142	MINARET LLC	\$650,000.00
723143	10/25/2002	BOT	SIT INTERNATIONAL GROWTH	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$400,000.00
723143	11/21/2002	BOT	SIT INTERNATIONAL GROWTH	723-012154	ASG LLC	\$495,000.00
723009	10/24/2002	XCHG TO	SIT TAX-FREE INCOME FUND	723-012142	MINARET LLC	\$642,599.43
723143	11/26/2002	XCHG TO	SIT TAX-FREE INCOME FUND	723-012154	ASG LLC	\$484,743.73

11/27/02 SIT blocked trading in accounts 723-012154 because of unauthorized market timing

723002	3/5/2003	BOT	SIT INTERNATIONAL GROWTH	723-060291	VALONIA, LLC ATTENTION: OPERATIONS	\$400,000.00
723002	3/7/2003	BOT	SIT INTERNATIONAL GROWTH	723-060292	OSAYKANYU LLC ATTENTION: OPERATIONS	\$390,000.00
723002	4/1/2003	BOT	SIT INTERNATIONAL GROWTH	723-060289	TAIGA GROUP, LLC ATTENTION: OPERATIONS	\$190,000.00

Trading Associated with O'Donnell in T. Rowe Price Funds

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
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5/21/02 T. Rowe Price blocked further trading in account 723-060178 because of excessive trading

723143	5/22/2002	XCHG TO	T ROWE PRICE INTNL STOCK ADV	723-060178	GILMORE & GILLESPIE, LLC ATTN: MARCI TEKEL	\$1,532,789.85
723143	6/4/2002	BOT	T ROWE PRICE INTNL STOCK ADV	723-060179	GAHN & MCLEROY, LLC ATTN: MARCI TEKEL	\$950,000.00

6/05/02 T. Rowe Price cancelled a trade and blocked further trading in account 723-060178 because of excessive trading

723143	7/24/2002	BOT	T ROWE PRICE INTNL STOCK ADV	723-060193	LINKAGE ASSOCIATES, LLC ATTN: KOVAN PILLAI	\$1,100,000.00
723143	8/7/2002	BOT	T ROWE PRICE INTNL STOCK ADV	723-060177	WYATT, ATWOOD & CO., LLC ATTN: OPERATIONS	\$400,000.00
723143	8/8/2002	BOT	T ROWE PRICE INTNL STOCK ADV	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$700,000.00

8/09/02 T. Rowe Price cancelled a trade in account 723-060176 and blocked trading by O'Donnell due to "excessive/timer trading"

8/20/02 T. Rowe Price blocked further trading in accounts 723-060177 because of excessive trading

723143	9/17/2002	XCHG TO	T ROWE PRICE BL CHIP GR ADV	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$244,729.05
723143	9/9/2002	BOT	T ROWE PRICE INTNL STOCK ADV	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$250,000.00

9/18/02 T. Rowe Price further trading in accounts 723-060176 because of excessive trading

723143	9/25/2002	BOT	T ROWE PRICE INTNL STOCK ADV	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$1,200,000.00
723143	9/25/2002	BOT	T ROWE PRICE INTNL STOCK ADV	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$500,000.00

9/26/02 T. Rowe Price cancelled trades in accounts 723-060196 and -060194 because of unauthorized market timing

723143	10/8/2002	BOT	T ROWE PRICE INTNL STOCK ADV	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$1,500,000.00
723143	10/8/2002	BOT	T ROWE PRICE INTNL STOCK ADV	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$1,200,000.00
723143	10/21/2002	BOT	T ROWE PRICE INTNL STOCK ADV	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$900,000.00
723143	10/25/2002	BOT	T ROWE PRICE INTNL STOCK ADV	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$900,000.00
723011	1/2/2003	BOT	T ROWE PRICE INTNL STOCK ADV	723-060241	REDWING GROUP, LLC ATTN: OPERATIONS	\$498,594.00
723011	1/2/2003	BOT	T ROWE PRICE INTNL STOCK ADV	723-060243	LEMATA, LLC ATTN: OPERATIONS	\$498,594.00

1/16/03 T. Rowe Price allowed only liquidations and money-market exchanges in accounts 723-060241 and -060243 because of excessive

Trading Associated with O'Donnell in T. Rowe Price Funds

trading activity

723011	1/23/2003	BOT	T ROWE PRICE INTNL STOCK ADV	723-060242	VALONIA, LLC ATTN: OPERATIONS	\$498,825.00
723011	1/23/2003	BOT	T ROWE PRICE INTNL STOCK ADV	723-060245	CHIBA, LLC ATTN: OPERATIONS	\$491,448.39

1/31/03 T. Rowe Price allowed only liquidations and money-market exchanges in accounts 723-060242 and -060245 because of excessive trading activity

2/26/03 T. Rowe Price cancelled a trade in account 723-060246 because of excessive trading activity

2/27/03 T. Rowe Price allowed only liquidations and money-market exchanges in account 723-060247 because of excessive trading activity

5/20/03 T. Rowe Price blocked future purchases and exchanges in accounts 723-060176, -060177 and -060178 because of unauthorized market timing

Trading Associated with O'Donnell in Van Kampen Funds

10/18/02 Van Kampen blocked future purchases and exchanges in accounts 723-060203, -012144, -060210, and -012288 because of unauthorized market timing

FA Number	Transaction Date	Transaction Type	Fund Name	Account	Account Description	Gross Amt
723143	10/21/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060178	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$900,000.00
723143	10/21/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$900,000.00
723143	10/21/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060193	LINKAGE ASSOCIATES, LLC ATTN: KOVAN PILLAI	\$900,000.00
723143	10/21/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$900,000.00
723143	10/21/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$900,000.00
723143	10/23/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$900,000.00
723143	10/23/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$900,000.00
723143	10/23/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060195	AURIC VENTURES, LLC ATTN: KOVAN PILLAI	\$900,000.00
723143	10/23/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060194	OPTIK GROUP, LLC ATTN: KOVAN PILLAI	\$900,000.00
723002	11/1/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060221	CHIBA, LLC ATTN: OPERATIONS	\$900,000.00
723009	11/1/2002	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-012143	KMA LLC	\$994,000.00
723009	11/1/2002	XCHG TO	VAN KAMPEN GLOBAL EQU ALLOC A	723-012143	KMA LLC	\$1,000,468.75
723002	1/2/2003	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060221	CHIBA, LLC ATTN: OPERATIONS	\$900,000.00
723002	1/2/2003	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060214	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$900,000.00
723143	1/2/2003	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060178	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$900,000.00
723143	1/2/2003	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$900,000.00
723009	11/7/2002	XCHG TO	VAN KAMPEN GOVT SECURITIES A	723-012143	KMA LLC	\$1,004,215.82
723009	11/26/2002	XCHG TO	VAN KAMPEN GOVT SECURITIES A	723-012143	KMA LLC	\$1,007,636.90
723009	12/3/2002	XCHG TO	VAN KAMPEN GOVT SECURITIES A	723-012142	MINARET LLC	\$1,478,924.73
723009	12/13/2002	XCHG TO	VAN KAMPEN GOVT SECURITIES A	723-012142	MINARET LLC	\$1,470,734.48
723009	12/27/2002	XCHG TO	VAN KAMPEN GOVT SECURITIES A	723-012142	MINARET LLC	\$1,462,040.84
723143	10/21/2002	BOT	VAN KAMPEN INT'L MAGNUM A	723-060178	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$400,000.00
723143	10/21/2002	BOT	VAN KAMPEN INT'L MAGNUM A	723-060196	NAPA DRIVE, LLC ATTN: KOVAN PILLAI	\$400,000.00
723143	10/21/2002	BOT	VAN KAMPEN INT'L MAGNUM A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$400,000.00
723143	10/21/2002	BOT	VAN KAMPEN INT'L MAGNUM A	723-060177	WYATT, ATWOOD & CO., LLC ATTN: OPERATIONS	\$400,000.00
723143	10/21/2002	BOT	VAN KAMPEN INT'L MAGNUM A	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$400,000.00
723143	10/23/2002	BOT	VAN KAMPEN INT'L MAGNUM A	723-060203	TAIGA GROUP, LLC ATTN: OPERATIONS	\$400,000.00
723143	10/23/2002	BOT	VAN KAMPEN INT'L MAGNUM A	723-060304	PERIWINKLE, LLC ATTN: OPERATIONS	\$400,000.00

Trading Associated with O'Donnell in Van Kampen Funds

723143	10/23/2002	BOT	VAN KAMPEN INT'L MAGNUM A	723-060195	AURIC VENTURES,LLC ATTN: KOVAN PILLAI	\$400,000.00
723143	10/23/2002	BOT	VAN KAMPEN INT'L MAGNUM A	723-060194	OPTIK GROUP,LLC ATTN: KOVAN PILLAI	\$400,000.00
723143	10/25/2002	BOT	VAN KAMPEN INT'L MAGNUM A	723-060210	SMIKE & CO., LLC ATTN: OPERATIONS	\$400,000.00
723002	11/1/2002	BOT	VAN KAMPEN INT'L MAGNUM A	723-060221	CHIBA, LLC ATTN: OPERATIONS	\$400,000.00
723002	1/2/2003	BOT	VAN KAMPEN INT'L MAGNUM A	723-060221	CHIBA, LLC ATTN: OPERATIONS	\$400,000.00
723002	1/2/2003	BOT	VAN KAMPEN INT'L MAGNUM A	723-060214	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$400,000.00
723143	1/2/2003	BOT	VAN KAMPEN INT'L MAGNUM A	723-060178	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$400,000.00
723143	1/2/2003	BOT	VAN KAMPEN INT'L MAGNUM A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$400,000.00
723143	1/2/2003	BOT	VAN KAMPEN INT'L MAGNUM A	723-060177	WYATT,ATWOOD & CO.,LLC ATTN: OPERATIONS	\$400,000.00

1/03/03 Van Kampen placed a 30 day restriction on account 723-012142 because of unauthorized market timing

723002	1/23/2003	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060221	CHIBA, LLC ATTN: OPERATIONS	\$900,000.00
723002	1/23/2003	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060214	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$900,000.00
723002	1/23/2003	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060215	MAZZARD GROUP, LLC ATTN: OPERATIONS	\$900,000.00
723002	1/23/2003	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060216	TAIGA GROUP, LLC ATTN: OPERATIONS	\$900,000.00
723143	1/23/2003	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$900,000.00
723143	1/23/2003	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060176	MAZZARD GROUP LLC ATTN: OPERATION	\$900,000.00
723143	1/23/2003	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060179	GAHN & MCELROY, LLC ATTN: OPERATIONS	\$900,000.00
723143	1/23/2003	BOT	VAN KAMPEN GLOBAL EQU ALLOC A	723-060178	GILMORE & GILLESPIE, LLC ATTN: OPERATIONS	\$900,000.00

2/04/03 Van Kampen blocked future purchases and exchanges in accounts 723-012342, -060177, -060178, -060179, -060193, -060214, -060215, -060216, -060221, and -012345 because of unauthorized market timing